

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

Alpha Gas and Electric, LLC

Application for Certificate of
Service Authority under Section
19-110 of the Public Utilities Act

Docket No. 16-0352

Public

AMENDED APPLICATION

Alpha Gas and Electric, LLC ("Applicant"), hereby requests that the Illinois
Commerce Commission ("Commission") grant it a certificate of service authority
pursuant to Section 19-110 of the Public Utilities Act ("Act"). In support of its
application, Applicant states as follows:

General

1. Applicant's name and street address:

Alpha Gas and Electric, LLC
971 Route 45, Suite 202
Pomona, NY 10970

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2. Related Information

Type of business entity (corporation, limited partnership, limited-liability
company, etc.): Limited-liability Company

Jurisdiction in which and under whose laws business entity was created: NY

Other names under which Applicant does business: N/A

Federal Employer Identification Number: 273718690

Registered agent in Illinois:

Vcorp Agent Services

2920 W. Coyle Ave

Chicago, IL 60645

3. Does Applicant currently have other authority from the Commission to be an
AGS? If yes, provide the Commission docket number under which current
authority was granted and state whether Applicant requests that such current

authority be consolidated with any authority granted pursuant to this application, under the docket number of this application.

Alpha Gas and Electric, LLC does not currently have authority from the Commission to be an AGS.

4. Contact persons for the following:

a) Issues related to processing this application;

Alexandre Baldassano
Managing Director- GP Energy Management, LLC (fka GP
Renewables & Trading)
131 Varick Street, Suite 1006,
New York, NY 10013,
Telephone: 203-391-4990,
Email: renewables@gprenew.com
Fax: 203-295-3794

David Wanounou
CEO - Alpha Gas and Electric, LLC
971 Route 45, Suite 202
Pomona, NY 10970
Telephone: 888-636-3749
E-Mail: david@alphagne.com

Dina Freedman
Alpha Gas and Electric
971 Route 45, Suite 202
Pomona, NY 10970
Telephone: 845-810-0088

b) Issues related to retail customers, including complaint resolution; and

Dina Freedman
Alpha Gas and Electric, LLC
971 Route 45, Suite 202
Pomona, NY 10970
Telephone: 888-636-3749
E-Mail: all@alphagne.com

c) Technical issues, including scheduling of natural gas deliveries.

David Wanounou
CEO - Alpha Gas and Electric, LLC
971 Route 45, Suite 202
Pomona, NY 10970

Telephone: 888-636-3749
E-Mail: david@alphagne.com

Henry Bennett
Alpha Gas and Electric, LLC
972 Route 45, Suite 202
Pomona, NY 10970
Telephone: 845-810-0088
E-Mail: all@alphagne.com

Identification should include each contact person's name, title, mailing address, telephone number, facsimile number, and e-mail address.

5. Applicant certifies that it will:
 - a) Comply with all applicable Federal, State, regional and industry rules, practices, policies, procedures and tariffs for the use, operation, maintenance, safety, integrity, and reliability of the interstate natural gas system; [83 Ill. Adm. Code 551.20(a)]
Alpha Gas and Electric, LLC will comply with the above requirements
 - b) Provide service to residential or small commercial customers that are eligible to take service from an AGS; [551.20(b)]
Alpha Gas and Electric, LLC will comply with the above requirement.
 - c) Comply with informational and reporting requirements that the Commission may by rule establish; [551.20(c)] and
Alpha Gas and Electric, LLC will comply with the above requirement.
 - d) Comply with all other applicable laws and regulations and Commission rules and orders. [551.20(d)]
Alpha Gas and Electric, LLC will comply with the above requirements
6. Applicant agrees to submit good faith schedules of natural gas deliveries in accordance with applicable tariffs. [551.20(a)]
Alpha Gas and Electric, LLC will comply with the above requirement. Please see the below certification.
7. Demonstration that Applicant is licensed to do business in the State of Illinois. [551.20(e)]
Please see Attachment 7 for IL business license.
8. Description of Applicant's business. [551.30(c)(1)]

- a) Alpha Gas and Electric has earned a sterling reputation for professionalism and service. They retain only the finest companies and consummate professionals who share their commitment to superior service. While many companies offer only one service, Alpha Gas and Electric provides both gas and electric needs for their customers. They serve over 10,000 customers spanning 4 states and over 20 utilities. The operational nature of Alpha Gas and Electric's business focuses on the customer-facing aspects while ensuring the proper support and efficiencies are available on the back-end to provide customers with quality service and value. Alpha Gas and Electric, LLC will be supplying retail customers with their expected twelve month gas requirements by purchasing energy and related services through wholesale generation suppliers and traders participating in the various wholesale markets.

Alpha Gas and Electric, LLC also has existing relationships with legal and regulatory partners who can assist in addressing customer inquiries or complaints. For example, Alpha Gas and Electric has contracted with GP Energy Management, LLC (fka GP Renewables & Trading) a New York based corporation with over ten years of energy industry experience to manage all wholesale business processes. GP Energy Management, LLC is tasked with creating retail supply forecasts, helping to schedule energy in to the PJM ISO as well and managing all settlement data and hedging activities.

GP Energy Management, LLC currently manages over 500,000 retail meters nationwide for over 30 different power and gas marketers.

- b) Affiliates of Applicant: Hiko Energy, LLC. Please see attachment 8b for chart illustrating Alpha's relationship to Hiko.

9. Identify the utility service territory (Note: Applicable territories for both residential and small commercial customers are Nicor, Peoples Gas, and North Shore Gas --- Ameren is currently limited to small commercial customers) in which Applicant seeks to be authorized to offer service and the types of services it intends to offer. [551.30(c)]

Alpha Gas and Electric, LLC intends to provide residential and commercial natural gas services to the following territories:

- Nicor
- Peoples Gas
- North Shore Gas

10. Description of the characteristics of the residential or small commercial customer group(s) Applicant proposes to serve. [551.30(c)(2)]

Applicant is filing under Section 19-110 of the Act, and Section 551.3 of Part 551 in order to serve all eligible residential and commercial natural gas customers in the Nicor, Peoples, and North Shore service territories.

11. Proof of notification to each utility of Applicant's intent to serve in the utility's service area is provided in Attachment 11. [551.30(c)(3)]

12. Applicant certifies compliance with all applicable terms and conditions required by Section 19-115 of the Act. [551.30(d)(2)]
Alpha Gas and Electric, LLC will comply with the above requirement. Please see the below certification.

13. Applicant agrees to adopt and follow rules and procedures ensuring that authorizations received from customers, customer billing records, and requests for delivery service transmitted to utilities are retained for a period of not less than two calendar years after the calendar year in which they were created. [551.40(a)]

Alpha Gas and Electric, LLC will comply with the above requirement. Please see the below certification.

14. Applicant agrees to adopt and follow rules and procedures to preserve the confidentiality of its customer's data. [551.40(b)]

Alpha Gas and Electric, LLC will comply with the above requirement. Please see the below certification.

15. If Applicant seeks to serve customers within a geographic area that is smaller than a gas utility's service area, then Applicant must demonstrate that the designation of this smaller area does not violate any part of Section 19-115 of the Act. [551.70(a)]

This is not applicable as Alpha Gas and Electric, LLC does not intend to serve customers within a geographic area that is smaller than a gas utility's service area.

16. Applicant certifies that all marketing materials that make statements concerning prices, terms and conditions of service contain information that adequately disclose the prices, terms and conditions of the products or services that the Applicant is offering or selling to the customers. [551.70(b)(1)]

Alpha Gas and Electric, LLC will comply with the above requirement. Please see the below certification.

17. Applicant certifies that, prior to switching any customer from another supplier, the Applicant will provide the customer written information that adequately discloses, in plain language, the prices, terms and conditions of the products and services being offered and sold to the customer. [551.70(b)(2)]

Alpha Gas and Electric, LLC will comply with the above requirement. Please see the below certification.

18. (Applies to Residential Suppliers Only) Applicant certifies that it will provide to the residential customer accurate, timely, and itemized billing statements that describe the products and services provided to the customer and their prices and that specify the gas consumption amount and any service charges and taxes; and an additional statement, at least annually, that adequately discloses the average monthly prices, and the terms and conditions, of the products and services sold to the residential customer. [551.70(b)(3)]

Alpha Gas and Electric, LLC will comply with the above requirement. Please see the below certification.

19. Applicant certifies that it will provide refunds of any deposits with interest within 30 days after the date that the residential or small commercial customer changes gas suppliers or discontinues service if the customer has satisfied all of its outstanding financial obligations to the Applicant at an interest rate set by the Commission which shall be the same as that required of gas utilities. [551.70(b)(4)]

Alpha Gas and Electric, LLC will comply with the above requirement. Please see the below certification.

20. Applicant certifies that it will provide timely refunds of any undisputed overpayments upon oral or written request of the residential or small commercial customer. [551.70(b)(5)]

Alpha Gas and Electric, LLC will comply with the above requirement. Please see the below certification.

21. File procedures with the Commission that address the manner in which the Applicant will resolve disputed bills with its customers. These procedures should comply with the requirements of 551.70(b)(6). See Attachment 21 for dispute resolution procedures.

22. Applicant certifies that it will inform its customers how to contact the Commission to obtain consumer education materials provided pursuant to Section 19-125 of the Act. [551.70(b)(7)]

Alpha Gas and Electric, LLC will comply with the above requirement. Please see the below certification.

23. Applicant states whether it has been denied a natural gas supplier license in any state in the United States. [19-110(d)]

Alpha Gas and Electric, LLC has not been denied a natural gas supplier license in any state in the United States.

24. Applicant states whether it has had a natural gas supplier license suspended or revoked by any state in the United States. [19-110(d)]

Alpha Gas and Electric, LLC has not has a natural has supplier license suspended or revoked by any state in the United States.

25. Applicant states where, if any, it has other natural gas supplier license applications are pending in the United States. [19-110(d)]

Alpha Gas and Electric, LLC does not have any other natural gas supplier license applications pending in the United States.

26. Applicant states whether it is the subject of any lawsuits filed in a court of law or formal complaints filed with a regulatory agency alleging fraud, deception or unfair marketing practices, or other similar allegations, identifying the name, case number, and jurisdiction of each such lawsuit or complaint. [19-110(d)]

Please see Attachment 26 for explanation of lawsuit.

27. Applicant certifies its commitment of resources to the management of sales and marketing staff, through affirmative managerial policies, independent audits, technology, hands on field monitoring and training, and, in the case of any sales personnel or sales agents, within the State of Illinois, the applicant's managerial presence within the State. [19-110(e)(1)(C)]

Alpha Gas and Electric, LLC will comply with the above requirement. Please see the below certification.

28. Applicant certifies in the event that the applicant bills any residential customer directly for supply, the applicant shall ensure that when marketing to residential customers who receive any type of low income energy assistance, the applicant has entered into the necessary agreements to allow low-income customers to receive Low Income Home Energy Assistance Program (LIHEAP) benefits and Percentage of Income Payment Program (PIPP) benefits. In the applicant is granted Commission authorization to provide

supplier single billing to residential customers, the applicant shall ensure that, when marketing to residential customers who receive any type of low-income energy assistance, the applicant has entered into the necessary agreements to allow low-income customers to receive Low Income Home Energy Assistance Program (LIHEAP) benefits and Percentage of Income Payment Program (PIPP) benefits.

Alpha Gas and Electric, LLC will comply with the above requirement. Please see the below certification.

Financial Qualifications

29. Applicant's required license or permit bond is provided in Attachment 29. [551.50(a)]
30. Required materials or certifications supporting Applicant's financial qualifications pursuant to Subsection 551.80 f are provided in Attachment 30 and 30a. [551.80]

Technical Qualifications

31. Applicant will not use natural gas transmission or distribution facilities that it owns, controls or operates in serving customers. Required materials supporting Applicant's technical qualifications are provided in Attachment 32. [551.90]

James Gale, Gabe Phillips and David Wanounou have at least four years of natural gas experience.

James Gale, Gabe Phillips and David Wanounou have at least two years of experience working with rules and practices established by the North American Energy Standards Board.

Gabe Phillips, David Wanounou and Henry Bennett have four or more years of experience with enterprise financial and administration responsibilities including profit and loss responsibilities.

James Gale and David Wanounou have at least four years of experience with natural gas sales.

Managerial Qualifications

32. Required materials supporting Applicant's managerial qualifications are provided in Attachment 32. [551.100]

Applicant IS relying on one or more agents or contractors to meet the technical and managerial requirements. Each agent and contractor on whom the Applicant relies to meet these requirements is disclosed in Attachment 33.

Applicant certifies by signing below that each such agent or contractor will comply with all the sections of Part 551 that are applicable to the functions to be performed by the respective agent or contractor.

Signature: 

WHEREFORE, Applicant requests that the Commission grant its application for service authority to serve residential natural gas customers in [describe area for which certification is sought, as in paragraph 9 above].

Respectfully submitted,

Alpha Gas and Electric, LLC

By: 

David Wanounou
CEO

Usher Fogel
Usher Fogel Attorney at Law
557 Central Avenue, Suite 4A
Cedarhurst, New York 11516
Phone: 516-967-3242
Fax: 516-374-2600
Email: ufogel@aol.com

VERIFICATION

STATE OF New York
COUNTY OF Rockland

) ss:

David Wansounou, being first duly sworn, deposes and says that she/he is
[the] [a(n)] CEO ^{Alpha Gas & Electric, LLC} [of][for] [Applicant]; that he/she has read the foregoing
^{Alpha Gas & Electric, LLC} Application of [Applicant], and all of the attachments accompanying and referred to
within the Application; and that the statements contained in the Application and the
attachments are true, correct and complete to the best of [her][his] knowledge,
information and belief.



[Name of person verifying application]
David Wansounou

Subscribed and sworn to before me
this 6th day of July, [year]. 2016

Renee Levine

Notary Public

[Stamp of Notary]

RENEE LEVINE
Notary Public, State of New York
No. 02LE6127285
Qualified in Rockland County
Commission Expires May 23, 2017