

Illinois Commerce Commission



REVISED ANNUAL REPORT Transportation Regulatory Fund Fiscal Year 2007

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Illinois Commerce Commission
Transportation Regulatory Fund
Annual Report of Expenditures
For Fiscal Year 2007

Section 18c-1604 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1604, requires the Illinois Commerce Commission to submit to the Governor and the General Assembly an annual report of expenditures from the Transportation Regulatory Fund. Section 18c-1604 states as follows:

"Annual Report of Expenditures. The Commission shall, within 60 calendar days after the end of lapse period for each fiscal year, submit to the Governor and the General Assembly a report of the following for such fiscal year:

- (1) All monies deposited in the Transportation Regulatory Fund, showing the total and subtotals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (2) All expenditures from the Transportation Regulatory Fund, showing the total and the sub-totals by class as defined in subsection (2) of Section 18c-1603 of this Chapter;
- (3) A listing and description by function of all staff positions actually funded, in whole or in part, at any time during the fiscal year, from the Transportation Regulatory Fund; and
- (4) The methods used to allocate expenses between the Transportation Regulatory Fund and other funds, and between classes within the Transportation Regulatory Fund."

Subsection (2) of Section 18c-1601 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1601, requires that the Commission "account separately for monies from the following classes:

- (a) motor carriers of property, (other than carriers engaged in non-relocation towing);
- (b) rail carriers; and
- (c) other monies."

This report is provided in accordance with the above stated requirement.

625 ILCS
Paragraph 5/18c
Section 1604
Requirement (1)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY2007 Income
REVISED

INCOME ACCOUNT CODE	<u>TOTAL</u>	<u>MOTOR CARRIER</u>	<u>RAILROAD</u>
Intrastate Authority Franchise Fees	255,603	255,603	-
Intrastate Authority Applications	147,600	147,600	-
Interstate Stamps/Receipts	144,332	144,332	-
Petition to Reinstate License	10,800	10,800	-
Transfers	4,950	4,950	-
Lease Agreement	104,670	104,670	-
Exemption Certificates	6,450	6,450	-
Copying	3,068	1,227	1,841
Gross Revenue Tax-Railroads	499,727	-	499,727
Miscellaneous Fees	4,195	4,195	-
Tariff Auditing/Annual Reports	750	750	-
Relocator's License Fees	30,600	30,600	-
Relocator Tow Record/Invoice	811,500	811,500	-
Operator's License Fees	27,120	27,120	-
Dispatcher License Fees	5,940	5,940	-
Administrative Citations	438,424	438,424	-
Civil Penalties	308,417	308,417	-
Broker's License	2,200	2,200	-
Tower's License	150	150	-
Relocator's Lease	-	-	-
Railroad Route Miles	296,258	-	296,258
Railroad Crossing	253,764	-	253,764
Grade Crossing Protection Fund	2,250,000	-	2,250,000
IL Dept of Transportation Reimbursement	202,078	-	202,078
TOTAL	5,808,596	2,304,928	3,503,668

NOTE:

The above table includes funds received by the Commission during FY2007 which may not match the deposit amounts shown by the Comptroller's Office for FY2007. Any differences are due to deposits in-transit between the Commission and the Comptroller's Office. The above figures do not include revenues collected on behalf of other states in the Single State Registration Program. The decrease in the Intrastate Authority Franchise Fees and Interstate Stamps/Receipts was a result of the discontinuance of the Single State Registration Program effective January 1, 2007 and the replacement program, the Unified Carrier Registration was not operational until September 10, 2007.

625 ILCS
Paragraph 5/18c
Section 1604
Requirement (2)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY2007 Expenditures

LINE ITEM	<u>TOTAL</u> <u>EXPENDITURES</u>	<u>MOTOR</u> <u>CARRIER</u>	<u>RAILROAD</u>
Personal Services	4,186,332	1,809,640	2,376,692
Pension Pickup	-	-	-
Retirement	484,505	206,186	278,319
Social Security	274,457	96,882	177,575
Group Insurance	793,780	372,740	421,040
Contractual Services	427,634	233,720	193,914
Travel	85,710	21,018	64,692
Commodities	12,020	5,116	6,904
Printing	12,966	5,605	7,361
Equipment	73,242	29,382	43,860
Electronic Data Processing	181,050	74,764	106,286
Telecommunications	149,149	79,862	69,287
Operation of Auto	100,405	47,373	53,032
Lump Sum	275,779	-	275,779
Refunds	2,899	-	2,899
TOTAL	7,059,928	2,982,288	4,077,640

FY2007 expenditures from the Transportation Regulatory Fund by the Illinois Commerce Commission were allocated by transportation mode as shown above. These expenditures do not include the Single State Registration Program.

625 ILCS
Paragraph 5/18c
Section 1604
Requirement (3)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund

The listing below represents all Commerce Commission employees by job titles that were funded from the Transportation Regulatory Fund in FY07. This listing also provides a brief description of the major responsibilities of each job title and is sorted in Bureau order.

Bureau of Planning & Operations

Accountant	Posts and processes vouchers
Information Services Specialist II	Performs general and routine professional support for computer equipment and associated software
Information Systems Analyst II	Provides analysis of complex business operations and develops requirements and specifications for the creation of client/server and/or internet based computer systems
Senior Project Consultant	Responsible for agency budget, supervises contract and purchasing function, and serves as property control officer.
Senior Financial & Budget Assistant	Performs technical and administrative duties related to monitoring the Grade Crossing Protection Fund as well as supervise and administer the Tax Processing function of the Financial Information Section

Office of Chairman and Commissioners

Chief Internal Auditor	Directs the agency's internal audit program
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Office of Executive Director

Human Resources Analyst	Coordinates personnel transactions to ensure the accuracy and validity of data reflected in employee transactions
Homeland Security Director	Coordinates the continuous collection and dissemination of information related to the security of Illinois public service infrastructure, including utilities

Transportation Division

Bureau Chief	Manages the Transportation Division
Administrative Assistant II	Provides administrative support to Transportation Bureau Chief's Office
Chief Transportation Counsel	Provides broad range of legal services to the Transportation Division, directs program and staff
Technical Advisor II	Staff attorney, represents Division in court and before the ILCC
Compliance Specialist	Provides compliance education to industry and shippers
Chief of Police	Oversees the ILCC Police activities including on-the-road enforcement and administrative staff functions
General Services Administrator I	Provides administrative support for the statewide Commission enforcement program
Administrative Assistant I	Administrative Assistant to Assistant Chief of Police

Public Service Administrator	Serves as Police Commander for Commission Police District
ICC Police Officer II (7 positions)	Conducts on-the-road enforcement and investigations of intrastate motor carriers
Transportation Investigator (2 positions)	Conducts investigations of relocation towing companies, non-sworn
Administrative Assistant I	Provides administrative support to the household goods carrier enforcement program
Transportation Industry Analyst III	Performs staff functions related to the household goods carrier enforcement program including tariffs, audits and dispute resolution
Manager – Processing and Information	Provides management of the motor carrier registration program
Transportation Industry Customer Service Rep II (2 positions)	Provides customer service to the motor carrier industry regarding the issuance of licenses, registrations and credentials, filing of leases and the maintenance of liability insurance
Transportation Industry Customer Service Rep I (4 positions)	Provides services to the public, and the transportation and insurance industries consistent with the clerical and technical tasks related to various laws, rules and regulations involved in the regulation of motor carriers
Manager – Review & Examination	Directs transportation hearings program
Administrative Assistant I	Provides administrative support to the hearings program
Administrative Law Judge IV	Hears cases for household goods carrier authority, relocation towing licenses and railroad crossing improvement petitions

Manager – Railroad	Directs the Railroad Safety Program
Executive Secretary II	Provides secretarial and administrative support to Railroad Safety Program
Management Secretary	Provides secretarial and administrative support to Railroad Safety Program
Railroad Safety Specialist IV (6 positions)	Provide staff work related to the design, installation and maintenance of railroad warning and traffic warning signal systems, investigation of crossing collisions and incidents and conduct of Operation Lifesaver Public Education Program
Railroad Safety Specialist III (8 positions)	Provide staff work on cases involving the improvement of railroad grade crossings and crossing separations
Railroad Safety Specialist III	Conduct inspections to determine railroad company compliance with operating practice standards
Railroad Safety Specialist III (2 positions)	Conduct inspections of railroad equipment and facilities carrying or handling hazardous materials
Railroad Safety Specialist III (3 positions)	Conduct inspections of mainline track operated by registered rail carriers
Transportation Policy Analyst IV	Conduct research and analysis of transportation related issues

625 ILCS
Paragraph 5/18c
Section 1604
Requirement (4)

Allocation of Expenditures
Between the Transportation Regulatory Fund
And Other Funds

Section 18c-1603 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c – 1603 details the requirements for expenses allocated partially or entirely to the Transportation Regulatory Fund.

(2) Allocation of Expenditures to the Fund.

(b) Expenses Partially Allocated Entirely to the Transportation Regulatory Fund. A portion of expenses for the following persons and activities may be allocated to the Transportation Regulatory Fund:

- (i) The Executive Director, his deputies and personal assistants, and their clerical support;
- (ii) The legislative liaison activities of the Office of Legislative Affairs, its constituent elements and successors;
- (iii) The activities of the Bureau of Planning and Operations on the effective date of this amendatory Act of the 94th General Assembly, exclusive of the Chief Clerk's office;
- (iv) The payroll expenses of Commissioners' assistants;
- (v) The internal auditor;
- (vi) The in-state travel expenses of the Commissioners to and from the offices of the Commission; and
- (vii) The Public Affairs Group, its constituent elements, and its successors.

(c) Allocation Methodology for Expenses Other Than Commissioners' Assistants. The portion of the total expenses (other than commissioners' assistants' expenses) allocated to the Transportation Regulatory Fund under Paragraph (b) of this subsection shall be the portion of staff time spent exclusively on administration and enforcement of this Chapter and Chapter 18a, as shown by a time study updated at least once each 6 months. (For FY07 the percentage allocated to the Transportation Regulatory Fund was 30 %.)

(e) Allocation methodology for Commissioners' Assistants Expenses. Five Percent of the payroll expenses of commissioners' assistants may be allocated to the Transportation Regulatory Fund.

Allocation of Expenditures Between Classes
Within the Transportation Regulatory Fund

The Motor Carriers Of Property, Rail Carriers, And Other Modes

Actual expenditures were charged to the various modes within the transportation fund when identifiable. Where expenditures were not attributable to a specific mode, allocations were determined and applied to these expenditures. In those instances where there were other specific "overhead" costs (such as office rental costs, court reporting, and copying costs), those expenditures were allocated according to headcount.