ILLINOIS COMMERCE COMMISSION

SECTION 5-117 SUPPLIER DIVERSITY REPORT FOR

NEWLY IMPACTED ENTITIES

FORM

2018 Report

1. Name of Entity: City of Rock Falls ("City")

2. Business Address: 1109 Industrial Park Road, Rock Falls, IL 61071

3. Business Address in Illinois (if different): same

4. Person Responsible for Preparation of Report / Title:
   Richard Simon, Electrical Director

5. Type of Entity (check as many as apply):

   ☒ Distribution

   ☒ Generation:

   ☐ Nuclear ☒ Hydroelectric ☐ Solar ☐ Wind ☐ Other

   ☐ Alternative Supplier:

   ☐ Natural Gas ☐ Electricity

   ☐ Other - please describe:

6. Please identify the person at your entity that an Illinois minority-owned business (MBE), business owned by women (WBE), business owned by veterans (VBE), business owned by persons with disabilities (DBE) or small business (SBE) that wants to do business with your entity should contact.

   Richard Simon

Pursuant to Section 5-117, completed reports must be submitted to the ICC in PDF format.

7. In calendar year 2017, did your entity satisfy the applicable revenue criteria below?
☒ Yes ☐ No

- For an alternative retail, municipal or electrical cooperative electric supplier, sales or delivery of 500,000 or more kwh
- For an alternative gas supplier or natural gas cooperative, sales or delivery of 500,000 or more dekatherms
- For any other commercial energy supplier, sales or delivery of 500,000 or more kwh

8. In calendar 2017, did your entity track spending with, contracting with or procurement from MBEs, WBEs, VBEs, DBEs and/or SBEs? ☐ Yes ☒ No

- If you checked "no," describe the actions you can take to respond to the reporting requirements of Section 5-117 of the Public Utilities Act next year. (Note: 2018 reports will be due April 15, 2019)

  The reporting requirements of Section 5-117 of the Public Utilities Act do not apply to the City for a number of reasons, not the least of which is that the City is a municipal corporation and therefore specifically excluded from the definition of the term "company" under the Act. See 220 ILCS 5/3-112. While Section 5-117 contains the term "any other supplier," both the purpose clause in subsection (a) and the sub clauses identifying the specific information required to be in the annual report in subsection (c) are specifically limited to "companies."

  The City has and will continue to work with the Illinois Commerce Commission staff through its state-wide utility association, Illinois Municipal Utilities Association ("IMUA"), in an attempt to develop methods of tracking and a scope of information that the City can submit in the future on a voluntary basis.

IF YOUR ANSWER TO EITHER QUESTION 7 OR 8 IS "NO", YOU MAY STOP HERE; OTHERWISE, PROCEED TO QUESTION 9