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[Westphal & Company, Inc.]	:	
Application for Certification as an Installer of Distributed Generation Facilities under Section 16-128A of the Public Utilities Act.	:	Docket No. 15-0428

APPLICATION

[Westphal & Company, Inc.] ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it certification to install distributed generation facilities pursuant to Section 16-128A of the Public Utilities Act ("Act") and 83 Ill. Adm. Code 468 ("Part 468"). In support of its application, Applicant states as follows:

REQUIRED APPLICATION INFORMATION [Part 468.50]

1. The Applicant's name (including d/b/a, if any), street address, telephone number, facsimile number and website and e-mail addresses:

Westphal & Company, Inc.
750 Cedar Cross Rd.
Dubuque, IA 52003
563.583.5749
563.588.3202
www.westphalec.com
rthielen@westphalec.com

2. Contact information, including names, addresses, telephone numbers, facsimile numbers and e-mail addresses for persons or entities responsible for issues related to processing the application:

Ron Thielen
Vice President
750 Cedar Cross Rd
Dubuque, IA 52003
563.583.5749
rthielen@westphalec.com

3. Applicant's Federal Employer Identification Number (FEIN) or Taxpayer Identification Number (TIN), as applicable, is:

   39-0857582

4. Applicant will comply with informational and reporting requirements established under this Part.

5. Applicant agrees to accept service by electronic means as provided for in 83 Ill. Adm. Code 200.1050 (the Commission's Rules of Practice).

6. Attached to this application is an exhibit marked as Exhibit A containing the following information for each qualified person who will perform or directly supervise installations to satisfy the requirements of this Part:

   a) A copy of the certification of satisfactory completion of the relevant training programs; and/or

   b) An affidavit by each qualifying person attesting to having satisfactorily completed at least five installations of distributed generation facilities, the affidavit to include the type of each distributed generation facility (wind turbine, fuel cell, natural gas generator, etc.)

CERTIFICATION REQUIREMENTS [Part 468.60]

7. Applicant certifies that:

   a) it will remain in compliance with all applicable laws and regulations and Commission rules and orders, including, but not limited to, the requirements of Sections 16-128(a) and 16-128A of the Act and Part 468.

   b) it will ensure that its employees, agents or contractors, or the employees, agents or contractors of any entity, agent or contractor with which it has contracted to perform those functions within the State of Illinois, shall:

      1) Comply with applicable building and electrical codes, including those contained in the NEC;
      2) Comply with the distributed generation facility manufacturer's installation instructions;
      3) Install only distributed generation facilities that meet recognized industry standards; and

4) Ensure that all obligations required under this Part and Sections 16-128(a) and 16-128A of the Act are met prior to placing into, or returning into, use any distributed generation facility that the certificate holder installed.

c) it will comply with applicable licensing and municipal bonding requirements to do business in the State of Illinois.

d) every installation of a distributed generation facility will be performed only by:
   1) a qualified person; or;
   2) an electrical contractor who is not a qualified person, provided he/she is directly supervised by a qualified person; or;
   3) a person who is not a qualified person but is enrolled in a training program that, upon satisfactory completion, will meet the requirement to become a qualified person, provided he/she is directly supervised by a qualified person.

e) it is licensed to do business in the State of Illinois.

RECERTIFICATION REQUIREMENTS [Part 468.80]

8. Applicant agrees to submit recertification reports in accordance with annual recertification requirements.

MAINTENANCE OF RECORDS [Part 468.110]

9. Applicant agrees to adopt and follow procedures ensuring that documentation regarding the installation of distributed generation facilities are retained for a period of not less than three calendar years after the calendar year in which they were created.

CONSUMER COMPLAINTS

10. List each state other than Illinois in which Applicant is certified or licensed to install distributed generation facilities.

None

11. Provide the number of consumer complaints received by the Applicant related to the Applicant's installation of distributed generation facilities in each State, including Illinois, for the past five years.

N/A

If consumer complaints have been received, provide an explanation of

a) the types of complaints received;

b) the disposition of each complaint; and

c) the remedial plans Applicant has undertaken to ensure that such complaints do not occur in the future.

N/A

WHEREFORE, Applicant requests that the Commission grant its application for certification as a Distributed Generation Installer.

Respectfully submitted,

Ron Thielen, VP, Officer

VERIFICATION

STATE OF Iowa

COUNTY OF Dubuque

Ron Thielen, being first duly sworn, deposes and says that he is the Applicant; that he has read the foregoing Application, and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of his knowledge, information and belief.

Ron Thielen

To be completed by a Notary Public

Subscribed and sworn to before me

this 16th day of July, 2015

Notary Public

KAYLA FURLONG
Commission Number 782462
My Commission Expires
1-31-16

Revised 1/5/15