

**ILLINOIS COMMERCE  
COMMISSION**

**NOTICE**

*Application of Viridian Energy PA LLC for Certificate of Service Authority under Section 19-110 of the Public Utilities Act.*

On May 29, 2015, Viridian Energy PA LLC filed an application with the Illinois Commerce Commission ("ICC") for a license to provide natural gas supply services as an Alternative Gas Supplier. Viridian Energy PA LLC proposes to provide natural gas supply and related services throughout all of Illinois.

By and through  
Counsel:

Barbara A. Clay  
Viridian Energy PA  
LLC

1055 Washington Blvd.,  
Seventh Floor  
Stamford, CT 06901  
1-888-663-2508

5/29/2015

# BREEZE PRINTING COMPANY

A Corporation organized and existing under and by virtue of the laws of the State of Illinois does HEREBY CERTIFY, that it is the publisher

OF THE

## Breeze-Courier

That said BREEZE-COURIER is a secular newspaper and has been published daily in the City of Taylorville, County of Christian and State of Illinois, continuously for more than six months prior to, on and since the date of the first publication of the notice hereinafter referred to and is of general circulation throughout said County and State.

That a notice, of which the annexed printed slip is a true copy, was published ..... 1 ..... times in said Breeze-Courier namely once each week for ..... successive weeks, and that the first publication of said notice as aforesaid was made in said newspaper dated and published on the 29 day of May, A.D. 2015 and the last publication thereof was made in said newspaper dated and published on the 29 day of May, A.D. 2015

IN WITNESS WHEREOF, the undersigned, the said BREEZE PRINTING COMPANY has caused this certificate to be signed by MARYLEE COOPER RASAR, its President, this 29 day of May, A.D. 2015

BREEZE PRINTING COMPANY

By Marylee Rasar, President.

(Publication Fee \$ 7.60 )

## **ATTACHMENT 7**

### **REGISTRATION TO DO BUSINESS IN ILLINOIS**

*Please see the attached documentation demonstrating that Applicant is licensed to do business in the State of Illinois. [551.20(e)]*

File Number 0295291-2



*To all to whom these Presents Shall Come, Greeting:*

*I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that*

VIRIDIAN ENERGY PA, LLC, A NEVADA LIMITED LIABILITY COMPANY HAVING OBTAINED ADMISSION TO TRANSACT BUSINESS IN ILLINOIS ON MARCH 24, 2010, APPEARS TO HAVE COMPLIED WITH ALL PROVISIONS OF THE LIMITED LIABILITY COMPANY ACT OF THIS STATE, AND AS OF THIS DATE IS IN GOOD STANDING AS A FOREIGN LIMITED LIABILITY COMPANY ADMITTED TO TRANSACT BUSINESS IN THE STATE OF ILLINOIS.



Authentication #: 1222601376

Authenticate at: <http://www.cyberdriveillinois.com>

*In Testimony Whereof, I hereto set my hand and cause to be affixed the Great Seal of the State of Illinois, this 13TH day of AUGUST A.D. 2012 .*

*Jesse White*

SECRETARY OF STATE



## OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

MARCH 24, 2010

0295291-2

CORPDIRECT AGENTS  
520 S 2ND ST #403  
SPRINGFIELD, IL 62701-0000

RE VIRIDIAN ENERGY PA, LLC

DEAR SIR OR MADAM:

IT HAS BEEN OUR PLEASURE TO APPROVE YOUR REQUEST TO TRANSACT BUSINESS IN THE STATE OF ILLINOIS. ENCLOSED PLEASE FIND THE APPROVED APPLICATION FOR ADMISSION.

PLEASE NOTE! THE LIMITED LIABILITY COMPANY MUST FILE AN ANNUAL REPORT PRIOR TO THE FIRST DAY OF THIS MONTH OF QUALIFICATION NEXT YEAR. FAILURE TO TIMELY FILE WILL RESULT IN A \$300 PENALTY AND/OR REVOCATION. A PRE-PRINTED ANNUAL REPORT WILL BE MAILED TO THE REGISTERED AGENT AT THE ADDRESS ON OUR RECORDS APPROXIMATELY 45 DAYS BEFORE THE DUE DATE.

MANY OF OUR SERVICES ARE AVAILABLE AT OUR CONTINUOUSLY UPDATED WEBSITE. VISIT [WWW.CYBERDRIVEILLINOIS.COM](http://WWW.CYBERDRIVEILLINOIS.COM) TO VIEW THE STATUS OF THIS COMPANY, PURCHASE A CERTIFICATE OF GOOD STANDING, OR EVEN FILE THE ANNUAL REPORT REFERRED TO IN THE EARLIER PARAGRAPH.

SINCERELY YOURS,

JESSE WHITE  
SECRETARY OF STATE  
DEPARTMENT OF BUSINESS SERVICES  
LIMITED LIABILITY DIVISION  
(217) 524-8008

JW:LLC

Form **LLC-45.5**  
October 2009Secretary of State **Jesse White**  
Department of Business Services  
Limited Liability Division  
501 S. Second St., Rm. 351  
Springfield, IL 62756  
217-524-8008  
www.cyberdriveillinois.comPayment must be made by certified  
check, cashier's check, Illinois attorney's  
check, C.P.A.'s check or money order  
payable to Secretary of State.**Illinois Limited Liability Company Act**  
**Application for Admission**  
**to Transact Business****SUBMIT IN DUPLICATE**

Must be typewritten.

This space for use by Secretary of State.

Filing Fee: \$500

Penalty: \$

Approved: *Sm*

0295291-2

FILE #

This space for use by Secretary of State.

**FILED****MAR 24 2010****JESSE WHITE**  
**SECRETARY OF STATE**1. Limited Liability Company Name: Viridian Energy PA, LLC

2. Assumed Name: \_\_\_\_\_

By electing this Assumed Name, the Limited Liability Company hereby agrees not to use its Company Name in the transaction of business in Illinois. Form LLC-120 is attached.

3. Jurisdiction of Organization: Nevada4. Date of Organization: October 1 20095. Period of Duration: Perpetual

6. Address, including County, of the Office required to be maintained in the jurisdiction of its organization or, if not required, of the Principal Place of Business: (P.O. Box alone or c/o is unacceptable.)

263 Tresser Blvd, Floor 9.  
Number Street Suite #Stamford, CT 06901 - Fairfield County  
City/State ZIP Code County7. Registered Agent: CorpDirect Agents

First Name Middle Name Last Name

Registered Office: 520 South Second Street, Suite 403

(P.O. Box alone or c/o is unacceptable.) Number Street Suite #

Springfield, IL Jengamon Illinois 62701  
City County ZIP Code

8. If applicable, Date on which Company first conducted business in Illinois: \_\_\_\_\_

(continued on back)

<b>Transaction Result:</b>			
<b>Date/Time:</b>	Wednesday, March 24, 2010/9:05:35 AM PDT		
<b>Merchant ID:</b>	110815	<b>Total Amount:</b>	\$ 514.75
<b>Transaction Type:</b>	CC SALE	<b>ConvFee Amount:</b>	\$ 14.75
<b>Response Type:</b>	A	<b>Response Code:</b>	A01
<b>Response Description:</b>	APPROVED	<b>Authorization Code:</b>	136361
<b>ATM Verify Result:</b>		<b>Details:</b>	
<b>Trace Number:</b>	6C1AAAFD-82B3-47B0-8B09-FD39C480F416		

<b>Payment Info:</b>	
<b>Customer Name:</b>	Michael J Fallquist
<b>Payment Method:</b>	Credit Card: AMER
<b>Last 4 digits:</b>	*****1005
<b>File No.:</b>	02952912-SKM1
<b>Wallet ID:</b>	

<b>Contact Info:</b>	
<b>Company Name:</b>	SOS/BS LIMITED LIABILITY
<b>Street Name:</b>	
<b>Street Name 2:</b>	
<b>City:</b>	
<b>State:</b>	<b>Postal Code:</b>
<b>Phone Number:</b>	<b>Fax Number:</b>
<b>Customer Service:</b>	

Account Holder/Authorization Signature \_\_\_\_\_

## **ATTACHMENT 8-1**

### **DESCRIPTION OF CRIUS ENERGY LLC**

Crius Energy, LLC is a holding company for independent energy retailers that market and sell electricity, natural gas and solar products to residential and commercial customers in the United States. Crius Energy's operating entities include Viridian Energy, Cincinnati Bell Energy, FairPoint Energy, Public Power, Everyday Energy and TriEagle Energy. Together these operating entities sell electricity, natural gas and solar products in Arizona, California, Connecticut, Delaware, the District of Columbia, Hawaii, Illinois, Indiana, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Ohio, Oregon, Pennsylvania, Rhode Island, Texas, and Virginia.

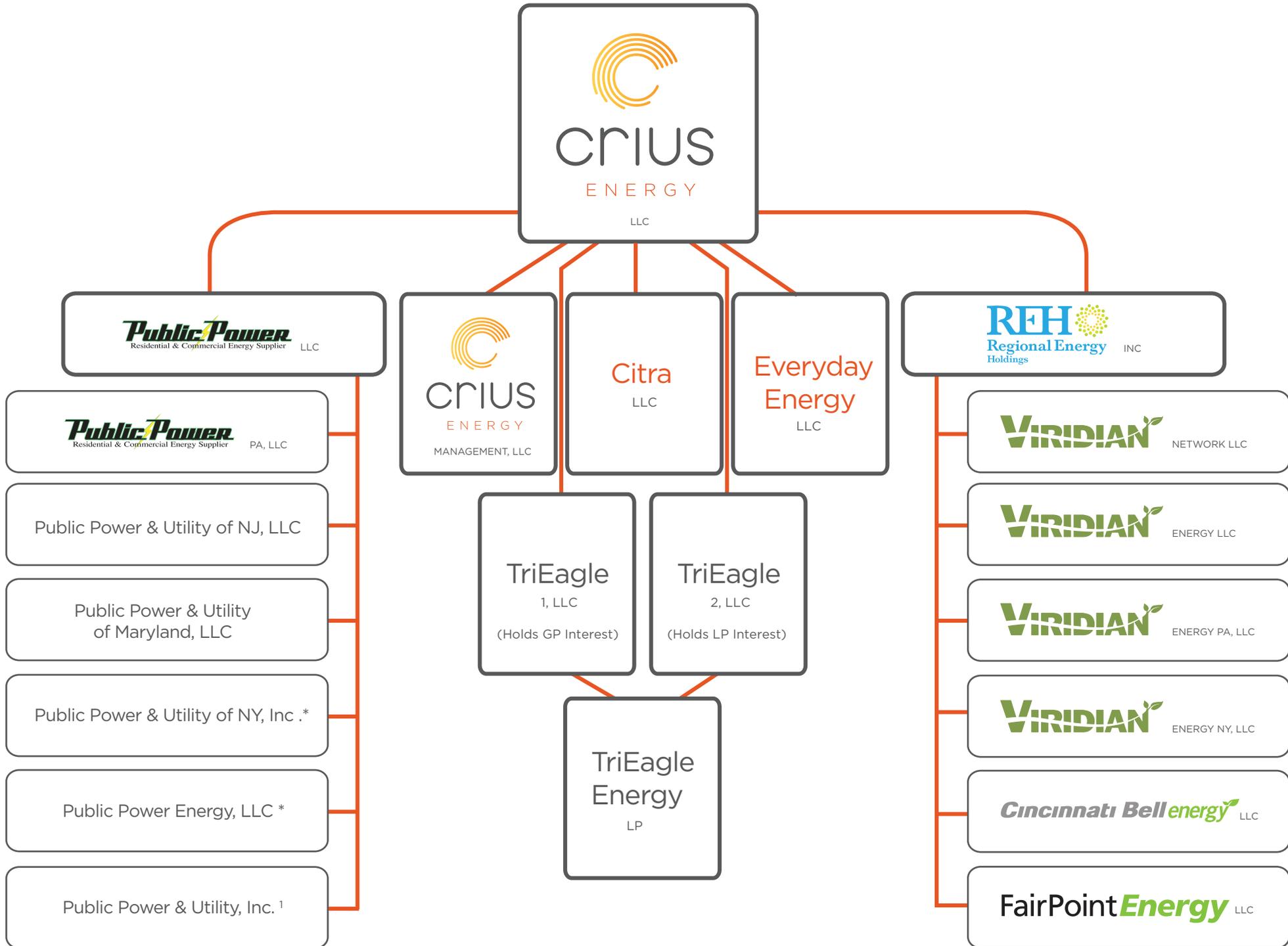
Crius Energy LLC is owned 26.8% by the Crius Energy Trust, which is a publicly-traded company on the Toronto Stock Exchange under the ticker symbol KWN.UN. Each subsidiary listed on Attachment 8-2 below is a wholly owned subsidiary of Crius Energy LLC.

## ATTACHMENT 8-2

### CORPORATE ORGANIZATIONAL CHART

For each entity provided on the attached Corporate Organizational Chart, the executive management team is the same. Specifically for all entities, Michael Fallquist is the CEO; Roop Bhullar is the CFO; Chaitu Parikh is the COO; Cami Boehme is the CSO; Barbara Clay is the Executive Vice President and General Counsel; Christian McArthur is the Executive Vice President, Procurement, Pricing and Product Development; and Rob Cantrell is the Executive Vice President, Sales.

<b>MANAGEMENT PERSONNEL</b>	<b>ENTITY</b>
<ul style="list-style-type: none"><li>• Michael Fallquist, Chief Executive Officer</li><li>• Roop Bhullar, Chief Financial Officer</li><li>• Chaitu Parikh, Chief Operating Officer</li><li>• Cami Boehme, Chief Strategy Officer</li><li>• Barbara Clay, Executive Vice President and General Counsel</li><li>• Christian McArthur, Executive Vice President, Procurement, Pricing and Product Development</li><li>• Rob Cantrell, Executive Vice President, Sales</li></ul>	Crius Energy LLC
	Public Power LLC (CT)
	Public Power LLC (PA)
	Public Power & Utility of New Jersey LLC
	Public Power & Utility of Maryland LLC
	Regional Energy Holdings, Inc.
	Viridian Network LLC
	Viridian Energy LLC
	Viridian Energy NY LLC
	Viridian Energy PA LLC
	Cincinnati Bell Energy LLC
	FairPoint Energy LLC
Everyday Energy LLC	
TriEagle Energy LP	



<sup>1</sup> This entity only holds Public Power's MA customer contracts, and does not hold a supplier license.

\* This entity does not conduct business and does not hold customer contracts.

## ATTACHMENT 8-3

### APPLICANT'S AND AFFILIATES' MARKETING PRACTICES

Applicant and its affiliates, Cincinnati Bell Energy LLC, FairPoint Energy LLC, Public Power LLC, Everyday Energy, LLC and TriEagle Energy LP have a unique business model. Applicant's business model is different from that of its affiliates in several ways. Below please find a discussion of the marketing practices and customer service of Applicant and its affiliates. Also please find a discussion of Applicant's and its affiliates' solicitation of new customer contracts, termination of customer contracts, and offers to customers.

#### Marketing

**Viridian Energy PA LLC (Applicant):** Applicant markets its products through a network of independent contractors known as Independent Associates. The Independent Associates are permitted to market Applicant's products and services to individuals that they personally know. Viridian defines this market as a "warm market", that is, "persons with whom an Associate has an established business or personal relationship. The term 'established business or personal relationship' means a prior or existing relationship formed by a voluntary two-way communication between an Associate and a person, on the basis of: (1) an inquiry, application, purchase or transaction by the person regarding products or services offered by such Associate or (2) a personal or familial relationship, which relationship has not been previously terminated by either party." (This definition is quoted from the Viridian Policy & Procedures that are binding terms on the Independent Associates).

Applicant's Independent Associates are not permitted to cold-call over the telephone or market door-to-door. Applicant's Independent Associates are closely regulated by Applicant and according to their contract with Viridian may not provide marketing services for other retail energy service providers. Calls on behalf of Viridian are made from a Customer Care call center that is staffed with employees of the parent company, Crius Energy. In addition to the "warm markets", the Independent Associates are allowed to market at any industry trade shows. For all marketing efforts described herein, Independent Associates are only permitted to use corporate produced materials to market to customers.

Enrollment: There are four ways a potential customer can be enrolled:

(1) Paper Enrollment. Potential customers can use a paper enrollment form that they fill out themselves and it is faxed in to the Company headquarters after which the customer receives a welcome package with copies of all the forms;

- (2) Web Enrollment<sup>1</sup>. Potential customers can use the Independent Associates's company-approved website to enroll. From the web site they may print a copy of the Terms & Conditions and information regarding Viridian's products. Upon receipt of the enrollment in the Company headquarters, the customer receives a welcome package with copies of all the forms;
- (3) Viridian.com Enrollment. Potential customers can go to viridian.com and enroll online and they too receive a welcome package; or
- (4) Crius Energy Customer Care Center. Potential customers can call the Crius Customer Care Center. A call center representative will enroll the customer and a third party verification will be taken after such enrollment and a welcome package sent.
- (5) Crius Energy Retention Center. Viridian Energy will use limited outbound telemarketing calls to existing or former customers only for renewal or re-enrollment. These calls will be made by Crius Energy's in-house Tampa, Florida Retention Center. This Center is staffed with Crius Energy employees who make calls to current or former customers who have either dropped or their contract is soon expiring. The purpose of the calls is to offer either renewal service or continued service.

**Cincinnati Bell Energy LLC, FairPoint Energy LLC:** These affiliates of Applicant have strategic business relationships with leading telecommunications companies. Marketing is conducted primarily through inbound calls made by existing customers to employees of the telecommunications companies who are trained to sell energy. These affiliates market through the respective telecommunication provider's employees. Occasionally outside vendors are used to provide marketing services.

**Everyday Energy, LLC d/b/a Energy Rewards:** This affiliate of Applicant has a strategic business relationship with Comcast. In the deregulated footprint, marketing is conducted to existing Comcast customers primarily through digital marketing, Comcast bill inserts, and marketing material available at Comcast locations.

**Public Power LLC:** This affiliate of Applicant markets its products through outbound and inbound telemarketing, rate board offers and door-to-door marketing. Marketing services are provided by external contracted vendors and their agents. Retention calls on behalf of Public Power are made from a Customer Retention call center that is staffed with employees of the parent company, Crius Energy.

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<sup>1</sup> On line enrollment signatures are verified by a third party verification process.

## **ATTACHMENT 11**

### **UTILITY NOTICES OF APPLICATION**

*Attached please find proof of notification to each utility of Applicant's intent to serve in the utility's service area. [551.30(c)(3)]*



**Barbara A. Clay**  
**Executive Vice President and General Counsel**  
bclay@criusenergy.com | [Viridian.com](http://Viridian.com)

*Sent by U.S.P.S. First Class Mail*

May 27, 2015

Thomas G. Aridas, Director  
Gas Regulatory Policy  
Peoples Gas Light and Coke Company  
130 E. Randolph Dr., 22nd Fl.  
Chicago, IL 60601

**Re: Notice of Application to Serve as an Alternative Gas Supplier**

Dear Mr. Aridas:

Please be advised that Viridian Energy PA, LLC intends to serve natural gas in the Peoples Gas Light and Coke Company ("People's Gas") service territory in Illinois as an Alternative Gas Supplier. Our Application is pending with the Illinois Commerce Commission, and if our license is granted we will be serving in the residential and small commercial markets in the Peoples Gas territory.

Please do not hesitate to contact me at 203.663.5087 or [bclay@criusenergy.com](mailto:bclay@criusenergy.com) if you have any further questions.

Sincerely,

Barbara A. Clay  
Executive Vice President and General Counsel



**Barbara A. Clay**  
**Executive Vice President and General Counsel**  
blcay@criusenergy.com | [Viridian.com](http://Viridian.com)

*Sent by U.S.P.S. First Class Mail*

May 27, 2015

Robert R. Mudra  
Northern Illinois Gas Company d/b/a Nicor Gas Company  
1844 Ferry Rd.  
Naperville, IL 60563

**Re: Notice of Application to Serve as an Alternative Gas Supplier**

Dear Mr. Mudra:

Please be advised that Viridian Energy PA, LLC intends to serve natural gas in the Nicor Gas Company service territory in Illinois as an Alternative Gas Supplier. Our Application is pending with the Illinois Commerce Commission, and if our license is granted we will be serving in the residential and small commercial markets in the Nicor Gas territory.

Please do not hesitate to contact me at 203.663.5087 or [blcay@criusenergy.com](mailto:blcay@criusenergy.com) if you have any further questions.

Sincerely,

Barbara A. Clay  
Executive Vice President and General Counsel



**Barbara A. Clay**  
**Executive Vice President and General Counsel**  
blcay@criusenergy.com | [Viridian.com](http://Viridian.com)

*Sent by U.S.P.S. First Class Mail*

May 27, 2015

Thomas G. Aridas, Director  
Gas Regulatory Policy  
North Shore Gas Company  
130 E. Randolph Dr., 22nd Fl.  
Chicago, IL 60601

**Re: Notice of Application to Serve as an Alternative Gas Supplier**

Dear Mr. Aridas:

Please be advised that Viridian Energy PA, LLC intends to serve natural gas in the North Shore Gas Company service territory in Illinois as an Alternative Gas Supplier. Our Application is pending with the Illinois Commerce Commission, and if our license is granted we will be serving in the residential and small commercial markets in the North Shore Gas territory.

Please do not hesitate to contact me at 203.663.5087 or bclay@criusenergy.com if you have any further questions.

Sincerely,

Barbara A. Clay  
Executive Vice President and General Counsel



**Barbara A. Clay**  
**Executive Vice President and General Counsel**  
bclay@criusenergy.com | [Viridian.com](http://Viridian.com)

*Sent by U.S.P.S. First Class Mail*

May 27, 2015

Jackie J. Voiles  
Ameren Illinois Company  
200 W. Washington St.  
Springfield, IL 62701-1117

**Re: Notice of Application to Serve as an Alternative Gas Supplier**

Dear Ms. Voiles:

Please be advised that Viridian Energy PA, LLC intends to serve natural gas in the Ameren service territory in Illinois as an Alternative Gas Supplier. Our Application is pending with the Illinois Commerce Commission, and if our license is granted we will be serving in the small commercial market in the Ameren territory.

Please do not hesitate to contact me at 203.663.5087 or [bclay@criusenergy.com](mailto:bclay@criusenergy.com) if you have any further questions.

Sincerely,

Barbara A. Clay  
Executive Vice President and General Counsel

## **ATTACHMENT 21**

### **PROCEDURES FOR RESOLVING DISPUTED BILLS**

*File procedures with the Commission that address the manner in which the Applicant will resolve disputed bills with its customers. These procedures should comply with the requirements of 551.70(b)(6).*

While a billing dispute is pending, the customer shall pay the undisputed portion of the bill or an amount equal to last year's bill at the same location for the same period, normalized for weather, whichever is greater. Any disputed amount in excess of last year's bill for the same address adjusted for weather shall not be included in the amount that must be paid by the due date. Viridian Energy PA, LLC will inform its customers how to contact the Commission's Consumer Services Division to pursue an unresolved dispute. Viridian Energy PA, LLC's billing statements shall include a toll-free number and other means by which a customer may contact it with inquiries or complaints.

## **ATTACHMENT 26-1**

### **FORMAL CUSTOMER COMPLAINTS FOR APPLICANT AND AFFILIATES**

*Applicant states whether it is the subject of any lawsuits filed in a court of law or formal complaints filed with a regulatory agency alleging fraud, deception or unfair marketing practices, or other similar allegations, identifying the name, case number, and jurisdiction of each such lawsuit or complaint. [19-110(d)]*

Applicant discloses all formal complaints against Applicant or any of its affiliates, within four years of filing this application.

**ATTACHMENT 26-1**

**FORMAL CUSTOMER COMPLAINTS FOR APPLICANT AND AFFILIATES**

<b>Date Complaint was Filed</b>	<b>Parties to the Complaint</b>	<b>Case Number</b>	<b>Venue of Complaint</b>	<b>Nature of Complaint</b>	<b>Current Status of Complaint</b>
June 1, 2011	Paul & Loretta London	C-2011-2244309	Pennsylvania Public Utility Commission	Customer believed the he was guaranteed a 14.5% savings.	Closed. After a hearing on November 8, 2011, the Administrative Law Judge dismissed all claims.
	Viridian Energy PA LLC				
June 24, 2011	Daniel Devlin	Docket # 07-06-13	Connecticut Public Utilities Regulatory Authority	Customer felt that he was being charged at a rate in excess of his contracted price.	Closed. The case was settled and closed informally on June 20, 2012.
	Public Power, LLC				
April 16, 2012	Mark Esposito	Docket # A-2009-2143245	Pennsylvania Public Utility Commission	Customer felt that he should have been charged at a lower rate.	Closed. The case was dismissed by the Administrative Law Judge on August 28, 2012.
	Public Power, LLC				
May 23, 2012	Lisa Bailey	C-2012-2305808	Pennsylvania Public Utility Commission	Customer felt that her bill was too high and wants her home meter to be checked.	Closed. Claimant dismissed her claim and a Certificate of Satisfaction was filed on October 1, 2012.
	Viridian Energy PA LLC				
October 22, 2012	Bruce Ellis	C-2012-2332723	Pennsylvania Public Utility Commission	Customer felt that he did not receive promised benefits.	The case was settled in January 2013.
	Public Power, LLC				
December 4, 2012	Norman Hum	C-2012-2337313	Pennsylvania Public Utility Commission	Customer felt that he was misled by an authorized sales agent.	Closed. This case was settled informally and Public Power filed a Certificate of Satisfaction on March 6, 2013
	Public Power, LLC				
November 21, 2013	Mary Ann Solensky	C-2013-2393578	Pennsylvania Public Utility Commission	Customer believed she had enrolled in rate guaranteed to be 15% below the utility in perpetuity.	Closed. This case was settled informally and Public Power filed a Certificate of Satisfaction on December 4, 2013.
	Public Power, LLC				

December 6, 2013	Chiu Cheng	13-2358-EL-CSS	Public Utilities Commission of Ohio	Customer believed that he did not authorize enrollment with Public Power.	Closed. Public Power provided proof that the Complainant authorized enrollment with Public Power. The case was settled informally and dismissed by the PUCO on April 16, 2014.
	Public Power, LLC				
March 14, 2014	Sharon & David Thieme	C-2014-2410078	Pennsylvania Public Utility Commission	Customer was dissatisfied with their variable rate	Closed. This case was settled informally and Public Power filed a Certificate of Satisfaction on April 3, 2014.
	Public Power, LLC				
March 14, 2014	Michelle Coughlin	C-2014-2410080	Pennsylvania Public Utility Commission	Customer was dissatisfied with her variable rate	Closed. This case was settled informally and Public Power filed a Certificate of Satisfaction on April 3, 2014.
	Public Power, LLC				
March 14, 2014	C. Scott & Sandra Schaeffer	C-2014-2410079	Pennsylvania Public Utility Commission	Customer was dissatisfied with their variable rate	Closed. This case was settled informally and Public Power filed a Certificate of Satisfaction on April 3, 2014.
	Public Power, LLC				
April 2, 2014	Suzanne Kohler	C-2014-2413747	Pennsylvania Public Utility Commission	Customer was dissatisfied with her variable rate.	Closed. This case was settled informally and Viridian filed a Certificate of Satisfaction on April 16, 2014.
	Viridian Energy PA LLC				
April 2, 2014	Michael Lalli	C-2014-2413749	Pennsylvania Public Utility Commission	Customer was dissatisfied with his variable rate.	Closed. This case was settled informally and Viridian filed a Certificate of Satisfaction on April 17, 2014.
	Viridian Energy PA LLC				
April 23, 2014	Tuyen D. Nguyen	C-2014-2417563	Pennsylvania Public Utility Commission	Customer disputed enrollment in a variable rate.	Closed. Public Power provided proof that the Complainant agreed to a variable rate. This case was settled informally and Public Power filed a Certificate of Satisfaction on August 22, 2014.
	Public Power, LLC				
May 5, 2014	Jamie Linhart	C-2014-2419117	Pennsylvania Public Utility Commission	Customer was dissatisfied with her variable rate.	Closed. This case was settled informally and Viridian filed a Certificate of Satisfaction on May 27, 2014.
	Viridian Energy PA, LLC				
July 7, 2014	Lawrence E. Dowler	14-1195-EL-CSS	Public Utilities Commission of Ohio	Customer disputed his electricity rates.	Closed. The case was settled informally and dismissed by the PUCO on January 14, 2015.
	Cincinnati Bell Energy, LLC				

February 27, 2015	Roberto Castellano	C-2015- 2465142	Pennsylvania Public Utility Commission	Customer was dissatisfied with his variable rate and an overall increase in his electric bill.	Closed. Public Power provided proof that the Complainant enrolled in a variable rate. This case was settled informally and Public Power filed a Certificate of Satisfaction on March 18, 2015.
	Public Power, LLC				
February 27, 2015	Michael Rizzo	C-2015- 2469134	Pennsylvania Public Utility Commission	Customer was dissatisfied with his variable rate and the PA PUC.	Public Power attempted to reach out to the Complainant several times, but was unable to reach him. As a result, Public Power filed an answer with the PA PUC on March 20, 2015.
	PECO Energy Company/ Public Power LLC				
March 17, 2015	Pamela Edler	C-2015- 2472158	Pennsylvania Public Utility Commission	Customer disputed her early termination fee.	Closed. Customer's This case was settled informally and Public Power filed a Certificate of Satisfaction on April 2, 2015.
	Public Power, LLC				

**ATTACHMENT 26-2**

**LITIGATION FOR APPLICANT AND AFFILIATES**

*Applicant states whether it is the subject of any lawsuits filed in a court of law or formal complaints filed with a regulatory agency alleging fraud, deception or unfair marketing practices, or other similar allegations, identifying the name, case number, and jurisdiction of each such lawsuit or complaint. [19-110(d)]*

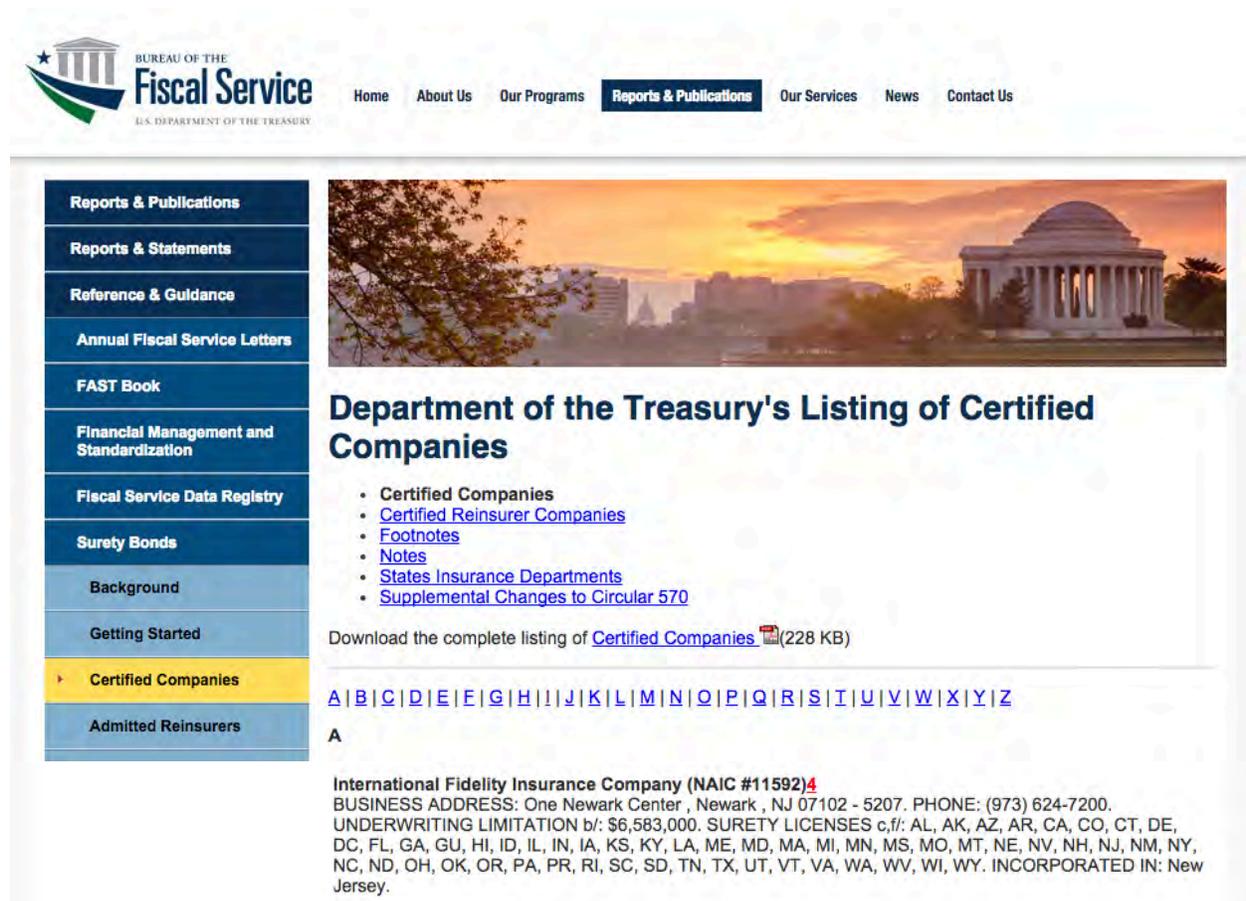
<b>DATE COMMENCED</b>	<b>ACTION</b>	<b>JURISDICTION</b>	<b>SUBJECT MATTER</b>	<b>STATUS</b>
April 5, 2012	Arbitration between Suzanne Caruso and Viridian Network, LLC	American Arbitration Association Commercial Arbitration Tribunal	Independent Contractor alleged improper termination	The arbitrator dismissed plaintiff's claims and awarded Viridian Network and certain affiliates approximately \$12,000 in costs. On August 2, 2012 Caruso filed to vacate the award (see below).
August 2, 2012	Susan Caruso v. Viridian Network, Michael Fallquist and Robert McFadden	Supreme Court of the State of New York Index NO. 652686/2012	Order sought to vacate arbitration award	Arbitration was overturned by State Court
March 21, 2013	Scott R. Bernard v. Public Power LLC	Circuit Court of Cook County, Illinois	Customer alleges that he did not receive the rate that he signed up for and unfair marketing practices	On March 5, 2015, the Court dismissed the case in favor of Public Power. On March 6, 2015, Plaintiff filed an appeal to this decision in the Circuit Court of Cook Country, Illinois County Department, Chancery Division.
January 21, 2014	Philip Charvat v. FTR Energy Services, LLC	Connecticut District Court No. 3:14-CV-73	Customer alleged violations of federal telemarketing laws	The parties are conducting discovery.
June 13, 2014	City of Chicago v. Public Power	Administrative subpoena No. 14-CUB-101	Investigation into several retail energy providers to determine whether	Public Power timely responded to the subpoena on July 11, 2014

			certain retail energy providers engaged in price gouging during the winter of 2013-2014	
August 25, 2014	Henry Daniyan v. Viridian Energy, LLC	Maryland District Court No. 1:14-CV-2715	The action seeks to form a class of customers who were on a variable rate and thought they would save money based on marketing.	Viridian filed a brief in support of its motion to dismiss on January 25, 2015
November 24, 2015	Lori Sanborn v. Viridian Energy, LLC	Connecticut District Court No. 3:14-CV-01731	The action seeks to form a class of customers who were on a variable rate that was not tied to wholesale power market rates.	Viridian filed a motion to dismiss on January 23, 2015.
April 22, 2015	David Steketee v. Viridian Energy, LLC	Connecticut District Court No. 3:15-CV-00585	The action seeks to form a class of customers who were on a variable rate that was not tied to wholesale power market rates	Viridian plans to file a response.
May 11, 2015	Lawrence H. Lempert v. Viridian Energy, Inc. and Viridian Energy PA, LLC	Connecticut District Court No. 3:15-CV-00703	The action seeks to form a class of customers who were on a variable rate that was not tied to wholesale power market rates	Viridian plans to file a response.

# ATTACHMENT 28

## PERMIT BOND

Attached please find Viridian Energy PA LLC's surety bond. The surety, International Fidelity Insurance Company meets the definition of qualifying surety as it is listed as a qualifying surety on the Department of the Treasury's website.



The screenshot shows the Bureau of the Fiscal Service website. The header includes the logo and navigation links: Home, About Us, Our Programs, Reports & Publications (highlighted), Our Services, News, and Contact Us. A left sidebar contains a menu with items like Reports & Publications, Reports & Statements, Reference & Guidance, Annual Fiscal Service Letters, FAST Book, Financial Management and Standardization, Fiscal Service Data Registry, Surety Bonds, Background, Getting Started, Certified Companies (highlighted), and Admitted Reinsurers. The main content area features a banner image of the Jefferson Memorial at sunset, followed by the heading 'Department of the Treasury's Listing of Certified Companies'. Below this is a bulleted list of links: Certified Companies, Certified Reinsurer Companies, Footnotes, Notes, States Insurance Departments, and Supplemental Changes to Circular 570. A download link for 'Certified Companies' (228 KB) is provided. An alphabetical index (A-Z) is shown, with 'A' selected. Under 'A', the entry for 'International Fidelity Insurance Company (NAIC #11592)4' is listed, along with its business address, phone number, underwriting limitation, and states where it is licensed.

**International Fidelity Insurance Company (NAIC #11592)4**  
BUSINESS ADDRESS: One Newark Center , Newark , NJ 07102 - 5207. PHONE: (973) 624-7200.  
UNDERWRITING LIMITATION b/: \$6,583,000. SURETY LICENSES c,f/: AL, AK, AZ, AR, CA, CO, CT, DE, DC, FL, GA, GU, HI, ID, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, MT, NE, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, PR, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY. INCORPORATED IN: New Jersey.

## License Permit Bond

License Permit Bond No  K08926451 

KNOW ALL MEN BY THESE PRESENTS, That we, VIRIDIAN ENERGY PA, LLC as Principal, and Westchester Fire Insurance Company, a Pennsylvania Corporation, and authorized to do business in Illinois, as Surety, are held and firmly bound unto THE PEOPLE OF THE STATE OF ILLINOIS as Obligee, in the sum of One Hundred Fifty Thousand and 00/100 Dollars (\$150,000.00), for which sum, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, by these presents.

THE CONDITIONS OF THIS OBLIGATION ARE SUCH, That WHEREAS, the Principal has been or is about to be granted a license or permit to do business to operate as an for Alternative Gas Supplier ("AGS") under 220 ILCS 5/19-110 and is required to execute this bond under 83 Illinois Administrative Code Part 551.50 by the Obligee.

NOW, Therefore, if the Principal fully and faithfully perform all duties and obligations of the Principal as an AGS, then this obligation to be void; otherwise to remain in full force and effect.

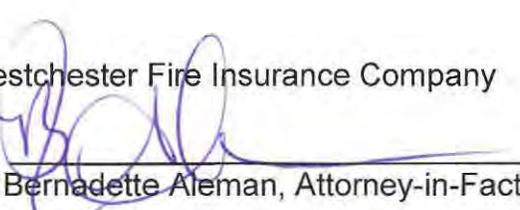
This bond may be terminated as to future acts of the Principal upon thirty (30) days written notice by the Surety; said notice to be sent to 527 East Capitol Avenue, Springfield, Illinois 62701, of the aforesaid State of Illinois, by certified mail.

Dated this  26th  day of  July ,  2013

VIRIDIAN ENERGY PA, LLC, Principal

by: 

Westchester Fire Insurance Company

by: 

Bernadette Aleman, Attorney-in-Fact

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

County of Los Angeles

On JUL 26 2013 before me, Tracy Aston, Notary Public, personally appeared Bernadette Aleman who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature

Tracy Aston, Notary Public

Power of Attorney

WESTCHESTER FIRE INSURANCE COMPANY

Know all men by these presents: That WESTCHESTER FIRE INSURANCE COMPANY, a corporation of the Commonwealth of Pennsylvania pursuant to the following Resolution, adopted by the Board of Directors of the said Company on December 11, 2006, to-wit:

"RESOLVED, that the following authorizations relate to the execution, for and on behalf of the Company, of bonds, undertakings, recognizances, contracts and other written commitments of the Company entered into the ordinary course of business (each a "Written Commitment"):

- (1) Each of the Chairman, the President and the Vice Presidents of the Company is hereby authorized to execute any Written Commitment for and on behalf of the Company, under the seal of the Company or otherwise.
(2) Each duly appointed attorney-in-fact of the Company is hereby authorized to execute any Written Commitment for and on behalf of the Company, under the seal of the Company or otherwise, to the extent that such action is authorized by the grant of powers provided for in such persons' written appointment as such attorney-in-fact.
(3) Each of the Chairman, the President and the Vice Presidents of the Company is hereby authorized, for and on behalf of the Company, to appoint in writing any person the attorney-in-fact of the Company with full power and authority to execute, for and on behalf of the Company, under the seal of the Company or otherwise, such Written Commitments of the Company as may be specified in such written appointment, which specification may be by general type or class of Written Commitments or by specification of one or more particular Written Commitments.
(4) Each of the Chairman, the President and Vice Presidents of the Company is hereby authorized, for and on behalf of the Company, to delegate in writing any other officer of the Company the authority to execute, for and on behalf of the Company, under the Company's seal or otherwise, such Written Commitments of the Company as are specified in such written delegation, which specification may be by general type or class of Written Commitments or by specification of one or more particular Written Commitments.
(5) The signature of any officer or other person executing any Written Commitment or appointment or delegation pursuant to this Resolution, and the seal of the Company, may be affixed by facsimile on such Written Commitment or written appointment or delegation.

FURTHER RESOLVED, that the foregoing Resolution shall not be deemed to be an exclusive statement of the powers and authority of officers, employees and other persons to act for and on behalf of the Company, and such Resolution shall not limit or otherwise affect the exercise of any such power or authority otherwise validly granted or vested.

Does hereby nominate, constitute and appoint Bernadette Aleman, Daravy Mady, Edward C Spector, James Ross, K-D Conrad, Marina Tapia, Simone Gerhard, Tom Branigan, Tracy Aston, all of the City of LOS ANGELES, California, each individually if there be more than one named, its true and lawful attorney-in-fact, to make, execute, seal and deliver on its behalf, and as its act and deed any and all bonds, undertakings, recognizances, contracts and other writings in the nature thereof in penalties not exceeding Twenty Five million dollars & zero cents (\$25,000,000.00) and the execution of such writings in pursuance of these presents shall be as binding upon said Company, as fully and amply as if they had been duly executed and acknowledged by the regularly elected officers of the Company at its principal office,

IN WITNESS WHEREOF, the said Stephen M. Haney, Vice-President, has hereunto subscribed his name and affixed the Corporate seal of the said WESTCHESTER FIRE INSURANCE COMPANY this 28 day of August 2012.

WESTCHESTER FIRE INSURANCE COMPANY



Signature of Stephen M. Haney, Vice President

COMMONWEALTH OF PENNSYLVANIA
COUNTY OF PHILADELPHIA ss.

On this 28 day of August, AD. 2012 before me, a Notary Public of the Commonwealth of Pennsylvania in and for the County of Philadelphia came Stephen M. Haney, Vice-President of the WESTCHESTER FIRE INSURANCE COMPANY to me personally known to be the individual and officer who executed the preceding instrument, and he acknowledged that he executed the same, and that the seal affixed to the preceding instrument is the corporate seal of said Company; that the said corporate seal and his signature were duly affixed by the authority and direction of the said corporation, and that Resolution, adopted by the Board of Directors of said Company, referred to in the preceding instrument, is now in force.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my official seal at the City of Philadelphia the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
KAREN E. BRANDT, Notary Public
City of Philadelphia, Phila. County
My Commission Expires September 26, 2014

Signature of Karen E. Brandt, Notary Public

I, the undersigned Assistant Secretary of the WESTCHESTER FIRE INSURANCE COMPANY, do hereby certify that the original POWER OF ATTORNEY, of which the foregoing is a substantially true and correct copy, is in full force and effect.

In witness whereof, I have hereunto subscribed my name as Assistant Secretary, and affixed the corporate seal of the Corporation, this JUL 26 2013



Signature of William L. Kelly, Assistant Secretary

THIS POWER OF ATTORNEY MAY NOT BE USED TO EXECUTE ANY BOND WITH AN INCEPTION DATE AFTER August 28, 2014.

Super Safety® ANTI-FRAUD PROTECTION

FORM NO. 8800S

## **ATTACHMENT 29**

### **DUN & BRADSTREET BUSINESS INFORMATION REPORT**

In compliance with Subsection 551.80, Applicant presents the attached copy of its Dun & Bradstreet Business Information Report in accordance with 551.80(a). This Business Information Report indicates that Applicant has a Composite Credit Appraisal of "3" or lower and a PAYDEX score of "70" or higher.



# Viridian Energy Pa Llc

DUNS: 83-250-1584

## Dashboard

### Company Info

1055 Washington Blvd 7th Fl  
Stamford, CT 06901

Phone: (866) 663-2508

**DBA's :**  
(SUBSIDIARY OF REGIONAL ENERGY  
HOLDINGS, INC., STAMFORD, CT)

**URL:** www.viridian.com

### Scores

PAYDEX®	Delinquency Predictor		Financial Stress		Supplier Eval. Risk Rating	Credit Limit Rec.	DandB Rating
Score	Score	Class	Score	Class	Rating	Recommendation	Rating
80 ▲	534 ▼	2	1426 ▲	4	8 ▲	\$35K	ER5

### Recent Alerts

SCORE 05/12/15 <b>Financial Stress Score Improved</b>	SCORE 05/12/15 <b>Delinquency Predictor Score Declined</b>
SCORE 05/10/15 <b>Paydex Score Improved</b>	SCORE 04/26/15 <b>Delinquency Predictor Score Improved</b>

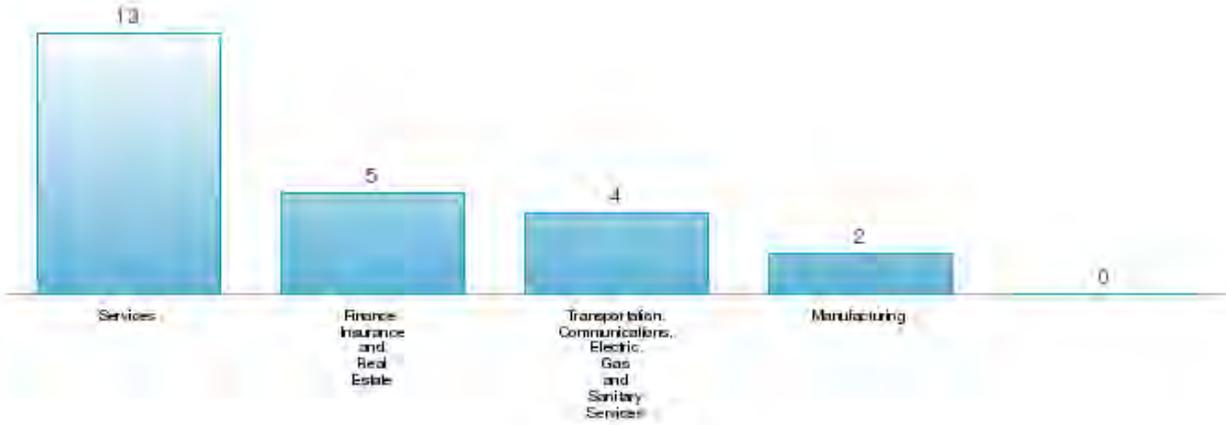
### Inquiries

#### Most Recent

Date	SIC / Sector	Report type
04/09/15	Manufacturing	D&B Risk Solution
04/08/15	Finance, Insurance and Real Estate	D&B Risk Solution
04/02/15	Services	D&B Risk Solution
03/18/15	Services	D&B Risk Solution
03/11/15	Transportation, Communications, Electric, Gas and Sanitary Services	D&B Proprietary Score Request

#### Top 5 Inquiries by SIC / Sector (12 Months)

--	--	--



Top 5 Inquiries by Report Type (12 Months)



Scores

**PAYDEX®**

**80**  **3 Month PAYDEX® 79**

ON TERMS



120 Days Slow      90 Days Slow      Prompt

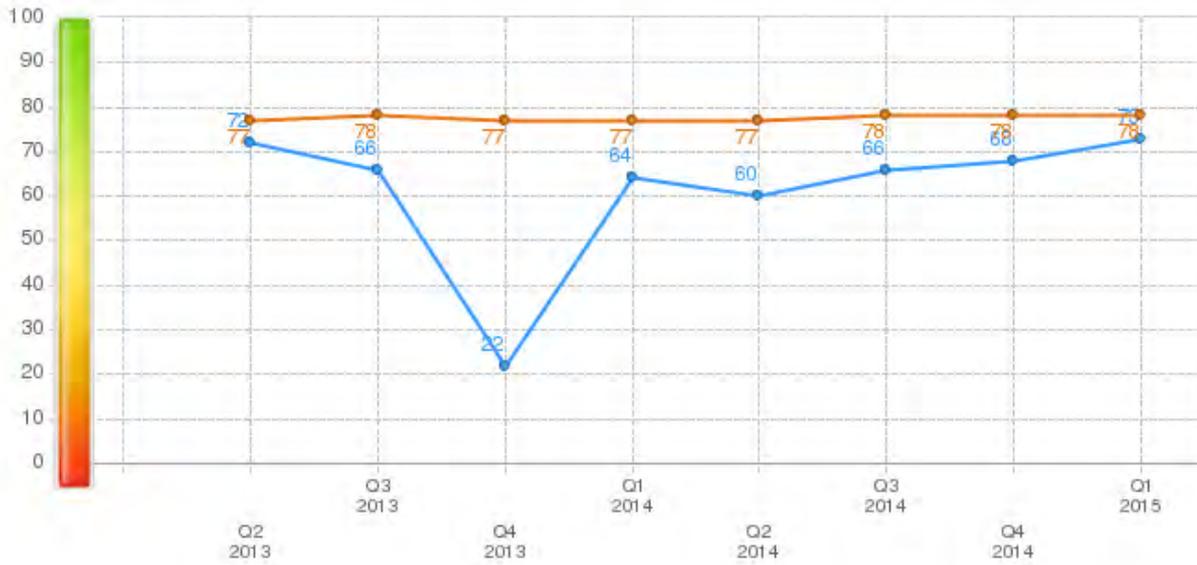
Understanding My Score

The D&B PAYDEX® is a unique, dollar weighted indicator of payment performance based on payment experiences as reported to D&B by trade references.

Recent Payments

Total (Last 12 Months): 14





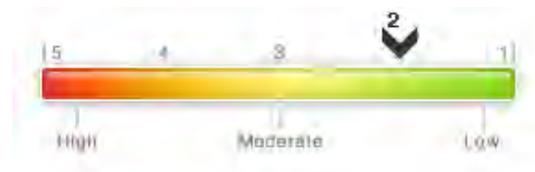
• My Company (80) • Industry Median: (78)

Based on payments collected over the last 4 quarters.

- Current PAYDEX® for this business is 80, or equal to ON TERMS
- The present industry median score is 78, or equal to 3 days beyond terms.

### Delinquency Predictor Score

Score **534** ▼ Class **2** Percentile **74%**



Moderate risk of severe payment delinquency over next 12 months

### Understanding My Score

The D&B Delinquency Predictor (formerly the Commercial Credit Score) predicts the likelihood that a company will pay in a severely delinquent manner (91+ days past term) over the next 12 months, seek legal relief from creditors, or cease operations without paying all creditors in full over the next 12 months based on the information in D&B's database. A severely delinquent firm is defined as a business with at least 10% of its dollars 91+ days slow.

#### Incidence of Delinquent Payment:

Among Companies with this Classification: **2.50%**

#### Factors Affecting Your Score:

Limited time under present management control

Recent high balance past due

Proportion of past due balances to total amount owing

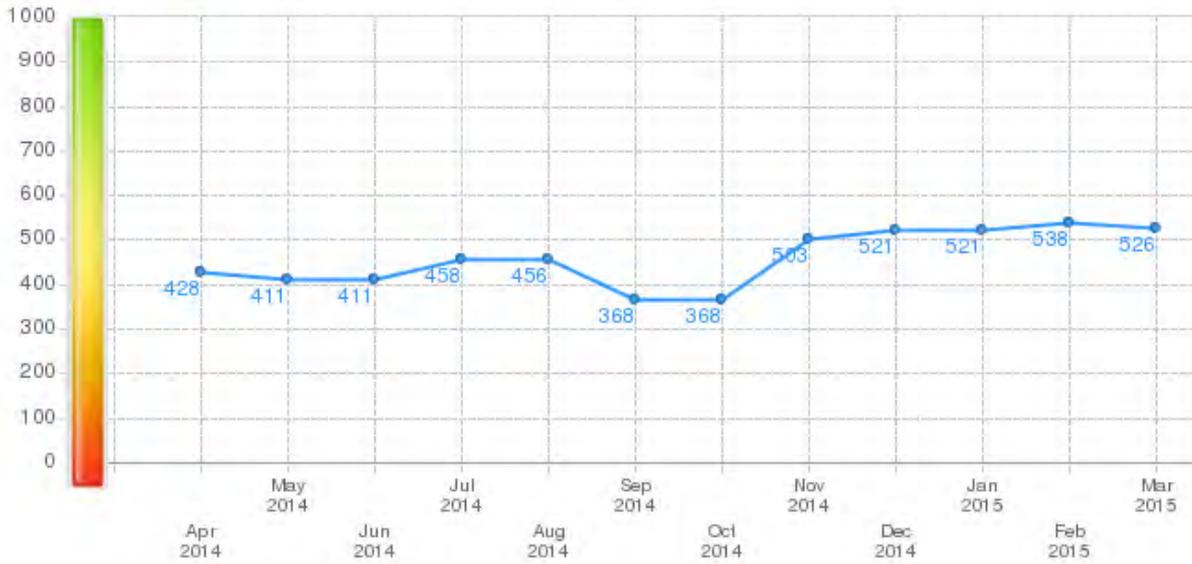
Variable Paydex over last 12 months

Evidence of recent payment experiences paid later than 30 days

Key

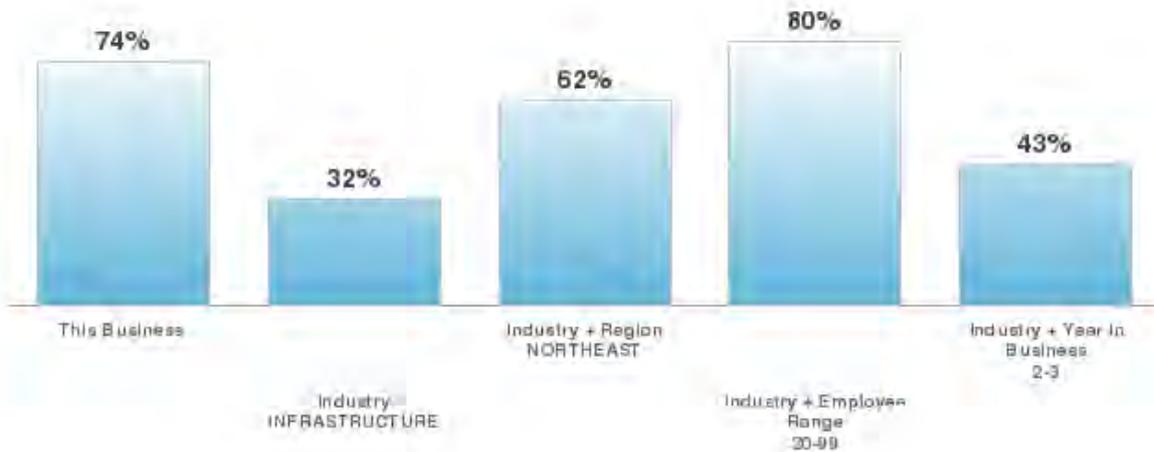
Risk Class	% of Businesses within this Class	Percentile	Score
1	10%	91-100	580-670
2	20%	71-90	530-579
3	40%	31-70	481-529
4	20%	11-30	453-480
5	10%	1-10	101-452

Trends - Scores, 12 Month



• My Company (534)

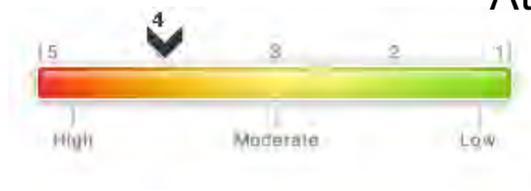
Industry Comparison



This business has a Credit Score Percentile that shows:

- Lower risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Higher risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

Score **1426** ▲ Class **4** Nat'l % **26%**



Moderate to high risk of severe financial stress, such as a bankruptcy, over the next 12 months

Understanding My Score

**Incidence of Financial Stress:**

Among Companies with this Classification: **0.84** (84 per 10000)

**Factors Affecting Your Score:**

Low proportion of satisfactory payment experiences to total payment experiences.

High number of inquiries to D&B over last 12 months.

Limited time under present management control

Unstable Paydex over last 12 months.

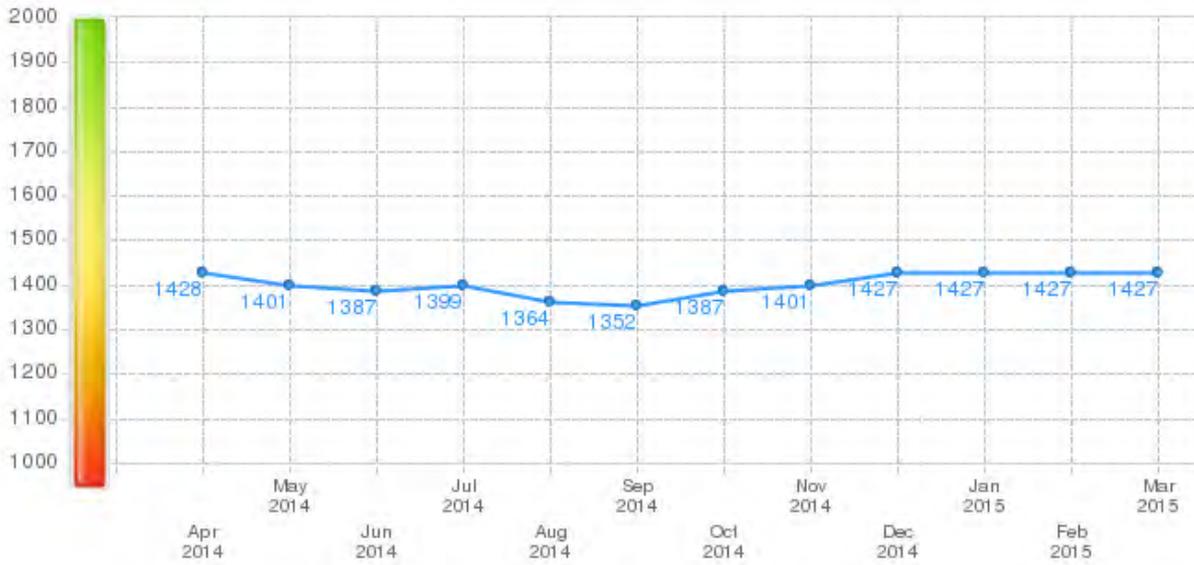
- The Financial Stress Class Summary Model predicts the likelihood of a firm ceasing business without paying all creditors in full, or reorganization or obtaining relief from creditors under state/federal law over the next 12 months. Scores were calculated using a statistically valid model derived from D&B's extensive data files.

**Notes:**

- The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.
- The Incidence of Financial Stress shows the percentage of firms in a given Class that discontinued operations over the past year with loss to creditors. The Incidence of Financial Stress - National Average represents the national failure rate and is provided for comparative purposes.
- The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&B's file.
- The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.
- All Financial Stress Class, Percentile, Score and Incidence statistics are based on sample data from

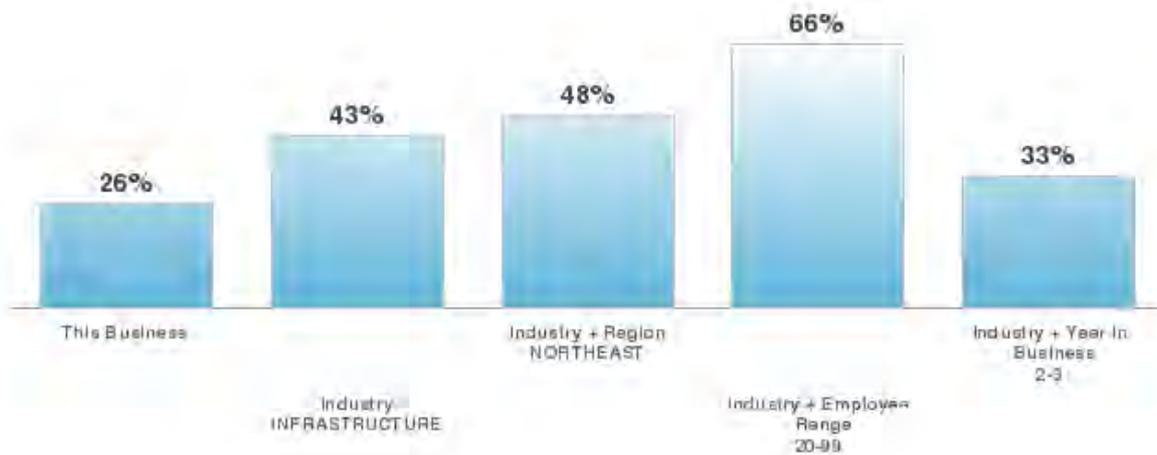
Key			
Score	Class	Percentile	Incidence of Financial Stress
1570-1875	1	95-100	6.0%
1510-1569	2	69-94	10.6%
1450-1509	3	34-68	18.4%
1340-1449	4	2-33	31.5%
1001-1339	5	1	70.0%

Trends - Scores, 12 Month



• My Company (1,426)

Industry Comparison



Based on payments collected over the last 4 quarters.

- Higher risk than other companies in the same region.
- Higher risk than other companies in the same industry.
- Higher risk than other companies in the same employee size range.
- Higher risk than other companies with a comparable number of years in business.

Supplier Evaluation Risk Rating

8 ▲



High risk of supplier experiencing severe financial stress over the next 12 months.

Understanding My Score

The Supplier Evaluation Risk (SER) Rating predicts the likelihood that a supplier will cease

business operations or become inactive over the next 12 month period based on the depth of predictive data attributes available on the business. The SER Rating scoring system uses statistical probabilities to classify public and private companies into a 1-9 risk rating, where 1 represents low risk and 9 represents high risk.

**Factors Affecting This Company's Score:**

Limited time under present management control

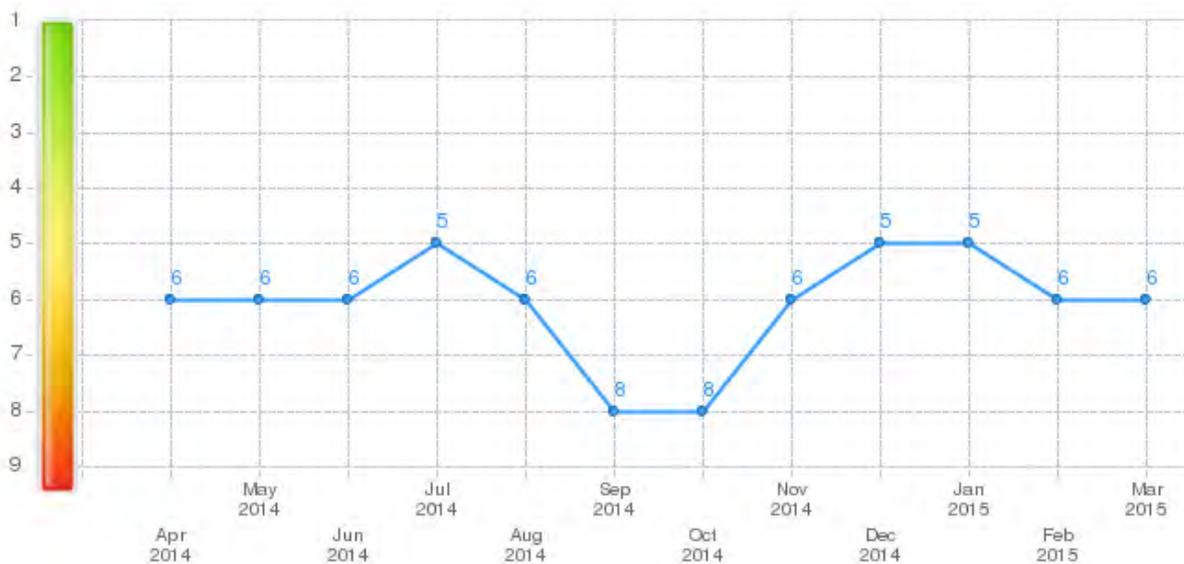
Higher risk industry based on inactive rate for this industry

Proportion of past due balances to total amount owing

Proportion of slow payment experiences to total number of payment experiences reported

Variable Paydex over last 12 months

Trends



• My Company (8)

**Credit Limit Recommendation**

Risk Category

**1**

Conservative Credit Limit

**\$35k**

Aggressive Credit Limit

**\$70k**

Low



**Understanding My Score**

D&B's Credit Limit Recommendation is intended to help you more easily manage your credit decisions. It provides two recommended dollar guidelines:

A conservative limit, which suggests a dollar benchmark if your policy is to extend less credit to minimize risk.

An aggressive limit, which suggests a dollar benchmark if your policy is to extend more credit with potentially more risk.

The dollar guideline amounts are based on a historical analysis of credit demand of customers in D&B's U.S. payments database which have a similar profile to your business.

Rating  
**ER5**

Number of employees: **ER5 represents 20 to 49 employees**

D&B Rating	Date Applied
ER5	2015-03-03
--	2013-12-10

### Understanding My Score

#### Factors Affecting Your Score

# of Employees Total: **28**

Payment Activity (based on 14 experiences):

Average High Credit: **\$10,104**

Highest Credit: **\$65,000**

Total Highest Credit: **\$111,750**

Note: The Worth amount in this section may have been adjusted by D&B to reflect typical deductions, such as certain intangible assets.

### Inquiries

#### 12 Month Summary

Over the past 12 months ending 5-2015, 24 individual requests for information on your company were received; this represents a 70.83% increase over the prior 12 month period. The 24 inquiries were made by 0 unique customers indicating that some companies have inquired on your business multiple times and may be monitoring you. Of the total products purchased, 13, or 54.17% came from the Services sector; 5, or 20.83% came from the Finance, Insurance and Real Estate sector; 4, or 16.67% came from the Transportation, Communications, Electric, Gas and Sanitary Services sector.

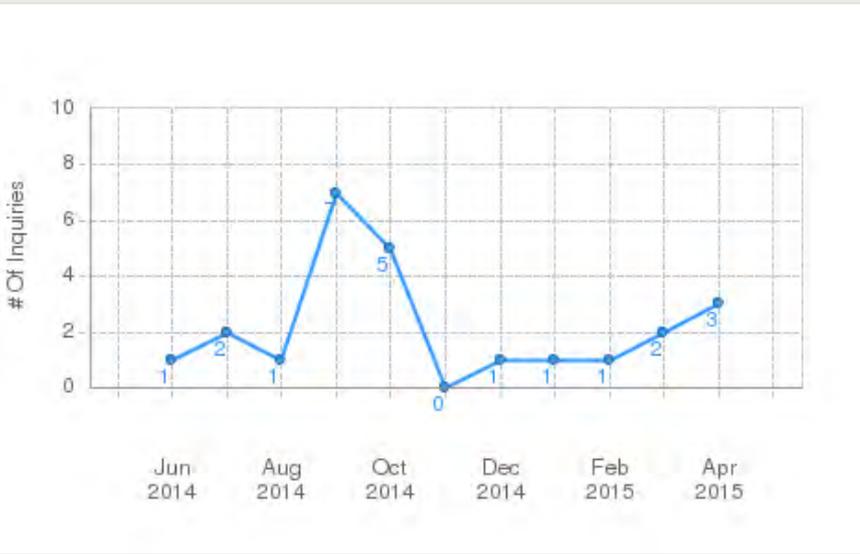
**12 Month Total# Inquiries:** 24

**12 Month Unique Customers:**0

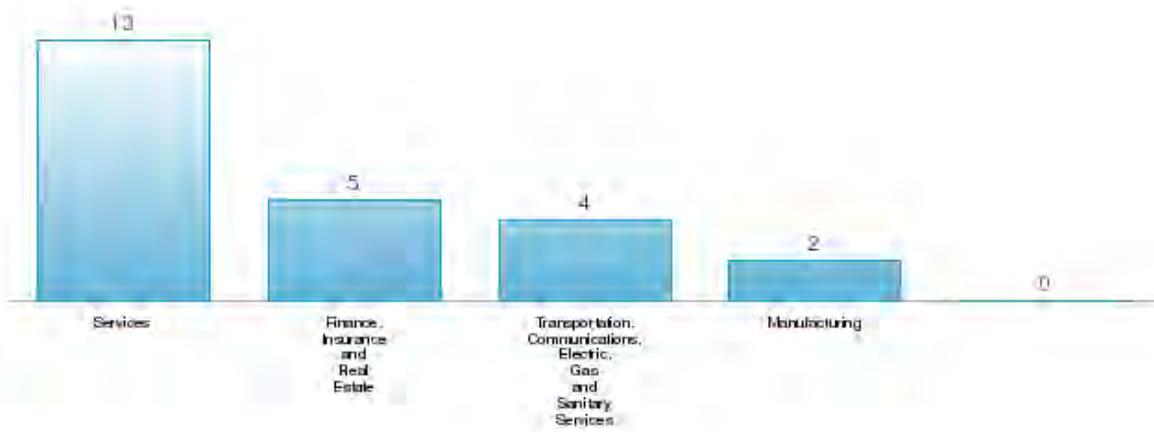
Date ▼	Report type	SIC / Sector
--------	-------------	--------------

04/09/15	D&B Risk Solution	Manufacturing
04/08/15	D&B Risk Solution	Finance, Insurance and Real Estate
04/02/15	D&B Risk Solution	Services
03/18/15	D&B Risk Solution	Services
03/11/15	D&B Proprietary Score Request	Transportation, Communications, Electric, Gas and Sanitary Services
02/20/15	D&B Risk Solution	Services
01/08/15	D&B Risk Solution	Manufacturing
12/03/14	D&B Risk Solution	Transportation, Communications, Electric, Gas and Sanitary Services
10/30/14	D&B Risk Solution	Services
10/22/14	D&B Risk Solution	Finance, Insurance and Real Estate
10/22/14	D&B Risk Solution	Services
10/14/14	D&B Risk Solution	Transportation, Communications, Electric, Gas and Sanitary Services
10/07/14	D&B Risk Solution	Finance, Insurance and Real Estate
09/29/14	D&B Risk Solution	Services
09/24/14	D&B Risk Solution	Transportation, Communications, Electric, Gas and Sanitary Services
09/24/14	D&B Risk Solution	Services
09/19/14	D&B Risk Solution	Services
09/16/14	D&B Risk Solution	Services
09/04/14	D&B Risk Solution	Finance, Insurance and Real Estate
09/02/14	D&B Risk Solution	Finance, Insurance and Real Estate
08/15/14	D&B Risk Solution	Services
07/16/14	D&B Risk Solution	Services
07/15/14	D&B Risk Solution	Services
06/16/14	D&B Risk Solution	Services

Trends - 12 Month

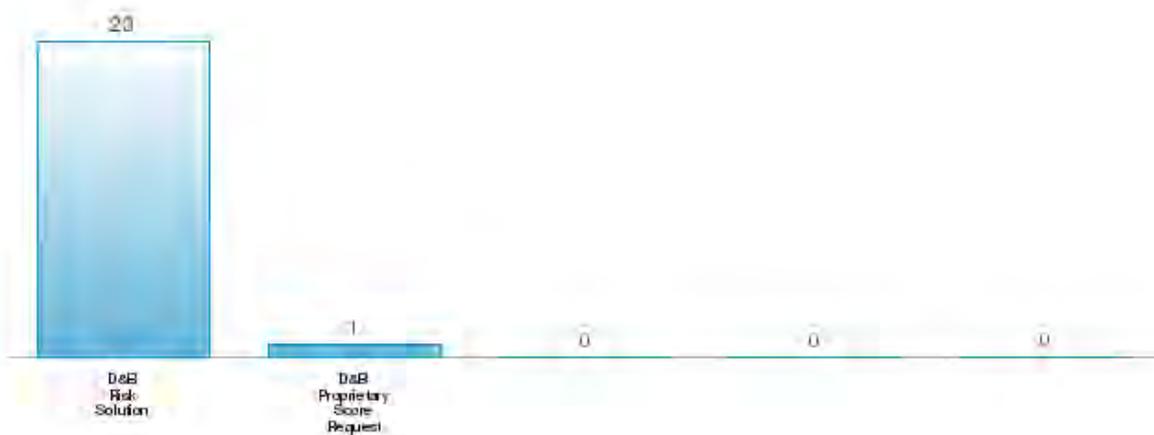


Top 5 Inquiries by Report Type (12 Months)

## Top 5 Report Types

Graph(12 Months)



## All Inquiries by Industry and SIC / Sector

SIC/Sector	Jun 2014 to Aug 2014	Sep 2014 to Nov 2014	Dec 2014 to Feb 2015	Mar 2015 to May 2015	Total Inquiries
Finance, Insurance and Real Estate	0	4	0	1	5
Manufacturing	0	0	1	1	2
Services	4	6	1	2	13
Transportation, Communications, Electric, Gas and Sanitary Services	0	2	1	1	4

## Inquiries by Report Type

Report Type	Jun 2014 to Aug 2014	Sep 2014 to Nov 2014	Dec 2014 to Feb 2015	Mar 2015 to May 2015	Total
D&B Proprietary Score Request	0	0	0	1	1
D&B Risk Solution	4	12	3	4	23

## Payments

Currency: Shown in USD unless otherwise indicated

## Payments Summary

Attachment 29

**Current PAYDEX®:** 80 Equal to ON TERMS  
**Industry Median:** 78 Equal to 3 DAYS BEYOND terms  
**Payment Trend:** ▲ Up, compared to payments three months ago

**Total payment Experiences in D&Bs File (HQ):** 14  
**Payments Within Terms (not dollar weighted):** 86  
**Total Placed For Collection:** NA  
**Average Highest Credit:** 10,104  
**Largest High Credit:** 65,000  
**Highest Now Owing:** 15,000  
**Highest Past Due:** 100

## Payments Beyond Terms

Total (Last 12 Months): 2

Date ▼	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/f (Mo. )
04/2015	Ppt-Slow 30	\$2,500	\$50	\$0	--	1 mo
04/2015	Slow 30-60	\$100	\$100	\$100	N10	1 mo

## All Payments

Total (Last 12 Months): 14

Date ▼	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/f (Mo. )
04/2015	Ppt	--	\$10,000	\$0	--	1 mo
04/2015	Ppt	\$65,000	\$0	\$0	N30	1 mo
04/2015	Ppt	\$7,500	\$7,500	\$0	--	1 mo
04/2015	Ppt	\$5,000	\$50	--	Lease Agreeemnt	--
04/2015	Ppt-Slow 30	\$2,500	\$50	\$0	--	1 mo
04/2015	Slow 30-60	\$100	\$100	\$100	N10	1 mo
03/2015	Ppt	\$2,500	\$0	\$0	--	2-3 mos
03/2015	(008)	--	\$0	\$0	Cash account	2-3 mos
02/2015	Ppt	\$1,000	\$0	\$0	--	1 mo
10/2014	Ppt	\$50	--	--	--	1 mo
07/2014	(011)	\$500	--	--	Cash account	1 mo
03/2014	Ppt	\$15,000	\$15,000	\$0	N30	1 mo
02/2014	Ppt	\$2,500	\$250	\$0	N30	1 mo
02/2014	(014)	\$100	--	--	Cash account	1 mo

Indications of slowness can be the result of disputes over merchandise, skipped invoices, etc. Accounts are sometimes placed in collection even though the existence or amount of debt is disputed.

The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this report was printed.

## History &amp; Operations

Currency: Shown in USD unless otherwise indicated

## Company Overview

<b>Company Name:</b>	VIRIDIAN ENERGY PA LLC	<b>URL:</b>	www.viridian.com
<b>Doing Business As:</b>	(SUBSIDIARY OF REGIONAL ENERGY HOLDINGS, INC., STAMFORD, CT)	<b>Stock Symbol:</b>	NA
<b>Street Address:</b>	1055 Washington Blvd 7th Fl Stamford, CT 06901	<b>History:</b>	NA
<b>Phone:</b>	(866) 663-2508	<b>Operations:</b>	NA
<b>Fax:</b>	NA	<b>Present Management Control:</b>	2 Years
		<b>Annual Sales:</b>	NA

## History

The following information was reported: **03/12/2015**

## Officer(s):

MICHAEL FALLQUIST, MNG MBR-CEO  
 BARBARA CLAY, V PRES & GEN COUNSEL  
 ROOP BHULLAR, MBR-CFO  
 CAMI BOEHME, MBR-CSO  
 CHAITU PARIKH, MBR-COO  
 MEREDITH BERKICH, MBR-PRES VIRIDIAN ENERGY  
 CHRISTIAN MCARTHUR, EXEC VP OF PRICING, PRODUCT & ENGINEERING

## DIRECTOR(S):

THE OFFICER(S)

The Nevada Secretary of State's business registrations file showed that Viridian Energy PA LLC was registered as a Limited Liability Company on October 1, 2009.

Although this company operates as a Limited Liability Company, the members have elected to use officer titles to denote areas of responsibility.

Business started 2009. Present control succeeded 2013.

MICHAEL FALLQUIST. 2009-present active here. 2008-2009 Commerce Energy, Inc. (AMEX: EGR), as COO. 2004-2008 Macquarie Bank Limited as Senior Manager, Energy Markets Division. 2003 Deloitte Consulting as Senior Consultant, Strategy & Operations. 1999-2002 Towers Perrin as Senior Associate, Strategy & Organization.

BARBARA CLAY. 2012-present active here. Feb 2007-Oct 2012 MasterCard Worldwide, Vice President, Counsel. 199-2007 Boies, Schiller & Flexner, LLP, Counsel.

ROOP BHULLAR. 2010-present active here. Aug 2008-2010 Commerce Energy as Director of Finance. Oct 2003-Aug 2006 King Country Energy as Finance Manager/Controller. Feb 1998-Sep 2003 Deloitte as Tax Manager, Senior Tax Consultant, Tax Consultant.

CAMI BOEHME. 2010-present active here. Mar 2010-Sep 2010 Utah State University, Huntsman School of Business as Associate Director of Marketing. Sep 2009-Sep 2010 Advent Creative Partner as Brand Director. Aug 1998-Sep 2009 Digital Slant as Founder, President and Brand Director.

CHAITU PARIKH. 2013-present active here. 2011-2012 MXenergy Inc., a Constellation Company, President & CEO. 2002-2011 MXenergy Inc. (\$700 million business serving over 500,000 customers with natural gas and electricity), EVP & CFO. 2001-2002 The New Power Company (\$350 million + NYSE listed company) Vice President & Corporate Controller. 1999-2001 AES Power Direct, LLC & Titan Energy, Inc, CFO & Vice President, Finance.

MEREDITH BERKICH. 2011-present active here. Jun 2010-Feb 2011, Duvita, LLC, Chief Operating Officer. Nov 2006-May 2010 Univera, INC., Vice President of Sales, U.S. Sep 2001-Jul 2006, The Living Spring Inc., General Manager. 1990-2001, Princess House Inc., Field Division Organizer.

CHRISTIAN MCARTHUR. Antecedents not available.

Business address has changed from 152 W 57th St 4th Fl, New York, NY, 10019 to 50 Washington St, Norwalk, CT, 06854.

Business address has changed from 50 Washington St Ste 13ph, Norwalk, CT, 06854 to 1055 Washington Blvd 7th Fl, Stamford, CT, 06901.

Business address has changed from 1055 Washington Blvd 7th Fl, Stamford, CT, 06901 to 64 North Mn St, Norwalk, CT, 06854.

Business address has changed from 64 North Mn St, Norwalk, CT, 06854 to 1055 Washington Blvd, Stamford, CT, 06901.

## Business Registration

CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS OF  
MAY 05 2015.

**Registered Name:** VIRIDIAN ENERGY PA LLC  
**Business Type:** DOMESTIC LIMITED LIABILITY CO  
**Corporation Type:** NA  
**Date Incorporated:** NA  
**State of Incorporation:** NEVADA  
**Filing Date:** Oct 01 2009  
**FilingFedID:** NA  
**Registration ID:** E0524732009-3  
**Duration:** NA  
**Duration Date:** NA  
**Status:** ACTIVE  
**Status Attained Date:** Nov 13 2012  
**Where Filed:** CORPORATION DIV, CARSON CITY, NV  
**Registered Agent:** CSC SERVICES OF NEVADA, INC., 2215-B RENAISSANCE DR, LAS VEGAS, NV, 891190000  
**Agent Appointed:** NA  
**AgentStatus:** NA  
**Principals:** REGIONAL ENERGY HOLDINGS, INC., MEMBER, 1055 WASHINGTON BOULEVARD, 7TH FLOOR, STAMFORD, CT, 069010000

## Operations

03/12/2015

**Description:**

Subsidiary of REGIONAL ENERGY HOLDINGS, INC., STAMFORD, CT which operates as .

Provides electric services, specializing in power transmission. Provides natural gas transmission.

Terms are undetermined. Sells to undetermined. Territory : United States.

**Employees:** 28 which includes officer(s).

**Facilities:** Occupies premises in a building.

**Location:** NA

**Branches:** NA

**Subsidiaries:** NA

**Subsidiaries:** NA

**Subsidiaries:** NA

**Subsidiaries:** NA

**Subsidiaries:** NA

## SIC & NAICS

**SIC:**

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific to a company's operations that if we use the standard 4-digit code. The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in

4911 9903 Transmission, electric power  
4922 0000 Natural gas transmission

**NAICS:**

221121 Electric Bulk Power Transmission and Control  
486210 Pipeline Transportation of Natural Gas

Public Filings

Currency: Shown in USD unless otherwise indicated

Summary

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	# of Records	Most Recent Filing Date
Bankruptcy Proceedings	-	-
Judgments	0	-
Liens	0	-
Suits	0	-
UCCs	2	03/18/15

The following Public Filing data is for information purposes only and is not the official record.  
Certified copies can only be obtained from the official source.

Judgments

We currently don't have enough data to display this section.

Liens

We currently don't have enough data to display this section.

Suits

We currently don't have enough data to display this section.

Government Activity

We currently don't have enough data to display this section.

Banking & Finance

Financial Statements

We currently don't have enough data to display this section.

## Special Events

We currently don't have enough data to display this section.

## Corporate Linkage

## Parent

Company Name	DUNS #	City, State
CRIUS ENERGY CORPORATION	07-921-6047	STAMFORD, CONNECTICUT

## Headquarters (US)

Company Name	DUNS #	City, State
CRIUS ENERGY, LLC	07-920-7686	STAMFORD, CONNECTICUT
CRIUS ENERGY CORPORATION	07-921-6047	STAMFORD, CONNECTICUT
PUBLIC POWER, LLC	80-199-8266	STAMFORD, CONNECTICUT
REGIONAL ENERGY HOLDINGS, INC.	83-044-8515	STAMFORD, CONNECTICUT

## US Linkages

Company Name	DUNS #	City, State
<b>Subsidiaries</b>		
EVERYDAY ENERGY, LLC	07-030-7263	STAMFORD, CONNECTICUT
CRIUS ENERGY MANAGEMENT, LLC	07-921-8036	STAMFORD, CONNECTICUT
VIRIDIAN NETWORK, LLC	07-921-8071	STAMFORD, CONNECTICUT
CITRA, LLC	07-944-1637	STAMFORD, CONNECTICUT
VIRIDIAN ENERGY, LLC	83-040-4278	STAMFORD, CONNECTICUT
PUBLIC POWER, LLC	83-229-5666	STAMFORD, CONNECTICUT
VIRIDIAN ENERGY PA LLC	83-250-1584	STAMFORD, CONNECTICUT
PUBLIC POWER & UTILITY OF NEW JERSEY LLC	83-314-2370	STAMFORD, CONNECTICUT
PUBLIC POWER & UTILITY OF MARYLAND, LLC	83-314-3246	STAMFORD, CONNECTICUT
FAIRPOINT ENERGY, LLC	96-160-0736	STAMFORD, CONNECTICUT
CINCINNATI BELL ENERGY, LLC	96-160-0850	STAMFORD, CONNECTICUT
VIRIDIAN ENERGY NY, LLC	96-222-9337	STAMFORD, CONNECTICUT
<b>Branches</b>		
CRIUS ENERGY CORPORATION	07-954-6645	PINELLAS PARK, FLORIDA

## International Linkages

We currently don't have enough data to display this section.