



Mint Energy
1 Rounder Way
Suite 220
Burlington, MA 01803

Attachment A

Mint Energy, LLC registration in the state of Illinois.



OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

FEBRUARY 22, 2016

0559378-6

INCorp SERVICES
901 S 2ND STREET STE 201
SPRINGFIELD, IL 62704-0000

RE MINT ENERGY, LLC

DEAR SIR OR MADAM:

IT HAS BEEN OUR PLEASURE TO APPROVE YOUR REQUEST TO TRANSACT BUSINESS IN THE STATE OF ILLINOIS. ENCLOSED PLEASE FIND THE APPROVED APPLICATION FOR ADMISSION.

PLEASE NOTE! THE LIMITED LIABILITY COMPANY MUST FILE AN ANNUAL REPORT PRIOR TO THE FIRST DAY OF THIS MONTH OF QUALIFICATION NEXT YEAR. FAILURE TO TIMELY FILE WILL RESULT IN A \$300 PENALTY AND/OR REVOCATION. A PRE-PRINTED ANNUAL REPORT WILL BE MAILED TO THE REGISTERED AGENT AT THE ADDRESS ON OUR RECORDS APPROXIMATELY 45 DAYS BEFORE THE DUE DATE.

MANY OF OUR SERVICES ARE AVAILABLE AT OUR CONTINUOUSLY UPDATED WEBSITE. VISIT WWW.CYBERDRIVEILLINOIS.COM TO VIEW THE STATUS OF THIS COMPANY, PURCHASE A CERTIFICATE OF GOOD STANDING, OR EVEN FILE THE ANNUAL REPORT REFERRED TO IN THE EARLIER PARAGRAPH.

SINCERELY YOURS,

JESSE WHITE
SECRETARY OF STATE
DEPARTMENT OF BUSINESS SERVICES
LIMITED LIABILITY DIVISION
(217) 524-8008

05593786

Form **LLC-45.5**
May 2012

Secretary of State
Department of Business Services
Limited Liability Division
501 S. Second St., Rm. 351
Springfield, IL 62756
217-524-8008
www.cyberdriveillinois.com

Illinois
Limited Liability Company Act
Application for Admission to
Transact Business

FILE #

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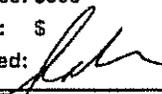
SUBMIT IN DUPLICATE

Type or Print Clearly.

This space for use by Secretary of State.

Filing Fee: \$500

Penalty: \$

Approved: 

FILED

FEB 22 2016

**JESSE WHITE
SECRETARY OF STATE**

Payment must be made by certified check, cashier's check, Illinois attorney's check, C.P.A.'s check or money order payable to Secretary of State.

1. Limited Liability Company Name: MINT ENERGY, LLC

2. Assumed Name: n/a
(This item is only applicable if the company name in item 1 is not available for use in Illinois, in which case form LLC 1.20 must be completed and submitted with this application.)

3. Jurisdiction of Organization: Commonwealth of Massachusetts

4. Date of Organization: April 16, 2010

5. Period of Duration: 10 YEARS
(Enter Perpetual unless there is a Date of Dissolution provided in the agreement, in which case enter that date.)

6. Address of the Principal Place of Business: (P.O. Box alone or c/o is unacceptable.)
1 Rounder Way, Suite 220
Number Street Suite #
Burlington Massachusetts 01803
City State ZIP Code

7. Registered Agent: Incorp. Services
First Name Middle Name Last Name
Registered Office: 901 S. 2nd Street, Ste 201
Number Street Suite #
(P.O. Box alone or c/o is unacceptable.) Springfield IL 62704
City Zip Code

Note: The registered agent must reside in Illinois. If the agent is a business entity, it must be authorized to act as agent in this state.

8. If applicable, Date on which Company first conducted business in Illinois: n/a

(continued on back)

9. Purpose(s) for which the Company is Organized and Proposes to Conduct Business in Illinois: energy supplier services

10. The Limited Liability Company: (check one)

a. is managed by the manager(s) (List names and addresses.)

David Reinfeld, Manager
1 Rounder way, Suite 220
Burlington, MA 01803

b. has management vested in the member(s) (List names and addresses.)

11. The Illinois Secretary of State is hereby appointed the agent of the Limited Liability Company for service of process under circumstances set forth in subsection (b) of Section 1-50 of the Illinois Limited Liability Company Act.

12. This application is accompanied by a Certificate of Good Standing or Existence, duly authenticated within the last 60 days, by the officer of the state or country wherein the LLC is formed.

13. The undersigned affirms, under penalties of perjury, having authority to sign hereto, that this application for admission to transact business is to the best of my knowledge and belief, true, correct and complete.

Dated: Jan. 27, 2016
Month, Day, Year


Signature

DAVID REINFELD, MANAGER
Name and Title (type or print)

Mint Energy, LLC
If applicant is signing for a Company or other Entity, state Name of Company and indicate whether it is a member or manager of the LLC.



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Attachment B

Mint Energy LLC's notifications to the designated in-state agent for Commonwealth Edison.



Mint Energy
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June 8, 2016

Commonwealth Edison
c/o Thomas S. O'Neill
440 S. LaSalle Street, Ste. 3300
Chicago, IL 60605

RE: Mint Energy, LLC's Intent to Serve

Mr. O'Neill,

Pursuant to Title 83, Chapter I, Subchapter C, Section 451.30(c)(3) of the Illinois Administrative Code, this notice should serve as Mint Energy, LLC's intent to serve retail electricity to non-residential customers in the Commonwealth Edison service area upon our successful completion of the ARES licensure process.

Should you have any questions, my contact information is provided below.

A handwritten signature in black ink, appearing to read "Anastasia Moroz".

Anastasia Moroz

Legal and Regulatory Compliance Specialist

(e) amoroz@mintenergy.net

(p) 781-229-6468

PLEASE STICKER AT TOP OF ENVELOPE TO THE RIGHT
OF THE RETURN ADDRESS, FOLD AT POINT LINE

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:
 Commonwealth Edison
 Co. Thomas S. O'Neill
 440 S LaSalle Street, Ste. 3300
 Chicago IL 60605



9590 9403 0238 5146 5048 92

2. Article Number (Transfer from service label)
 7015 0640 0006 0145 7084

COMPLETE THIS SECTION ON DELIVERY

A. Signature
 X Agent
 Addressee

B. Received by (Printed Name) C. Date of Delivery

D. Is delivery address different from item 1? Yes
 If YES, enter delivery address below: No

3. Service Type
- | | |
|--|---|
| <input checked="" type="checkbox"/> Adult Signature | <input type="checkbox"/> Priority Mail Express® |
| <input type="checkbox"/> Adult Signature Restricted Delivery | <input type="checkbox"/> Registered Mail™ |
| <input type="checkbox"/> Certified Mail® | <input type="checkbox"/> Registered Mail Restricted Delivery |
| <input type="checkbox"/> Certified Mail Restricted Delivery | <input type="checkbox"/> Return Receipt for Merchandise |
| <input type="checkbox"/> Collect on Delivery | <input type="checkbox"/> Signature Confirmation™ |
| <input type="checkbox"/> Collect on Delivery Restricted Delivery | <input type="checkbox"/> Signature Confirmation Restricted Delivery |
| <input type="checkbox"/> Insured Mail | |
| <input type="checkbox"/> Insured Mail Restricted Delivery | |



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7015 0640 0006 0145 7084



Commonwealth Edison
c/o Thomas S. O'Neill
440 S. LaSalle Street, Ste. 3300
Chicago, IL 60605



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Attachment C

Copy of bond in the amount of \$150,000.00

License or Permit Bond

License or Permit Bond No CMS0290802
RLI Insurance Company
9025 mN. Lindbergh Drive
Peoria, IL 61615

KNOW ALL MEN BY THESE PRESENTS, That we, **Mint Energy, LLC** as Principal, and **RLI Insurance Company**, a IL Corporation, and authorized to do business in Illinois, as Surety, are held and firmly bound unto THE PEOPLE OF THE STATE OF ILLINOIS as Obligee, in the sum of **ONE HUNDRED FIFTY THOUSAND AND NO/100 Dollars (\$150,000.00)**, for which sum, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, by these presents.

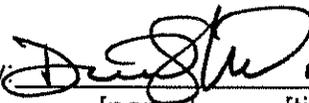
THE CONDITIONS OF THIS OBLIGATION ARE SUCH, That WHEREAS, the Principal has been or is about to be granted a license or permit to do business to operate as an ARES (Alternative Retail Electric Supplier) under 220 ILCS 5/16-115 and is required to execute this bond under 83 Illinois Administrative Code Part 451.50 by the Obligee.

NOW, Therefore, if the Principal fully and faithfully perform all duties and obligations of the Principal as an ARES, then this obligation to be void; otherwise to remain in full force and effect.

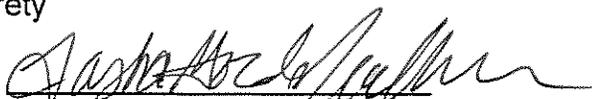
This bond may be terminated as to future acts of the Principal upon thirty (30) days written notice by the Surety; said notice to be sent to 527 East Capitol Avenue, Springfield, Illinois 62701, of the aforesaid State of Illinois, by certified mail.

Dated this 25th day of April, 2016

Mint Energy, LLC, Principal

by:  manager
[name] [title]

RLI Insurance Company, Surety

by: 
Tasha Gordon-Jackson, Attorney-In-Fact



RLI Surety
 9025 N. Lindbergh Dr. | Peoria, IL 61615
 Phone: (800)645-2402 | Fax: (309)689-2036
 www.rlicorp.com

POWER OF ATTORNEY

RLI Insurance Company

Know All Men by These Presents:

That this Power of Attorney is not valid or in effect unless attached to the bond which it authorizes executed, but may be detached by the approving officer if desired.

That **RLI Insurance Company**, an Illinois corporation, does hereby make, constitute and appoint:
Michele L. Grogan, Ann Higgins, Robin Rutlin, Tasha Gordon-Jackson, Alaina Anderson, jointly or severally

in the City of Minneapolis, State of Minnesota its true and lawful Agent and Attorney in Fact, with full power and authority hereby conferred, to sign, execute, acknowledge and deliver for and on its behalf as Surety, the following described bond.

Any and all bonds provided the bond penalty does not exceed Twenty Five Million Dollars (\$25,000,000.00).

The acknowledgment and execution of such bond by the said Attorney in Fact shall be as binding upon this Company as if such bond had been executed and acknowledged by the regularly elected officers of this Company.

The **RLI Insurance Company** further certifies that the following is a true and exact copy of the Resolution adopted by the Board of Directors of **RLI Insurance Company**, and now in force to-wit:

"All bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, any Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or Agents who shall have authority to issue bonds, policies or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile."

IN WITNESS WHEREOF, the **RLI Insurance Company** has caused these presents to be executed by its Vice President with its corporate seal affixed this 9th day of November, 2015.



RLI Insurance Company

By: B. W. Davis
 Barton W. Davis Vice President

State of Illinois }
 County of Peoria } SS

CERTIFICATE

On this 9th day of November, 2015, before me, a Notary Public, personally appeared Barton W. Davis, who being by me duly sworn, acknowledged that he signed the above Power of Attorney as the aforesaid officer of the **RLI Insurance Company** and acknowledged said instrument to be the voluntary act and deed of said corporation.

I, the undersigned officer of **RLI Insurance Company**, a stock corporation of the State of Illinois, do hereby certify that the attached Power of Attorney is in full force and effect and is irrevocable; and furthermore, that the Resolution of the Company as set forth in the Power of Attorney, is now in force. In testimony whereof, I have hereunto set my hand and the seal of the **RLI Insurance Company** this 25 day of April, 2016.

By: Jacqueline M. Bockler
 Jacqueline M. Bockler Notary Public

RLI Insurance Company

By: B. W. Davis
 Barton W. Davis Vice President



ACKNOWLEDGMENT BY SURETY

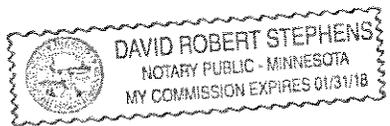
STATE OF Minnesota }
County of Hennepin } ss.

On this 25th day of April, 2014, before me personally
appeared Tasha Gordon-Jackson, known to, me to be the Attorney-in-Fact of
RLI Insurance Company, the corporation
that executed the within instrument, and acknowledged to me that such corporation executed the same.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal, at my office in the aforesaid County, the day and
year in this certificate first above written.



Notary Public in the State of Minnesota
County of Hennepin





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Attachment D

Applicant's Financial Qualifications



Member List

PJM Membership as of June 8, 2016

961 PJM Members
13 Ex Officio
Total = 974

Reset

Current Members		Pending Members	
Company	Parent Company	Sector	Member Type
<input type="text" value="mint"/>	<input type="text" value="Search"/>	All ▼	All ▼
Mint Energy, LLC	Not applicable	Other Supplier	Voting

Total: 1



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Attachment E

Mint Energy, LLC's Key Technical and Managerial Personnel Bios

David Reinfeld

Manager

(P) 781-229-6468 x301

(E) dreinfeld@mintenergy.net

Mr. Reinfeld is co-founder of Mint Energy. In addition, currently and for the past five years, Mr. Reinfeld has been Managing Member of Mint and lead Mint on a day-to-day basis from its inception in 2010 to years of successfully providing competitive electricity products and services to thousands of commercial and industrial customers throughout Connecticut, New England, and Pennsylvania. Prior to his experience at Mint, Mr. Reinfeld's experience in restructured energy markets include being co-founder and chief operating officer of Patriot Energy Group, Inc., a premiere energy consultant broker and aggregator operating in most competitive United States markets, and established in 2002. Previously, Mr. Reinfeld was a Director at Enron Corp. with experience in risk management, transaction structuring, and marketing wholesale transactions. In addition, Mr. Reinfeld developed a natural gas pipeline in Brazil and thermal electric power plants in the U.S., Brazil, and the U.K. Mr. Reinfeld holds a Bachelor's degree from the University of Rochester and a Master's Degree from Tufts Fletcher School of Law and Diplomacy.

Peter A. Duprey:

Vice President of Sales and Marketing

(P) 781-229-6468 x 860

(E) pduprey@mintenergy.net

Mr. Duprey is Vice President of Sales and Marketing for at Mint Energy i. In addition to his sales role, he is deeply involved in Mint's product development, customer data analysis and corporate strategy.

Prior to joining Mint in 2015, Mr. Duprey worked at Gulf Oil in Framingham, Massachusetts, playing a large role in that company's emergence as a major player in retail electricity. While at EnerNOC Inc. in Boston, Massachusetts, he managed its energy markets group and supply procurement/risk management consulting program. Before that, from 1998 to 2008, he managed supply and trading for two of the Nation's largest retail electricity and natural gas suppliers, Strategic Energy in Pittsburgh, Pennsylvania and Constellation NewEnergy in Baltimore, Maryland. Earlier, he held positions as Senior Consultant for Arthur Andersen's Energy Risk Management Group and as Derivative Products Sales Manager at Salomon Brothers' Phibro Energy, Inc.



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Peter holds a Master's degree in Finance and Accounting from M.I.T.'s Sloan School of Management and a Bachelor of Arts in Mathematics and Computer Science from Dartmouth College.

Doug Denny-Brown

General Counsel and Director of Regulatory Affairs and HR

(P) 781-229-6468 x305

(E) ddenny-brown@mintenergy.net

Doug Denny-Brown is currently General Counsel and Director of Regulatory Affairs and HR at Mint Energy. In his role, Mr. Denny-Brown oversees all in-house legal issues, state, federal, and other regulatory and corporate compliance, and HR/employment issues. Prior to joining Mint Energy in 2010, Mr. Denny-Brown was General Counsel of Clean Asset Partners Corporation, a Massachusetts-based solar and renewable energy certificate broker and aggregator. Previously, Mr. Denny-Brown was Manager of Public Policy outreach for Northeast Energy Efficiency Partnerships, and General Counsel and Vice-President of Regulatory Affairs at RNK Communications, a regional competitive telecommunications company. Mr. Denny-Brown worked for the Massachusetts Department of Public Utilities for 9 years in various capacities, from hearing officer to senior staff person, and ran his own law practice providing legal, policy, and business advice to a variety of business clients. Mr. Denny-Brown received his B.A. in government from Clark University in Worcester, Massachusetts, and his J.D. from New England School of Law in Boston.

John Allario:

Vice President of Finance and Risk

(P) 781-229-6468 x302

(E) jallario@mintenergy.net

Mr. Allario is Vice President of Finance and Risk at Mint Energy, LLC where he is responsible for managing the firms risk management strategies. Prior joining Mint Energy, LLC, Mr. Allario was Vice President of Business Development at Patriot Energy Group, Inc., an affiliate of Mint Energy, LLC. Mr. Allario has worked in various capacities in energy finance and operations for the past 20 years. Mr. Allario served as a Credit Risk Management and Treasury Officer for Lehman Brothers, Amerada Hess, and Enron Corp. In 2002, Mr. Allario joined Sempra Energy Trading Corp., where he co-managed Sempra's energy retail supply and finance group. John was responsible for the deal development and the due diligence of potential new customer financing and supply transactions. Mr. Allario's oversight included the on-going monitoring of customer financial and operational covenants, accounting operations, billing performance, customer marketing campaigns, receivable quality review, credit review and policy development and monitoring. Mr. Allario is a graduate of Georgetown University and received his MBA from Fordham University.

Beverly Gaudet

Controller

(P) 781-229-6468 x313

(E) bgaudet@mintenergy.net



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Beverly Gaudet is currently the Controller at Mint Energy. In her role, Beverly oversees all accounting functions, such as, preparation of monthly financial statements, quarterly budgets and cash flow projections. She is the corporate liaison with external auditors for annual audit requirements. In addition, she is responsible for establishing and executing internal controls over the company's accounting and financial procedures. Previously, Ms. Gaudet was employed as the Controller for Rounder Records Corp. an independent record label for 11 years. Prior to joining Rounder Records, Ms. Gaudet started her own consulting firm, Solutions Consulting Group, assisting her clients with eliminating inefficient processes and procedures within their corporation. Typical services included, analyzing business processes; recommend and implement changes. Evaluate cash management, budgeting, accounting, and financial reporting. Ms. Gaudet received her Bachelor of Science in Financing at Northeastern University, in Boston, MA.

**John M. Kennedy
Director of Pricing and Risk Management**

***(P) 781-229-6468 x312
(E) jkennedy@mintenergy.net***

Mr. Kennedy is the Director of Pricing and Risk Management at Mint Energy. John has approximately 18 years of experience in the energy industry covering a wide variety of roles. Most recently prior to Mint Energy, Mr. Kennedy was the Strategy and Supply Director for the entire east coast for Blu LNG, a national developer of natural gas fueling stations for the heavy trucking industry. Mr. Kennedy has also worked for energy industry leaders such as EnerNOC and Constellation NewEnergy with expertise in demand response, wholesale trading, credit risk management, wholesale origination, business development, load forecasting and retail electric pricing. Mr. Kennedy has earned a B.S. in Management from Providence College, a Master's in Finance from the Carroll School of Management at Boston College, a J.D. from New England Law, Boston and is licensed to practice law in the Commonwealth of Massachusetts.

**John Vieira
Power and Pricing Supply Manager**

***(P) 781-229-6468 x 306
(E) jvieira@mintenergy.net***

Mr. Vieira is Power & Pricing Supply Manager at Mint Energy, LLC where he is responsible for handling the companies power needs on the wholesale markets for ISO-NE and PJM. These responsibilities include forecasting, scheduling, long-term power procurement, renewable energy purchase management for compliance, and the maintenance of pricing curves. He has been in the industry since 2007 and prior to joining Mint Energy, LLC, Mr. Vieira was power trader at International Power and GDF Suez. While working for those companies he was responsible for running a large fleet of generation assets in MISO, PJM, ERCOT, and ISO-NE among other things. Mr. Vieira graduated from Bentley University's Honors Program with a major in Economics-Finance.

**Patrizia Rinko
Sr. Director of Operations and IT**



Mint Energy
1 Rounder Way
Suite 220
Burlington, MA 01803

(P) 781-229-6468 x318

(E) prinko@mintenergy.net

Ms. Rinko is Sr. Director of Operations and IT at Mint Energy, LLC. Ms. Rinko has a very strong IT background, as well as ability to deal effectively with Mint Energy, LLC systems and provide enhancements and reporting/error handling. Prior joining Mint Energy LLC's team, Ms. Rinko has been IT director at Constellation Energy for over 9 years. Ms. Rinko holds a B.S. Degree in Business Management from University of Maine.

Louis Frate: Although involved at the ownership level and not involved in day-to-day operations, Mr. Frate is a member and a manager of Mint Energy, LLC and has extensive experience in restructured energy markets, principally as co-founder and President and Chief Executive Officer of Patriot Energy Group, Inc. Mr. Frate has a BS in Business Management from Merrimack College



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Attachment F

Mint Energy LLC's Corporate Organizational Chart

