

MEMORIALIZATION OF EX PARTE
COMMUNICATION NOT FROM INTERESTED PARTY

ICC Docket Number, or Docket Number or Case Number from Other Jurisdiction

(Please be specific): 15-0176

Subject of the Communication (Note - This field is limited to 8 lines of text):

Raymond Fisher v Ambit Illinois, LLC

Parties have ostensibly settled the matter, but have not filed the requisite documentation with the Commission.

Name of the Commission Officer or Employee Making Report (first, then last name):

John

Riley

Job Title:

Administrative Law Judge

Names and Job Titles of Other Commission Employees Present:

N/A

Date of Communication: April 22, 2015

Location of Parties to Communication:

Chicago, IL, Dallas, TX

Mode of Communication (Please Check All That Apply):

e-Mail

FAX

In Person

Mail

Telephone

Nature and Substance of All Oral Communications (Note - This field is limited to 15 lines of text):

Advised Patricia Zacharie, General Counsel for Ambit Energy, that to settle this Docket to the Commission's satisfaction, all of the settlement documents, with original signatures and a Certificate of Service, must be filed with the office of the Chief Clerk of the Commission.

Ms. Zacharie is not on the Docket service list.

ILLINOIS COMMERCE
COMMISSION
2015 MAY - 6 A 9 27
CHIEF CLERK'S OFFICE

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Responses Made to Written or Oral Communications (Note - This field is limited to 8 lines of text):

Ms. Zacharie stated she would follow up on the above advice.

Please Check One:

- Submitted are copies of all written items received.
 No written items were received.

Please Check One:

- Submitted are copies of written responses.
 No written responses were made.

Name of, Title of, and Entity Represented by Person Communicating to You:

Patricia Zacharie, General Counsel, Ambit Energy

State What Action, If Any, the Person Requested or Recommended (Note - 11 lines of text):

Include Any Other Information You Deem Pertinent:

This may include any additional names of persons, or references to the file names of any PDF attachments.

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Instructions for Locking the Form and Submitting It to the ICC Ethics Officer:

Once you've completed this form and any other necessary documentation, please lock the form and submit it, together with any accompanying documents, as an e-mail to the ICC Ethics Officer. If you are submitting additional documents with the form, please convert those documents first to PDF files by following the instructions below. Using this method will ensure that the contents of the accompanying documents cannot later be changed.

Converting other documents to PDF files:

To save a Word or Excel document as a PDF, click on the Office button in the upper left-hand corner of the screen, move the cursor to "Save As," and select the "PDF or XPS" option. "Pdf" will appear as the default choice in the "save as type" pull down menu. Once you've converted the necessary documents and named them, please identify those additional documents in the "Other Pertinent Information" field above.

Locking the form:

Select this button to change all fields, including the docket number or case number field, to "read only." Once locked, no field in the document can be changed.



After you've completed and locked this form and converted any accompanying documents to PDF files, please submit the form and other documentation by e-Mail to the ICC Ethics Officer.

Under Section 5-50(c) of the State Officials and Employees Ethics Act, the information required by this form shall be reported immediately to the agency's ethics officer, who shall require that the ex parte communication be promptly made a part of the record, and who shall promptly file the ex parte communication with the Executive Ethics Commission.

