



Attachment A

Description of Applicants Business

ENERGY SPECTRUM

Introduction

Energy Spectrum, Inc. is a full service energy management company located in New York City. The company has been providing a wide array of energy management and consulting services since 1997 to a diverse market segment throughout the Tri-State area and beyond. Commercial office buildings, large residential complexes, retailers, sports complexes, medical facilities, government institutions, universities and industrial centers have all benefited from Energy Spectrum's in-depth industry knowledge and expertise which focuses on lowering energy costs while maintaining or improving operational efficiency.

Energy Spectrum places great emphasis on flexibility and client-focused effort. Energy Spectrum has a history of integrating a portfolio's growth, from an energy perspective, be that in tenancy portfolio acquisitions, scope of management offerings, or development of new territories. As such, Energy Spectrum has played an integral role in maximizing revenue through demand response participation, developing tenant submetering and cost recovery strategies, realizing savings through effective energy management and load shedding activity, and achieving overall command of all aspects of energy management through the utilization of its state of the art proprietary web based platform, **EnerPrize®**.

Energy Spectrum staff of professionals provide a diverse array of expertise, including mechanical engineering, utility and market rate analytics, BMS utilization, bill dispute resolution and technology integration. In addition to being highly qualified in their areas of expertise, our staff takes pride in providing these services with great attention to the client's needs, with significant emphasis placed on team work and education. They also provide innovative and creative solutions tailored to suit the client's needs.

Sustainability has been a catch-phrase and a buzzword throughout the industry, but to us at Energy Spectrum, sustainability is the underlying vision that drives our energy strategy.

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Company Overview

Energy Spectrum prides itself in offering its clients a centralized resource for all of their energy needs. The following is a list of some of the services that Energy Spectrum offers to its clients:

1. Commodity procurement, including electricity, natural gas, and oil
2. Demand Response management and aggregation
3. Tenant sub-meter installation, maintenance, reading and billing
4. General energy efficiency consulting and engineering design review services with in-house PE staff
5. Budgeting, cash flow analysis, project management, implementation and performance documentation (M&V) on energy efficiency projects and energy management strategies
6. Real-time load analysis and optimization
7. Co-generation (CHP) consulting, project management, and on-going system maintenance through a combination of in-house expertise, and partnerships with industry specialists, when and if required
8. Bill auditing and rate optimization
9. New York City local laws 84 and 87 compliance
10. National consulting and client representation in utility and government sponsored energy efficiency rebate programs
11. LEED® consulting services with our in-house LEED® certified engineers

Energy Spectrum has developed its own proprietary online energy management system, **EnerPrize®**, which provides both its staff as well as its clients with a powerful, intuitive and integrated platform with a set of features that allow for the immediate access to a wide variety of energy related data. Critical data pertaining to utility and ESCO billing, tenant submetering activity, interval energy consumption, hourly ISO electric pricing, BMS set points and weather data are all unified seamlessly on one unified platform. **EnerPrize®** allows users to track their Demand Response activity, as well as monitor event performance in real-time in order to ensure compliance and maximize earning potential.

The features and flexibility of **EnerPrize®**, combined with the expertise of

Energy Spectrum's consulting professionals provide a robust and powerful energy management and cost recovery solution that is unparalleled in the industry.



Energy Spectrum Approach

In its 17 plus years in business, Energy Spectrum has consistently approached projects in a systematic and professional manner. We believe that the following outline, which represents our standard operating procedure, accurately details a successful strategy when serving as an energy consultant for a client:

1. Understand the client's key objectives
2. Load account data, including historical data, into EnerPrize®
3. Investigate all available curtailment and incentives programs as well as savings opportunities due to changing commodity suppliers or rates
4. Conduct comprehensive site surveys and install real-time data monitoring
5. Analyze all historical consumption data
6. Develop a comprehensive curtailment strategy, energy efficiency upgrade recommendations, and procurement recommendations for each location
7. Prepare estimates of future potential revenue and savings using historical data
8. Present formal recommendations and strategy to client for each project
9. Implement and maintain on-going communication
10. Provide success documentation (M&V) via EnerPrize®



Attachment B

ENERGY SPECTRUM

This is to confirm that Energy Spectrum Inc. is meets and will continue to meet the requirements of Section 16-115C of the Act.

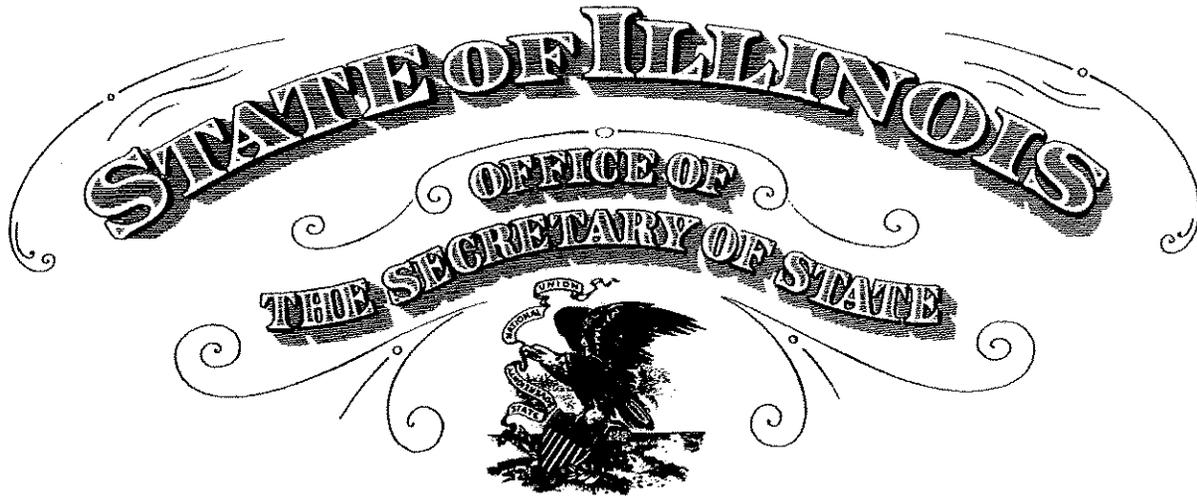
Energy Spectrum currently acts a broker of Electric and Gas supply on behalf of its clients in New York, New Jersey, Connecticut, Pennsylvania, Washington DC, and Massachusetts. There has been no complaints filed against Energy Spectrum Inc.



Attachment C
Certificate of Good Standing

File Number

6985-928-3



To all to whom these Presents Shall Come, Greeting:

I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that I am the keeper of the records of the Department of Business Services. I certify that

ENERGY SPECTRUM INC., INCORPORATED IN NEW YORK AND LICENSED TO TRANSACT BUSINESS IN THIS STATE ON JANUARY 16, 2015, APPEARS TO HAVE COMPLIED WITH ALL THE PROVISIONS OF THE BUSINESS CORPORATION ACT OF THIS STATE RELATING TO THE PAYMENT OF FRANCHISE TAXES, AND AS OF THIS DATE, IS A FOREIGN CORPORATION IN GOOD STANDING AND AUTHORIZED TO TRANSACT BUSINESS IN THE STATE OF ILLINOIS.



In Testimony Whereof, I hereto set my hand and cause to be affixed the Great Seal of the State of Illinois, this 22ND day of JUNE A.D. 2015 .

Jesse White

SECRETARY OF STATE

Authentication #: 1517301866 verifiable until 06/22/2016

Authenticate at: <http://www.cyberdriveillinois.com>



Attachment D

Managerial Qualifications



Resume's

David W. Neiburg - President, Energy Spectrum, Inc.

For over 25 years, David has been focused on economic energy solutions for multifamily, commercial and industrial businesses. The bulk of this time has been in New York City, although his experience in other locales worldwide adds immeasurably to his comprehensive understanding and success in energy. Even before his Mathematics degree from Brandeis University in 1980, David was involved in the world of energy solutions and economics. David has managed sections of economic development programs for Con Edison, directed the world's largest privatized water conservation program, and has consulted for large conglomerates and organizations both in the private and public sector. He synthesizes his business, legal, economic, and technical expertise as the head of Energy Spectrum, Inc. This firm maximizes the energy cost savings potential of its clients, facilitating tens of millions of dollars in benefits for a spectrum of companies, from large multinationals to the local bakery. His expertise lies not merely in matching up the available programs and their associated benefits to the appropriate businesses but rather to advance the thinking of the energy bottom line affects in the budget. David's modus operandi is to do what is in the best interest of the client. His finger is forever on the pulse of the energy market, technology, and governmental attitudes, optimizing the ultimate benefits to his clients. Setting precedent after precedent, David has been able to break new ground in assisting businesses in the field of energy, albeit quietly but very effectively. His exceptionally strong energy background and business experience has made him one of the most sought after consultants in the city. His efforts have resulted in many positive environment effects as well: the application and proliferation of green technologies, the encouragement of innovative perspectives on the challenges that the businesses face, interfacing with the City, State and utilities to reach challenging goals in a consistent fashion. David sees each company as individual, with its own challenges and scenarios. If a specific program does not meet the needs of a particular business, he seeks to integrate program flexibility in order to meet the common goals. Whether it's an incentive rate or the installation of cogeneration, the prescription for each business is customized to meet the goals of the client.

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Gary David - Director of Analytics

Gary's analytic abilities and technical skills have been invaluable in solving the challenges of the energy numbers game. He has spearheaded and is responsible for the development of EnerPrize®, ESI's cutting-edge web-based energy management and data software. A graduate of Cornell University with a Bachelor of Science in Statistics, Gary was an actuary before becoming a comptroller of a successful energy business. At Energy Spectrum, Inc. Gary oversees all of the energy analysis, in-house program development, monitoring, and financial projections for proposals. This results in the unmatched credibility of Energy Spectrum, Inc. Gary is an integral part of our success.

David Ahrens, PE (Director of Projects) – Senior Engineer

David has over 20 years of experience with all aspects of energy engineering, from feasibility studies and DSM to distributed generation and energy procurement. He has experience in designing, developing and commissioning multifamily and commercial projects. A licensed Professional Engineer in three states, David has a Bachelor of Engineering degree from the State University of New York Maritime College (Bronx) and a Master of Business Administration from Old Dominion University 1990. David Ahrens is currently a Senior Engineer with Energy Spectrum. He has worked with clients such as Clinton Hill, Pepsi Cola Bottling Company, the United States Tennis Association and Aviator. He provides technical and analytical support concerning competitive energy markets to large energy providers, large energy users, consulting firms, national/regional trade groups, and government agencies. He has developed and delivered educational and training seminars on energy-related matters, including interconnection, biofuels, New York City Energy Policy, and NY energy purchasing, all of them orientated towards the needs of end users. He performed research on new products, including energy systems and software, which could impact energy pricing and availability in a deregulated environment. Assemble /oversee energy master plans for large energy customers (e.g., Trump Corporation, Montefiore Medical Center). His prior experience in the energy industry includes 5 years as energy consultant for the Long Island Power Authority, preceded by more than 12 years as manager of energy-related projects at several private consulting/engineering firms. His experience as a Senior

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Management Consultant for Navigant Consulting included developing energy generation projects including cogeneration, biomass and biogas installations; energy conservation installations including a broad range of measures; and municipal and energy equipment lease transactions and performance engineering.

Overall, David has conducted energy audits and technical assistance studies of over 60 buildings, covering more than 10 million square feet. His analysis has resulted in the design and installation of energy-related equipment in the following types of facilities: boilers and chiller plants, commercial office buildings, medical centers/hospitals, schools/colleges, apartment complexes, sports facilities, and broadcast studios. He has more than 10 years of experience with energy simulation computer models including DOE-2, HAP, OPCOST, BLAST, ESP, and he is versed in computer-aided drafting (CAD). David attended NYSERDA's advanced training course for service providers entitled "Retro-commissioning Initiative for Commercial Buildings" in March 2006. David is a Member of the Board of The Association of Energy Engineers – New York City chapter. David is LEED, CEM, BPI certified and have received formal training for TREAT multifamily buildings software.



Attachment E

Technical Qualifications

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Edward Strauss, Senior Commodity Analyst-Utility Liaison

Edward is the utility company liaison for all Priority Accounts. He has more than 30 years' experience in commercial real estate and energy related services. Before Joining Energy Spectrum, he was the utilities administrator for the Helmsley-Spear. He was also a consultant and rate specialist at NUS Consulting. Ed has a BA from C.U.N.Y.'s Brooklyn College and Masters in Business Administration from Long Island University

Aron Iskowitz – Lead Analyst

Aron's analytical skills are valuable to Energy Spectrum. He has performed numerous energy analytics studies to detect energy savings and optimal energy usage/load management. He has recovered numerous billing errors for his customers after performing energy audits. Aron holds a Bachelor's degree in Mathematics and a Bachelor's in Computer Science from the Queens College of the City University of New York.

Sasha Kanayev – Senior Utility Bill Auditor

Sasha has over 10 years of experience in utility bill auditing and has recovered millions of dollars in savings for customers in residential and commercial real estate, hospitals, universities, and manufactures. Sasha holds a Bachelor's degree in Economics from University in Ukraine and a Master of Science in Finance from Pace University.

Bryan Sherman – Senior Engineer

Bryan, a mechanical engineer, has more than 34 years' experience in building maintenance and construction. He has designed and installed HVAC&R systems including controls, designed, and trained others to design and install retrofit hybrid solar heating systems. Among his various exposure to energy related buildings components has perfected an apparatus for the treatment of open re-circulated water systems. Bryan, in addition to his having attained a Bachelor of Mechanical Engineering and Energy Technology from Rochester Institute of Technology, has pursued many courses including LEED for New Construction Technical Review, BPI, CEM and TREAT software. Bryan is an active member of ASHRAE and the Refrigeration Service Engineers Society (RSES), has served on technical committees for both societies, and is a speaker and writer of technical articles on varied subjects in the HVAC field.



Attachment F

Financial Requirement(Bond)

License or Permit Bond

License or Permit Bond No IL 20658
Merchants Bonding Company (Mutual)
2100 Fleur Drive, Des Moines, Iowa 50321-1158

KNOW ALL MEN BY THESE PRESENTS, That we, Energy Spectrum Inc

Merchants Bonding Company (Mutual) as Principal, and
Merchants Bonding Company (Mutual), a Iowa Corporation, and authorized to do business in Illinois,
as Surety, are held and firmly bound unto THE PEOPLE OF THE STATE OF ILLINOIS as Obligee, in
the sum of FIVE THOUSAND AND NO/100 Dollars (\$5,000.00), for which sum, we bind ourselves, our
heirs, executors, administrators, successors and assigns, jointly and severally, by these presents.

THE CONDITIONS OF THIS OBLIGATION ARE SUCH, That WHEREAS, the Principal has
been or is about to be granted a license or permit to do business to operate as an ABC (Agent, Broker, or
Consultant) under 220 ILCS 5/16-115C and is required to execute this bond under 83 Illinois
Administrative Code Part 454.80 by the Obligee.

NOW, Therefore, if the Principal fully and faithfully perform all duties and obligations of the
Principal as an ABC, then this obligation to be void; otherwise to remain in full force and effect.

This bond may be terminated as to future acts of the Principal upon thirty (30) days written notice
by the Surety; said notice to be sent to 527 East Capitol Avenue, Springfield, Illinois 62701, of the
aforesaid State of Illinois, by certified mail.

Dated this 22nd day of June, 2015

Energy Spectrum Inc Principal

by: _____
[name] [title]

Merchants Bonding Company (Mutual) Surety

by: Scott C. Gantos
[name] [title]

Scott C Gantos Attorney-in-Fact

MERCHANTS
BONDING COMPANY
POWER OF ATTORNEY

Bond #: IL 20658

Know All Persons By These Presents, that MERCHANTS BONDING COMPANY (MUTUAL) and MERCHANTS NATIONAL BONDING, INC., both being corporations of the State of Iowa (herein collectively called the "Companies") do hereby make, constitute and appoint, individually,

Scott C Gantos

their true and lawful Attorney(s)-in-Fact, to make, execute, seal and deliver on behalf of the Companies, as Surety, bonds, undertakings and other written obligations in the nature thereof, subject to the limitation that any such instrument shall not exceed the amount of:

TWO MILLION (\$2,000,000.00) DOLLARS

This Power-of-Attorney is granted and is signed and sealed by facsimile under and by authority of the following By-Laws adopted by the Board of Directors of Merchants Bonding Company (Mutual) on April 23, 2011 and adopted by the Board of Directors of Merchants National Bonding, Inc., on October 24, 2011.

"The President, Secretary, Treasurer, or any Assistant Treasurer or any Assistant Secretary or any Vice President shall have power and authority to appoint Attorneys-in-Fact, and to authorize them to execute on behalf of the Company, and attach the seal of the Company thereto, bonds and undertakings, recognizances, contracts of indemnity and other writings obligatory in the nature thereof."

"The signature of any authorized officer and the seal of the Company may be affixed by facsimile or electronic transmission to any Power of Attorney or Certification thereof authorizing the execution and delivery of any bond, undertaking, recognizance, or other suretyship obligations of the Company, and such signature and seal when so used shall have the same force and effect as though manually fixed."

In connection with obligations in favor of the Florida Department of Transportation only, it is agreed that the power and authority hereby given to the Attorney-in-Fact includes any and all consents for the release of retained percentages and/or final estimates on engineering and construction contracts required by the State of Florida Department of Transportation. It is fully understood that consenting to the State of Florida Department of Transportation making payment of the final estimate to the Contractor and/or its assignee, shall not relieve this surety company of any of its obligations under its bond.

In connection with obligations in favor of the Kentucky Department of Highways only, it is agreed that the power and authority hereby given to the Attorney-in-Fact cannot be modified or revoked unless prior written personal notice of such intent has been given to the Commissioner-Department of Highways of the Commonwealth of Kentucky at least thirty (30) days prior to the modification or revocation.

In Witness Whereof, the Companies have caused this Instrument to be signed and sealed this 6th day of March, 2015.



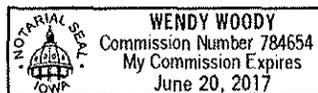
MERCHANTS BONDING COMPANY (MUTUAL)
MERCHANTS NATIONAL BONDING, INC.

By *Larry Taylor*

President

STATE OF IOWA
COUNTY OF POLK ss.

On this 6th day of March, 2015, before me appeared Larry Taylor, to me personally known, who being by me sworn did say that he is President of the MERCHANTS BONDING COMPANY (MUTUAL) and MERCHANTS NATIONAL BONDING, INC.; and that the seals affixed to the foregoing instrument are the Corporate seals of the Companies; and that the said instrument was signed and sealed in behalf of the Companies by authority of their respective Boards of Directors.



Wendy Woody
Notary Public, Polk County, Iowa

(Expiration of notary's commission does not invalidate this instrument)

I, William Warner, Jr., Secretary of the MERCHANTS BONDING COMPANY (MUTUAL) and MERCHANTS NATIONAL BONDING, INC., do hereby certify that the above and foregoing is a true and correct copy of the POWER-OF-ATTORNEY executed by said Companies, which is still in full force and effect and has not been amended or revoked.

In Witness Whereof, I have hereunto set my hand and affixed the seal of the Companies on this 22nd day of June, 2015.



William Warner Jr.
Secretary



Attachment G

This is to Confirm that Energy Spectrum Inc. will comply with all of Section 454.90 (Code of Conduct) and Section 454.110 Reporting Requirements