

ATTACHMENT 8.a.



OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

DECEMBER 04, 2012

0409871-4

VCORP AGENT SERVICES INC
2920 W COYLE AVE
CHICAGO, IL 60645-0000

RE ALPHA GAS AND ELECTRIC, LLC

DEAR SIR OR MADAM:

IT HAS BEEN OUR PLEASURE TO APPROVE YOUR REQUEST TO TRANSACT BUSINESS IN THE STATE OF ILLINOIS. ENCLOSED PLEASE FIND THE APPROVED APPLICATION FOR ADMISSION.

PLEASE NOTE! THE LIMITED LIABILITY COMPANY MUST FILE AN ANNUAL REPORT PRIOR TO THE FIRST DAY OF THIS MONTH OF QUALIFICATION NEXT YEAR. FAILURE TO TIMELY FILE WILL RESULT IN A \$300 PENALTY AND/OR REVOCATION. A PRE-PRINTED ANNUAL REPORT WILL BE MAILED TO THE REGISTERED AGENT AT THE ADDRESS ON OUR RECORDS APPROXIMATELY 45 DAYS BEFORE THE DUE DATE.

MANY OF OUR SERVICES ARE AVAILABLE AT OUR CONTINUOUSLY UPDATED WEBSITE. VISIT WWW.CYBERDRIVEILLINOIS.COM TO VIEW THE STATUS OF THIS COMPANY, PURCHASE A CERTIFICATE OF GOOD STANDING, OR EVEN FILE THE ANNUAL REPORT REFERRED TO IN THE EARLIER PARAGRAPH.

SINCERELY YOURS,

JESSE WHITE
SECRETARY OF STATE
DEPARTMENT OF BUSINESS SERVICES
LIMITED LIABILITY DIVISION
(217) 524-8008

Form **LLC-45.5**
 June 2010

Secretary of State
 Department of Business Services
 Limited Liability Division
 501 S. Second St., Rm. 351
 Springfield, IL 62755
 217-624-8008
 www.cyberdriveillinois.com

Payment must be made by certified check, cashier's check, Illinois attorney's check, C.P.A.'s check or money order payable to Secretary of State.

Illinois Limited Liability Company Act
Application for Admission to Transact Business

SUBMIT IN DUPLICATE
 Type or Print Clearly.

This space for use by Secretary of State.

Filing Fee: \$500
 Penalty: \$
 Approved: *JB*

04098714
 FILE #1
 This space for use by Secretary of State.

FILED

DEC 04 2012
JESSE WHITE
SECRETARY OF STATE

- Limited Liability Company Name: ALPHA GAS AND ELECTRIC, LLC
- Assumed Name: _____
 (This item is only applicable if the company name in item 1 is not available for use in Illinois, in which case form LLC 1.20 must be completed and submitted with this application.)
- Jurisdiction of Organization: New York
- Date of Organization: 10/19/2010
- Period of Duration: Perpetual
 (Enter Perpetual unless there is a Date of Dissolution provided in the agreement, in which case enter that date.)
- Address of the Office required to be maintained in the jurisdiction of its organization or, if not required, of the Principal Place of Business: (P.O. Box alone or c/o is unacceptable.)
971 Route 45 Suite 202
Number Street Suite #
Pomona, New York 10970
City, State ZIP Code
- Registered Agent: Vcorp Agent Services, Inc.
First Name Middle Name Last Name
 Registered Office: 2920 W. Coya Avenue
(P.O. Box alone or c/o is unacceptable.) Number Street Suite #
Chicago Illinois 60645
City Zip Code
- If applicable, Date on which Company first conducted business in Illinois: _____

(continued on back)

Form for ARES Applicants

LLC-45.5

9. Purpose(s) for which the Company is Organized and Proposes to Conduct Business in Illinois: _____

To sell gas and electric

10. The Limited Liability Company: (check one)

a. is managed by the manager(s) (List names and addresses.)

b. has management vested in the members(s) (List names and addresses.)

David Wanounou, 34 Skylark Drive, Spring Valley, NY 10977

Israel Orzel, 8 Underwood Road, Monsey, NY 10952

Harvey Klein, 103 Shelley Circle, Monsey, NY 10952

11. The Illinois Secretary of State is hereby appointed the agent of the Limited Liability Company for service of process under circumstances set forth in subsection (b) of Section 1-50 of the Illinois Limited Liability Company Act.

12. This application is accompanied by a Certificate of Good Standing or Existence, duly authenticated within the last 60 days, by the officer of the state or county wherein the LLC is formed.

13. The undersigned affirms, under penalties of perjury, having authority to sign hereto, that this application for admission to transact business is to the best of my knowledge and belief, true, correct and complete.

Dated: 11/27/2012
Month, Day, Year

[Signature]
Signature

David Wanounou, CEO/Member
Name and Title (type or print)

If applicant is signing for a Company or other Entity, state Name of Company and indicate whether it is a member or manager of the LLC.

ATTACHMENT 14: Affiliate Chart

RELATIONSHIP OF ALPHA GAS AND ELECTRIC, LLC AND HIKO ENRGY, LLC

ALPHA GAS AND ELECTRIC, LLC
ENERGY, LLC

DAVID WANOUNOU, CEO
HARVEY KLEIN, OFFICER (non active)
ISREAL ORZEL, OFFICER (non active)

HIKO

HARVEY KLEIN, CEO
ISRAEL ORZEL, OFFICER

Alpha Gas and Electric and Hiko Energy are run independently of one another and we actively compete against one another. The above illustration of ownership is to the best of our knowledge of Hiko.

ATTACHMENT 14.c.

Proof that applicant notified the designated in-state agent for each affected utility that Applicant intends to serve customers in that utility's service area.

ComEd Notification

7/9/2016

GP Renewables & Trading LLC Mail - IL Application

From: Dina Freedman
Sent: Tuesday, August 18, 2015 3:01 PM
To: 'thomas.oneill@comed.com'
Cc: David Wanounou
Subject: Notification Of Intent To Serve Customers

Good Afternoon Thomas,

This email is to notify Ameren Illinois Company that Alpha Gas and Electric, LLC intends to serve customers in your service territory.

Thank you,

Dina Freedman

Alpha Gas and Electric

Ph: 845.810.0088 x 200

Fax: 845-501-0633

Dina@alphagne.com

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2 attachments

 **noname.eml**
20K

 **noname.eml**
14K

Form for ARES Applicants

7/5/2016

GP Renewables & Trading LLC Mail - IL Application

Dina@alphagne.com

The information contained in this message may be privileged and/or confidential and protected from disclosure. If the reader of this message is not the intended recipient, or an employee or agent responsible for delivering this message to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. Note that any views or opinions presented in this message are solely those of the author and do not necessarily represent those of Ameren. All e-mails are subject to monitoring and archival. Finally, the recipient should check this message and any attachments for the presence of viruses. Ameren accepts no liability for any damage caused by any virus transmitted by this e-mail. If you have received this in error, please notify the sender immediately by replying to the message and deleting the material from any computer. Ameren Corporation

----- Forwarded message -----

From: "O'Neill, Thomas:(ComEd)" <thomas.oneill@comed.com>
To: Dina Freedman <dina@alphagne.com>
Cc: David Wanounou <david@alphagne.com>, "Geraghty, David F:(ComEd)" <david.geraghty@comed.com>
Date: Tue, 18 Aug 2015 20:48:30 +0000
Subject: RE: Notification Of Intent To Serve Customers

Receipt acknowledged. Thanks

Tom O'Neill

ComEd

(312) 394-7205

(630) 650-5511

From: Dina Freedman [mailto:dina@alphagne.com]
Sent: Tuesday, August 18, 2015 2:04 PM
To: O'Neill, Thomas:(ComEd)
Cc: David Wanounou
Subject: [EXTERNAL] RE: Notification Of Intent To Serve Customers

Thomas,

Please note our correction. We intend to serve customers the Com Ed service territory.

Thank you!

Dina Freedman

Alpha Gas and Electric

Ph: 845.810.0088 x 200

Fax: 845-501-0633

Dina@alphagne.com

ATTACHMENT 20

License or Permit Bond

License or Permit Bond No 404019808
The Ohio Casualty Insurance Company
27201 Bella Vista Pkwy, Ste 310., Warrenville, IL 60555

KNOW ALL MEN BY THESE PRESENTS, That we, Alpha Gas and Electric, LLC as Principal, and The Ohio Casualty Insurance Company, a New Hampshire Corporation, and authorized to do business in Illinois, as Surety, are held and firmly bound unto THE PEOPLE OF THE STATE OF ILLINOIS as Obligee, in the sum of Three Hundred THOUSAND AND NO/100 Dollars (\$300,000.00), for which sum, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, by these presents.

THE CONDITIONS OF THIS OBLIGATION ARE SUCH, That WHEREAS, the Principal has been or is about to be granted a license or permit to do business to operate as an ARES (Alternative Retail Electric Supplier) under 220 ILCS 5/16-115 and is required to execute this bond under 83 Illinois Administrative Code Part 451.50 by the Obligee.

NOW, Therefore, if the Principal fully and faithfully perform all duties and obligations of the Principal as an ARES, then this obligation to be void; otherwise to remain in full force and effect.

This bond may be terminated as to future acts of the Principal upon thirty (30) days written notice by the Surety; said notice to be sent to 527 East Capitol Avenue, Springfield, Illinois 62701, of the aforesaid State of Illinois, by certified mail.

Dated this 20th day of May, 2016

Alpha Gas and Electric, LLC. [Signature] Principal

by: David Wanosow CEO.
[name] [title]

The Ohio Casualty Insurance Company _____ Surety

by: [Signature]
Rene' Roulo, Attorney-in-Fact

Form for ARES Applicants

STATE OF ILLINOIS
COUNTY OF COOK

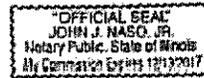
I, John J. Naso Jr., a Notary Public in and for the state and county aforesaid, do hereby certify that Rene' Roulo of Orland Park, Illinois who is personally known to me, appeared before me this day and acknowledged that she signed, sealed and delivered the foregoing instrument as her free and voluntary act as Attorney-in-Fact of the The Ohio Casualty Insurance Company, and as the free and voluntary act of the The Ohio Casualty Insurance Company, for the uses and purposes therein set forth.

Given under my hand and Notarial Seal this 20th day of May, A.D. 2016.

My commission expires December 13, 2017
NOTARIAL JURAT



Notary Public



THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 122702

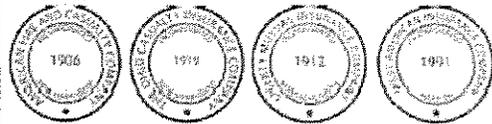
American Fire and Casualty Company Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company West American Insurance Company

POWER OF ATTORNEY

KNOW ALL PERSONS BY THESE PRESENTS: That American Fire & Casualty Company and The Ohio Casualty Insurance Company are corporations duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, John J. Naso, Jr.; Pamela S. Higginbotham; Rena Roulo

all of the city of ORLAND PARK, state of IL, each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 1st day of February, 2016.



American Fire and Casualty Company
The Ohio Casualty Insurance Company
Liberty Mutual Insurance Company
West American Insurance Company

By: [Signature]
David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA 55
COUNTY OF MONTGOMERY

On this 1st day of February, 2016 before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of American Fire and Casualty Company, Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company, and that he, as such, being authorized as to do, execute the foregoing instrument for the purposes herein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at Pymouth Meeting, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Teresa Pastella, Notary Public
Pymouth Meeting, Pennsylvania
My Commission Expires March 28, 2017
Member, Pennsylvania Association of Notaries

By: [Signature]
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS - Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings. Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or electronically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Gregory W. Davenport, the undersigned, Assistant Secretary, of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 20th day of May, 2016.



By: [Signature]
Gregory W. Davenport, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.

ATTACHMENT 21
Financial Qualifications



PJM Interconnection
2750 Monroe Blvd
Audubon, PA 19403

Faith K. Daley
Paralegal/Contract Administrator
Ph 610.666.8875 | Fax 610.666.8211
faith.daley@pjm.com

December 11, 2014

Via Email

David Wanounou, CEO
Alpha Gas and Electric, LLC
971 Route 45
Suite 202
Pomona, NY 10970

Dear Mr. Wanounou:

Alpha Gas and Electric, LLC (formerly Pan American Energy, LLC) was approved for PJM membership on December 28, 2010. Alpha Gas and Electric, LLC is a load serving entity and signed the PJM Reliability Assurance Agreement on December 2, 2010. Alpha Gas and Electric, LLC is currently a member in good standing.

Please feel free to contact me if further information is required.

Very truly yours,

A handwritten signature in cursive script that reads "Faith K. Daley".

Faith K. Daley
Paralegal/Contract Administrator

/fkd

ATTACHMENT 21a: Financial Criteria

Requested financials submitted under separate, confidential cover under Section 451.60 of Illinois Part 451.

ATTACHMENT 23: RESUMES

DAVID WANOUNOU

971 Route 45, Suite 202 | Pomona, NY 10970 | david@alphagne.com

SKILLS

- Executive Management
- Business Development
- Business Strategy
- Contract Negotiation
- Operations Management
- Project Management
- Sales Management
- Vendor Management
- Team Building

EMPLOYMENT HISTORY

Executive Vice President

1999 — 2005

IDT Corp.

- Started working as a purchasing manager and quickly grew to become Executive Vice President of a Fortune 1000 company.
- Created and implemented rules and processes for all company purchasing worldwide.
- Oversaw all aspects of purchasing over IDT Corp. and affiliates worldwide.
- Negotiated all software and maintenance contracts.
- Oversaw all insurance related risks worldwide for the company.
- Managed accounts payable and purchasing employees.

Chief Operating Officer

2005 — 2011

IDT Energy

- Joined IDT energy in its infancy and built up the company to become a large corporation.
- Helped acquire all necessary licenses and registrations.
- Oversaw all sales and marketing.
- Oversaw and assisted with the creation and implementation of all company IT systems.
- Assisted with all regulatory matters, including implementing the changes required by the regulatory authority.

President

January 2011 — August 2012

HIKO Energy

- Oversaw all sales and marketing procedures.
- Assisted in managing relationships with energy aggregators and coordinators.
- Assisted with all regulatory matters, including license procurement, complaint resolutions, and filings.
- Promoted growth within the company, including expanding the company's service areas to multiple states.
- Took a primary role in budget planning and cost monitoring.

Chief Executive Officer

August 2012 — Present

Alpha Gas and Electric, LLC.

- Developed and built the company from its infancy.
- Oversees all sales and marketing procedures.
- Oversees the operations of the company and manages its compliance with legal and regulatory requirements.
- Develops and monitors strategies for ensuring the long term viability of the company.
- Manages the day-to-day operations.
- Oversees the fiscal activities of the company, including budgeting and reporting.

Previous employment history is available upon request.

HARVEY KLEIN

12 College Road • Monsey, NY 10952
hklein@hikoenergy.com • 845-406-9100 x 11

PROFESSIONAL EXPERIENCE

HIKO ENERGY, LLC
CHIEF EXECUTIVE OFFICER

2009-PRESENT

Primary responsibilities are the financial and managerial aspects of the company. Mr. Klein also manages the day to day operations of the company.

BREAKING VENDING
OWNER

2008-PRESENT

Vending machines for Nursing Homes, Hospitals, and Office Buildings.

WHITEEAGLE PROPERTY GROUP
PARTNER

2008-PRESENT

White Eagle Property Group is a real Estate company that owns and manages over 4,500 apartment units in Tennessee, Indiana, and Florida.

KING VENTURES
OWNER

1997-PRESENT

King Ventures is a supplier of Premium incentive gifts & Promotional items for the Casino industry.

ISRAEL ORZEL

8 Underwood Road • Monsey, NY 10952
iorzel@homeforadults.com • 845-357-7387

PROFESSIONAL EXPERIENCE

HIKO ENERGY, LLC
CHAIRMAN

2009-PRESENT

Primary responsibilities are involvement in day to day operations for HIKO Energy, structuring new marketing strategies and financial responsibilities.

WHITEEAGLE PROPERTY GROUP, LLC
PRESIDENT

2009-PRESENT

A multi-faceted real estate company that includes purchasing, operations, and management of real estate located in several states across the mid-west of the United States.

ADULT CARE MANAGEMENT
OWNER/OPERATOR

1987-PRESENT

The company operates 7 assisted living facilities (Adult Care Facilities) in New York. As the owner/operator of these facilities, I am responsible for the full operation including management, financial, and compliance of these health facilities.

GABRIEL PHILLIPS

gabe@gprenew.com
212-255-8050 office

Recent Experience

GP Renewables & Trading, LLC
Founder & Chief Executive Officer

New York NY
Jan 2010-Present

- Managed the daily forecasting, bidding, and scheduling for over 20 ARES with over 500 MW load portfolios in NYISO, ISO-NE, and PJM.
- Created and executed financial hedging strategies for ARES customers with a variety of counterparties
- Procured RECs to satisfy ARES customer RPS needs

Sempra Energy Trading

Stamford CT

Term Power Trader/Assistant Trader

Sep 2007– May 2010

- Traded a portfolio of short and long term financial and physical power and natural gas positions spanning four ISOs - NYISO, ISO-NE, PJM, and Ontario IMO.
- Priced deals to service customer flow business with an average duration of less than 2 years.
- Managed basis risk to 75 different locations using over-the-counter basis markets and Financial Transmission rights (FTR) markets to hedge exposure.
- Utilized the virtual market to diversify risk between the day ahead and real time as well as take incremental risk.
- Managed financial tolling deals for two NY power plants totaling 600 MW modeled as options
- Utilized the virtual market to diversify risk between the day ahead and real time as well as take incremental risk.
- Built various stack and transmission models for day ahead and term trading

Real Time Power Trader

Sep 2006-Sep 2007

- Traded day-ahead and hour-ahead financial and physical power in the WECC region
- Built and capitalized on customer relationships in taking advantage of arbitrage and spread opportunities.
- Took day-ahead transmission positions to be utilized in real time.
- Gained proficiency in creating NERC required tags to represent the flow of energy in real time.

Day-Ahead Power Scheduler

July 2006-Sep 2006

- Coordinated the flow of long term and day-ahead physical power transactions for the West Coast Power
Provided the desk with market intelligence
- Learned to make NREC required tags to represent the flow of energy from generator to sink anywhere in the WECC region.

Collateral Analyst

Dec 2005-Jul 2006

- Processed and executed all collateral requests made by and on Sempra Energy Trading Corp, Sempra Energy Europe Ltd and Sempra Oil Trading Sarl, covering all counter parties worldwide.
- Managed basic liquidity needs by coordinating with CCO and Treasury department in the deployment of cash collateral and letters of credit.
- Reconciled the value of all types of transactions in all of SET's portfolios against the counter parties for the purpose of increasing the coverage of our credit exposure during a margin call dispute resolution. This process must be accomplished in a timely fashion without divulging sensitive information.

Business Analyst

Sep 2005-Dec 2005

- Translated elements of the trading business from settlements and trade entry to P&L calculation

Education

Johns Hopkins University, School of Engineering
Bachelor of Science, Engineering Mechanics

Baltimore, MD
2005

JONATHAN SPIVAK

jon@gprenew.com
212-255-8050 office

Recent Experience

GP Renewables & Trading, LLC
Managing Director, Risk Management & Operations

New York NY
Apr 2011-Present

- Structure and negotiate unique power hedging transactions for clientele.
- Daily load forecasting, bidding and scheduling for over 20 competitive retail electric suppliers in PJM, ISO-NE, and NYISO with over 3,000 MWh of average hourly load and spanning over ten states, with a combined customer book of ~1,000,000 residential and commercial customers.
- Forecast and maintain physical and financial power hedges in the forward market.
- Create daily, weekly, and monthly settlements reports in order to analyze costs to minimize risks.

RBS Sempra Commodities

New York NY

Financial Risk Analyst

Nov 2009-Apr 2011

- Maintained firm-wide official profit and loss, generating integral and time-sensitive company reports pivotal to all of risk management
- Prepare and maintain excel based reports using various data sources such as pricing, P&L, positions, VaR, stress etc. under rapidly changing requirements
- Verified and corrected in-house trades within various trading platforms, implemented process to verify and track explanations on trades generating substantial revenue, submitted external price reporting of physical basis and fixed natural gas trading activities on regular schedules
- Provide daily profit and loss explanations for physical and financial natural gas revenue

Margin/Credit Analyst

Aug 2008–Nov 2009

- Responsible for all margin activities including daily calls to over fifty counterparties, confirm settlements, and manage letter of credit activities.
- Primary contact for dispute resolution including exposure, interest rate and contract discrepancies
- Identify forward pricing issues via Excel pivot table analyses
- Generate ideas to improve the efficiency and accuracy of the Credit Management System
- Communicate daily cash flow and letter of credit movements through intraday reports.

Education

Bucknell University
Bachelor of Arts, Major in Economics & Minor in Political Science

Lewisburg PA
2005

Dean's List

ALEXANDRE BALDASSANO

alex@gprenew.com
212-255-8050 office

EXPERIENCE

GP Renewables & Trading, LLC
Managing Director, Renewables & Environmental Services

New York NY
Nov 2010-Present

- Analyze federal and regional renewable energy and environmental policy
- Create and market compliance and voluntary environmental credit products
- Expand renewable generation project pipeline
- Analyze state Renewable Portfolio Standard market fundamentals
- Develop spot and futures environmental credit trading strategies for managed accounts and proprietary portfolios

Natsource Asset Management LLC

New York NY

Contract Manager

Oct 2009-Oct 2010

- Manage all worldwide institutional relationships for \$500mm carbon commodity fund
- Compose monthly and quarterly investor reports for all hedge funds, managed accounts, and commodity funds
- Supervise ongoing contractual commitments between institutional investors, investment manager, and underlying investment

Operations, Sales, and Risk Associate

Apr 2008-Oct 2009

- Determined end of month beta exposures and asset allocation breakdowns for all portfolios
- Acted as a liaison between existing and potential investors, and the Director of Marketing and Sales
- Researched and grew marketing distribution channels for the Director of Marketing and Sales
- Analyzed and communicated daily carbon and FX beta exposure for largest fund directly to Senior Management
- Identified and rectified daily trade and cash reconciliations while acting as liaison between the trading desk and prime brokerage

Education

Fordham University Graduate School of Business
Master of Business Administration, Finance & Marketing concentration

New York NY
2013

Haverford College
Bachelor of Arts, Economics

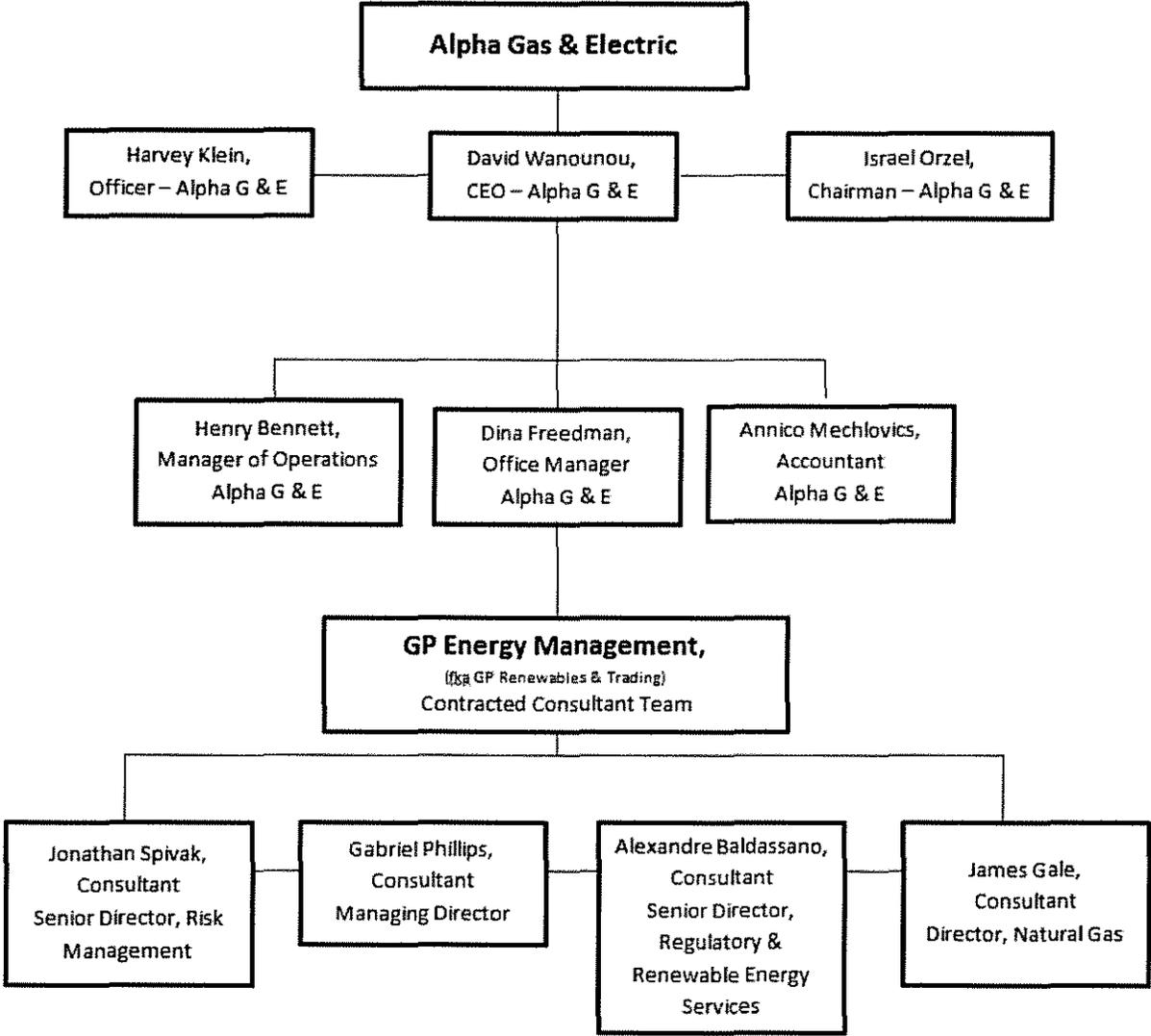
Haverford PA
2003

Temple University
General Coursework

Rome, Italy
Aug 2001-Dec 2001

ATTACHMENT 24: Corporate Organizational Chart & Managerial Qualifications
Please see Attachment 23 with resumes for managerial qualifications

CORPORATE STRUCTURE OF ALPHA GAS AND ELECTRIC, LLC.



ATTACHMENT 24a: Contractor Agreement

Requested contract submitted under separate, confidential cover under Section 451.60 of Illinois Part 451.

ATTACHMENT 32: Explanation of Lawsuit

Description of Lawsuit against Alpha Gas and Electric, LLC:

Abramson vs Alpha Gas and Electric, LLC, No. 15cv5299 in the Southern District of New York (originally filed July 8, 2015) (class action alleging violation of TCPA rules: **Case Pending**)

ATTACHMENT 32a: Explanation of Lawsuits against Alpha Affiliates

Description of Lawsuits against Alpha Gas & Electric's affiliate, Hiko Energy, LLC:

Federal District Court Actions

Todd v. HIKO Energy, LLC, No. 13-6562, in the Northern District of Illinois (originally filed on September 13, 2013) (*pro se* complaint for violation of federal do-not call regulations; **dismissed for want of prosecution**).

Chen v. HIKO Energy, LLC, No. 14-01771 in the Southern District of New York (originally filed March 24, 2014) (class action for NJ customers alleging deceptive sales practices; **case settled**).

Sasso v. HIKO Energy, LLC, No. 14-02042 in the Southern District of New York (originally filed March 24, 2014) (class action for NJ customers alleging deceptive sales practices; **case settled**).

Kantor v. HIKO Energy, LLC, No. 14-05585, in the Eastern District of Pennsylvania (originally filed on September 29, 2014) (class action for PA customers alleging deceptive sales practices; **case settled**)

Bogdanski v. HIKO Energy, LLC, No. 14-01948, in the Middle District of Pennsylvania (originally filed on October 7, 2014)(nationwide class action alleging deceptive sales **case settled**)

State Attorney General/Agency Actions

Hoffman, et al. v. HIKO Energy, LLC, No. Mer-C-32-14, in the Superior Court of New Jersey Chancery Division: Mercer County (originally filed on May 28, 2014) (action on behalf of NJ consumers alleging deceptive sales practices; **case settled**).

Kane, et al. v. HIKO Energy, LLC, No. C-2014- 2427652, before the Pennsylvania Public Utility Commission (originally filed on June 20, 2014) (action on behalf of PA consumers alleging deceptive sales practices; **case settled**).

Pennsylvania Public Utility Commission, Bureau of Investigation and Enforcement v. HIKO Energy, LLC, No. C-2014- 2431410, before the Pennsylvania Public utility Commission (originally filed July 11, 2014) (action on behalf of PA consumers related to alleged failure to satisfy savings guarantee; **case pending**).

Private State Actions

Andrawis v. HIKO Energy, LLC, No. SC 410-14, in the Superior Court of New Jersey Law Division, Special Civil Part Small Claims Section (originally filed April 4, 2014) (complaint by NJ consumer for alleged failure to satisfy rate guarantee; case settled).

Spillman v. HIKO Energy, LLC, in the Supreme Court for the State of New York, County of New York (originally filed May 21, 2015) (class action on behalf of NY consumers alleging deceptive sales practices; **case settled**)

Legal Notice

Alpha Gas and Electric LLC hereby gives notice that it has filed an application for a Certificate of Service Authority with the Illinois Commerce Commission to be an Alternative Retail Electric Provider as well as a Natural Gas Supplier. Information about this application may be obtained by contacting Alpha Gas and Electric LLC directly or by contacting the Chief Clerk of the Illinois Commerce Commission at 527 East Capital Avenue, Springfield IL 62701.

Alpha Gas and Electric, LLC
971 Route 45,
Suite 202
Pomona, NY 10970

6/19/2016

BREEZE PRINTING COMPANY

A Corporation organized and existing under and by virtue of the laws of the State of Illinois does HEREBY CERTIFY, that it is the publisher

OF THE

Breeze - Courier

That said BREEZE-COURIER is a secular newspaper and has been published daily in the City of Taylorville, County of Christian and State of Illinois, continuously for more than six months prior to, on and since the date of the first publication of the notice hereinafter referred to and is of general circulation throughout said County and State.

That a notice, of which the annexed printed slip is a true copy, was published 1 times in said Breeze-Courier namely once each week for 1 successive weeks, and that the first publication of said notice as aforesaid was made in said newspaper dated and published on the 19 day of June , A.D. 2016 and the last publication thereof was made in said newspaper dated and published on the 19 day of June , A.D. 2016

IN WITNESS WHEREOF, the undersigned, the said BREEZE PRINTING COMPANY has caused this certificate to be signed by MARYLEE COOPER RASAR, its President, this 19 day of June , A.D. 2016

BREEZE PRINTING COMPANY

By Marylee Rasar , President.

(Publication Fee \$ 6.20)

In Chancery No.

..... Court of Christian County

vs.

CERTIFICATE OF PUBLICATION
FROM
BREEZE-COURIER