

Illinois-American Water Company
QIP Surcharge Reconciliation-Zone 1
For the Year Ended December 31, 2011

Line No.	Description (A)	As Filed Per Company (B)	Adjustments (C)	Per Order (D)
1	<u>(Over)/Under recovery Carried Forward from Prior Year</u>			
2	<u>R Factor (refund)/recovery from prior year:</u>			
3	Prior Period R Factor (2010) Docket No. 11-0264	\$ 335,146	\$ -	335,146
4				
5	<u>Factor Os not yet (refunded)/recovered at beginning of year:</u>			
6	2010 Factor O (Refund) Docket No. 11-0264	-	(182) (2)	(182)
7		-	-	-
8	Cumulative (Over)/Under Recovery from Prior Year (Sum of lines 3 through 7)	<u>\$ 335,146</u>	<u>\$ (182)</u>	<u>\$ 334,964</u> (1)
9	<u>Current Year (Over)/Under recovery</u>			
10	Recoverable QIP Costs	\$ 1,334,503	\$ (242) (3)	1,334,261
11	QIP Revenues Recovered	1,486,173	-	1,486,173
12	Other Adjustments (Rounding)	-	-	-
13	(Over)/Under Recovery of Current Year (line 10 - line 11 + line 12)	<u>\$ (151,670)</u>	<u>\$ (242)</u>	<u>\$ (151,912)</u>
14	Interest on 2010 Factor O	-	(1) (4)	(1)
15	Current Year (Over)/Under recovery (line 13 + line 14)	<u>\$ (151,670)</u>	<u>\$ (243)</u>	<u>(151,913)</u>
16	<u>Cumulative (Over)/Under recovery (line 8 + line 15)</u>	<u>\$ 183,476</u>	<u>\$ (425)</u>	<u>\$ 183,051</u>
17	<u>Disposition of Cumulative (Over)/Under Recovery</u>			
18	R Factor (Refund)/Recovery to be applied in 2012	\$ 183,476	\$ -	\$ 183,476
19	Factor O (Refund)/Recovery to be applied in a future year:			
20	2010 Factor O (Refund) Docket No. 11-0264	-	(182)	(182)
21	Interest on 2010 Factor O	-	(1)	(1)
22	2011 Factor O (Refund) Docket No. 12-0201	-	(242)	(242)
23	Rounding	-	-	-
24	<u>Total (Agrees to line 16)</u>	<u>\$ 183,476</u>	<u>\$ (425)</u>	<u>\$ 183,051</u>

Source: Col. (B): IAWC Section 656.80(f)(3)
(1) Agrees to Order, Docket No. 11-0264, Appendix, col. (D), line 11
(2) Docket No. 11-0264
(3) (\$167) [IAWC Ex. 1.10, Col. (B)] + (\$75) (Attachment A)
(4) Staff Sch. 1.06 (Appendix p. 6)
Col. (D): Col. (B) + Col. (C)

Illinois-American Water Company
QIP Surcharge Reconciliation-Pekin
For the Year Ended December 31, 2011

Line No.	Description (A)	As Filed Per Company (B)	Adjustment (C)	Per Order (D)
1	<u>(Over)/Under recovery Carried Forward from Prior Year</u>			
2	<u>R Factor (refund)/recovery from prior year:</u>			
3		\$ -	\$ -	-
4				
5	<u>Factor Os not yet (refunded)/recovered at beginning of year:</u>			
6		-	-	-
7		-	-	-
8	Cumulative (Over)/Under Recovery from Prior Year (Sum of lines 3 through 7)	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
9	<u>Current Year (Over)/Under recovery</u>			
10	Recoverable QIP Costs	\$ 25,037	\$ (380)	24,657
11	QIP Revenues Recovered	38,262	-	38,262
12	Other Adjustments (Rounding)	-	-	-
13	(Over)/Under Recovery of Current Year (line 10 - line 11 + line 12)	<u>\$ (13,225)</u>	<u>\$ (380)</u>	<u>\$ (13,605)</u>
14	Interest on Factor O	-	-	-
15	Current Year (Over)/Under recovery (line 13 + line 14)	<u>\$ (13,225)</u>	<u>\$ (380)</u>	<u>(13,605)</u>
16	Cumulative (Over)/Under recovery (line 8 + line 15)	<u>\$ (13,225)</u>	<u>\$ (380)</u>	<u>\$ (13,605)</u>
17	<u>Disposition of Cumulative (Over)/Under Recovery</u>			
18	R Factor (Refund)/Recovery to be applied in 2012	\$ (13,225)	\$ -	\$ (13,225)
19	Factor O (Refund)/Recovery to be applied in a future year:			
20		-	-	-
21		-	-	-
22	2011 Factor O (Refund) Docket No. 12-0201	-	(380)	(380)
23	Rounding	-	-	-
24	Total (Agrees to line 16)	<u>\$ (13,225)</u>	<u>\$ (380)</u>	<u>\$ (13,605)</u>

Source: Col. (B): IAWC Ex. 1.10
Col. (C): Staff Ex. 2.0 and Attachment B
Col. (D): Col. (B) + Col. (C)

Illinois-American Water Company
QIP Surcharge Reconciliation-Lincoln
For the Year Ended December 31, 2011

Line No.	Description (A)	As Filed Per Company (B)	Adjustment (C)	Per Order (D)
1	<u>(Over)/Under recovery Carried Forward from Prior Year</u>			
2	<u>R Factor (refund)/recovery from prior year:</u>			
3		\$ -	\$ -	-
4				
5	<u>Factor Os not yet (refunded)/recovered at beginning of year:</u>			
6		-	-	-
7		-	-	-
8	Cumulative (Over)/Under Recovery from Prior Year (Sum of lines 3 through 7)	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
9	<u>Current Year (Over)/Under recovery</u>			
10	Recoverable QIP Costs	\$ 18,045	\$ -	18,045
11	QIP Revenues Recovered	19,038	-	19,038
12	Other Adjustments (Rounding)	-	-	-
13	(Over)/Under Recovery of Current Year (line 10 - line 11 + line 12)	<u>\$ (993)</u>	<u>\$ -</u>	<u>\$ (993)</u>
14	Interest on Factor O	-	-	-
15	Current Year (Over)/Under recovery (line 13 + line 14)	<u>\$ (993)</u>	<u>\$ -</u>	<u>(993)</u>
16	<u>Cumulative (Over)/Under recovery (line 8 + line 15)</u>	<u>\$ (993)</u>	<u>\$ -</u>	<u>\$ (993) (1)</u>
17	<u>Disposition of Cumulative (Over)/Under Recovery</u>			
18	R Factor (Refund)/Recovery to be applied in 2012	\$ (993)	\$ -	\$ (993)
19	Factor O (Refund)/Recovery to be applied in a future year:			
20	N/A	-	-	-
21		-	-	-
22		-	-	-
23	Rounding	-	-	-
24	<u>Total (Agrees to line 16)</u>	<u>\$ (993)</u>	<u>\$ -</u>	<u>\$ (993)</u>

Source: Col. (B): IAWC Ex. 1.10
Col. (C): ICC Staff Ex. 1.0
Col. (D): Col. (B) + Col. (C)
(1) Agrees to IAWC Ex 1.10, line 11, col. (C)

Illinois-American Water Company
QIP Surcharge Reconciliation-Chicago Water
For the Year Ended December 31, 2011

Line No.	Description (A)	As Filed Per Company (B)	Adjustment (C)	Per Order (D)
1	<u>(Over)/Under recovery Carried Forward from Prior Year</u>			
2	<u>R Factor (refund)/recovery from prior year:</u>			
3		\$ -	\$ -	-
4				
5	<u>Factor Os not yet (refunded)/recovered at beginning of year:</u>			
6		-	-	-
7		-	-	-
8	Cumulative (Over)/Under Recovery from Prior Year (Sum of lines 3 through 7)	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
9	<u>Current Year (Over)/Under recovery</u>			
10	Recoverable QIP Costs	\$ 290,431	\$ -	290,431
11	QIP Revenues Recovered	188,668	-	188,668
12	Other Adjustments (Rounding)	-	-	-
13	(Over)/Under Recovery of Current Year (line 10 - line 11 + line 12)	<u>\$ 101,763</u>	<u>\$ -</u>	<u>\$ 101,763</u>
14	Interest on Factor O	-	-	-
15	Current Year (Over)/Under recovery (line 13 + line 14)	<u>\$ 101,763</u>	<u>\$ -</u>	<u>101,763</u>
16	<u>Cumulative (Over)/Under recovery (line 8 + line 15)</u>	<u>\$ 101,763</u>	<u>\$ -</u>	<u>\$ 101,763</u> (1)
17	<u>Disposition of Cumulative (Over)/Under Recovery</u>			
18	R Factor (Refund)/Recovery to be applied in 2012	\$ 101,763	\$ -	\$ 101,763
19	Factor O (Refund)/Recovery to be applied in a future year:			
20	N/A	-	-	-
21		-	-	-
22		-	-	-
23	Rounding	-	-	-
24	<u>Total (Agrees to line 16)</u>	<u>\$ 101,763</u>	<u>\$ -</u>	<u>\$ 101,763</u>

Source: Col. (B): IAWC Ex. 1.10
Col. (C): ICC Staff Ex. 1.0
Col. (D): Col. (B) + Col. (C)
(1) Agrees to IAWC Ex 1.10, line 11, col. (C)

Illinois-American Water Company
QIP Surcharge Reconciliation-Chicago Waste Water
For the Year Ended December 31, 2011

Line No.	Description (A)	As Filed Per Company (B)	Adjustment (C)	Per Order (D)
1	<u>(Over)/Under recovery Carried Forward from Prior Year</u>			
2	<u>R Factor (refund)/recovery from prior year:</u>			
3		\$ -	\$ -	-
4				
5	<u>Factor Os not yet (refunded)/recovered at beginning of year:</u>			
6		-	-	-
7		-	-	-
8	Cumulative (Over)/Under Recovery from Prior Year (Sum of lines 3 through 7)	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
9	<u>Current Year (Over)/Under recovery</u>			
10	Recoverable QIP Costs	\$ 121,100	\$ 2,366	123,466
11	QIP Revenues Recovered	151,726	-	151,726
12	Other Adjustments (Rounding)	-	-	-
13	(Over)/Under Recovery of Current Year (line 10 - line 11 + line 12)	<u>\$ (30,626)</u>	<u>\$ 2,366</u>	<u>\$ (28,260)</u>
14		-	-	-
15	Current Year (Over)/Under recovery (line 13 + line 14)	<u>\$ (30,626)</u>	<u>\$ 2,366</u>	<u>(28,260)</u>
16	<u>Cumulative (Over)/Under recovery (line 8 + line 15)</u>	<u>\$ (30,626)</u>	<u>\$ 2,366</u>	<u>\$ (28,260)</u> (1)
17	<u>Disposition of Cumulative (Over)/Under Recovery</u>			
18	R Factor (Refund)/Recovery to be applied in 2012	\$ (30,626)	\$ -	\$ (30,626)
19	Factor O (Refund)/Recovery to be applied in a future year:			
20		-	-	-
21	2011 Factor O (Refund) Docket No. 12-0201	-	2,366	2,366
22		-	-	-
23	Rounding	-	-	-
24	<u>Total (Agrees to line 16)</u>	<u>\$ (30,626)</u>	<u>\$ 2,366</u>	<u>\$ (28,260)</u>

Source: Col. (B): IAWC Ex. 1.10
Col. (C): IAWC Ex. 1.10, Col. (B)
Col. (D): Col. (B) + Col. (C)
(1) Agrees to IAWC Ex 1.10, line 11, col. (C)

Illinois-American Water Company
Interest Calculation on 2010 Factor O
For the Year Ended December 31, 2011

Line No.	Description (A)	Zone 1 Amount (B)
1	2010 Factor O to be (refunded)/recovered as determined in Docket No. 11-0264	\$ (182)
2	Commission approved Interest Rate, January, 2011 through December, 2011:	0.50%
3	Commission approved Interest Rate, January, 2012 through December, 2012:	0.00%
4	Commission approved Interest Rate, January, 2013 through December, 2013:	0.00%
5	Commission approved Interest Rate, January, 2014 through December, 2014:	0.00%
6	Commission approved Interest Rate, January, 2015 through December, 2015:	<u>0.00%</u>
7	Interest on Line 1	<u><u>\$ (1)</u></u>

Source: Line 1, Col. (B): Commission Order, Docket No. 11-0264, Appendix, Page 1, line 11

Line 1, Col. (C): Staff Sch. 1.05, Col. (C), line 10

Line 2: Commission Order, Docket No. 10-0719, dated December 15, 2010

Line 3: Commission Order, Docket No. 11-0793, dated December 21, 2011

Line 4: Commission Order, Docket No. 12-0686, dated December 19, 2012

Line 5: Commission Order, Docket No. 13-0695, dated December 18, 2013

Line 6: Commission Order, Docket No. 14-0742, dated December 17, 2014

Line 7: Line 1 x line 2

83 Ill. Adm. Code 656.80(i) provides, "Amounts either collected or refunded through the O component shall accrue interest at the rate established by the Commission under 83 Ill. Adm. Code 280.70(e)(1). Interest on the O component shall be applied from the end of the reconciliation year until the O component is refunded or charged to ratepayers through the QIP surcharge."