

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

GDF Suez Retail Energy Solutions, LLC :
d/b/a Think Energy :

Application for Certificate of :
Service Authority under Section :
16-115 of the Public Utilities Act :

Docket No. _____

ATTACHMENT 1

Section 451.20 General Requirements for All Applicants – Complaints filed against Applicant and subsequent resolutions.

Illinois

1. K C Market, Inc. – Complaint re “Early Drop” – Matter resolved in favor of Think Energy.

Maryland

2. Semme Enterprise – Complaint re “Rate Dispute” – Matter resolved in favor of Think Energy.

Massachusetts

3. Gerald Diczno – Complaint re “True Up Charge” - Matter resolved in favor of Think Energy.
4. Ron Pudlo – Complaint re “True Up Charge” - Matter resolved in favor of Think Energy.

Pennsylvania

5. Twin Woods Golf Course – Complaint re “Early Termination Fee” – Matter resolved in favor of Think Energy.
6. Thomas Patterson – Complaint re “Early Termination Fee” – Matter resolved in favor of Think Energy
7. Paul Kerber – Complaint re “Slamming” – Matter resolved in favor of Think Energy

8. S & A Paint and Repair – Complaint re “Early Termination Fee” – Matter resolved in favor of Think Energy
9. Kalmesh Patel – Complaint re “rates/charges” – Matter resolved in favor of Think Energy
10. Jyotin Sachdev – Complaint re “Slamming” – Matter resolved in favor of Think Energy

Texas

11. Deanna Brooks – Complaint re “Slamming” – Matter resolved in favor of Think Energy.
12. Tahir Gurz d/b/a Empire Turkish Grill – Complaint re “Unauthorized Switch” – Matter resolved in favor of Think Energy.
13. Joshua Kirst d/b/a Runway – Complaint re “Dispute re Switch Date” – Matter resolved in favor of Think Energy.
14. Suman Gohel d/b/a Aavrio Solutions – Complaint re “Dispute over Start Date” – Matter resolved in favor of Think Energy.
15. Postal Xpress Mail & Pring Sol – Complaint re “Utility Fees” – Matter resolved in favor of Think Energy

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ATTACHMENT 2

Section 451.20(2)(1) – see attached confirmation by the Illinois Office of the Secretary of State approving Applicant’s request to transact business in the State of Illinois.



OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

MAY 03, 2011

0354862-7

CAPITOL CORPORATE SERVICES INC
1315 W LAWRENCE AVE
SPRINGFIELD, IL 62704-0000

RE GDF SUEZ RETAIL ENERGY SOLUTIONS, LLC

DEAR SIR OR MADAM:

IT HAS BEEN OUR PLEASURE TO APPROVE YOUR REQUEST TO TRANSACT BUSINESS IN THE STATE OF ILLINOIS. ENCLOSED PLEASE FIND THE APPROVED APPLICATION FOR ADMISSION.

PLEASE NOTE! THE LIMITED LIABILITY COMPANY MUST FILE AN ANNUAL REPORT PRIOR TO THE FIRST DAY OF THIS MONTH OF QUALIFICATION NEXT YEAR. FAILURE TO TIMELY FILE WILL RESULT IN A \$300 PENALTY AND/OR REVOCATION. A PRE-PRINTED ANNUAL REPORT WILL BE MAILED TO THE REGISTERED AGENT AT THE ADDRESS ON OUR RECORDS APPROXIMATELY 45 DAYS BEFORE THE DUE DATE.

MANY OF OUR SERVICES ARE AVAILABLE AT OUR CONTINUOUSLY UPDATED WEBSITE. VISIT WWW.CYBERDRIVEILLINOIS.COM TO VIEW THE STATUS OF THIS COMPANY, PURCHASE A CERTIFICATE OF GOOD STANDING, OR EVEN FILE THE ANNUAL REPORT REFERRED TO IN THE EARLIER PARAGRAPH.

SINCERELY YOURS,

A handwritten signature in cursive script that reads "Jesse White".

JESSE WHITE
SECRETARY OF STATE
DEPARTMENT OF BUSINESS SERVICES
LIMITED LIABILITY DIVISION
(217) 524-8008

Form **LLC-45.5**
June 2010

Secretary of State
Department of Business Services
Limited Liability Division
501 S. Second St., Rm. 351
Springfield, IL 62758
217-524-8008
www.cyberdriveillinois.com

Payment must be made by certified check, cashier's check, Illinois attorney's check, C.P.A.'s check or money order payable to Secretary of State.

Illinois Limited Liability Company Act
Application for Admission to Transact Business

SUBMIT IN DUPLICATE
Type or Print Clearly.

This space for use by Secretary of State.

Filing Fee: \$500

Penalty: \$ -

Approved: *jd*

FILE #: 03548627

This space for use by Secretary of State.

FILED

MAY 03 2011

JESSE WHITE
SECRETARY OF STATE

1. Limited Liability Company Name: GDF SUEZ RETAIL ENERGY SOLUTIONS, LLC
2. Assumed Name: _____
(This item is only applicable if the company name in item 1 is not available for use in Illinois, in which case form LLC 1.20 must be completed and submitted with this application.)
3. Jurisdiction of Organization: DELAWARE
4. Date of Organization: 4/18/2011
5. Period of Duration: PERPETUAL
(Enter Perpetual unless there is a Date of Dissolution provided in the agreement, in which case enter that date.)
6. Address of the Office required to be maintained in the jurisdiction of its organization or, if not required, of the Principal Place of Business: (P.O. Box alone or c/o is unacceptable.)
1990 POST OAK BLVD., STE. 1900
Number Street Suite #
HOUSTON, TEXAS 77056
City, State ZIP Code
7. Registered Agent: Capitol Corporate Services, Inc.
First Name Middle Name Last Name
Registered Office: 1315 W Lawrence Ave
Number Street Suite #
Springfield Illinois 62704
City Zip Code
8. If applicable, Date on which Company first conducted business in Illinois: N/A

(continued on back)

03548627
05/03/11

LLC-45.5

9. Purpose(s) for which the Company is Organized and Proposes to Conduct Business in Illinois:

SALE OF RETAIL ENERGY

10. The Limited Liability Company: (check one)

a. is managed by the manager(s) (List names and addresses.)

b. has management vested in the members(s) (List names and addresses.)

GDF SUEZ ENERGY RESOURCES, NA, INC.

1990 POST OAK BLVD., STE. 1900

HOUSTON, TEXAS 77056

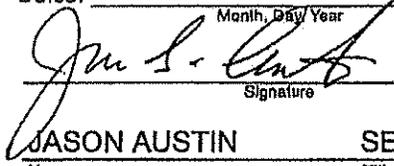
11. The Illinois Secretary of State is hereby appointed the agent of the Limited Liability Company for service of process under circumstances set forth in subsection (b) of Section 1-50 of the Illinois Limited Liability Company Act.

12. This application is accompanied by a Certificate of Good Standing or Existence, duly authenticated within the last 60 days, by the officer of the state or county wherein the LLC is formed.

13. The undersigned affirms, under penalties of perjury, having authority to sign hereto, that this application for admission to transact business is to the best of my knowledge and belief, true, correct and complete.

Dated: 4/28/2011

Month, Day Year



Signature

JASON AUSTIN

SECRETARY

Name

Title

GDF SUEZ ENERGY RESOURCES NA, INC. - MEMBER

If applicant is signing for a Company or other Entity, state Name of Company and indicate whether it is a member or manager of the LLC.

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ATTACHMENT 3

Section 451.30(c)(3) – See attached notice letter to Ameren Illinois Company dated May 8, 2015 notifying Ameren that Applicant intends to serve customers in their service territory.

May 7, 2015

VIA FEDERAL EXPRESS

Ameren Illinois Company
d/b/a Ameren Illinois
200 W. Washington Street
Springfield, IL 62701-1117
Attn: Brice A. Sheriff, Registered Agent

**RE: State of Illinois Administrative Code, Title 83: Public Utilities, Chapter 1:
Illinois Commerce Commission, Part 451, Required Filings and Procedures**

As part of its application for certification by the Illinois Commerce Commission as an Alternative Retail Electric Supplier (ARES), GDF Suez Retail Energy Solutions, LLC d/b/a Think Energy hereby submits this notification letter of its intent to offer electric energy service to customers in the Ameren service territory.

A copy of this letter will be provided to the Illinois Commerce Commission as part of GDF Suez Retail Energy Solutions, LLC d/b/a Think Energy's ARES application.

If you have any questions, please contact me via email at Julian.mithani@gdfsuezna.com.

Regards,



Julian Mithani
Counsel



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ATTACHMENT 4

Section 451.50 License or Permit Bond Requirements

See attached surety bond #105551968 issued by Travelers Casualty and Surety Company of America in the amount of \$300,000.00 in favor of The People of the State of Illinois.

License or Permit Bond

License or Permit Bond No 105551968
Travelers Casualty and Surety Company of America, One Tower Square, Hartford, CT 06183-6014

KNOW ALL MEN BY THESE PRESENTS, That we, **GDF Suez Retail Energy Solutions, LLC** as Principal, and **Travelers Casualty and Surety Company of America**, a **Connecticut** Corporation, and authorized to do business in Illinois, as Surety, are held and firmly bound unto THE PEOPLE OF THE STATE OF ILLINOIS as Obligee, in the sum of **THREE HUNDRED THOUSAND AND NO/100 Dollars (\$300,000.00)**, for which sum, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, by these presents.

THE CONDITIONS OF THIS OBLIGATION ARE SUCH, That WHEREAS, the Principal has been or is about to be granted a license or permit to do business to operate as an ARES (Alternative Retail Electric Supplier) under 220 ILCS 5/16-115 and is required to execute this bond under 83 Illinois Administrative Code Part 451.50 by the Obligee.

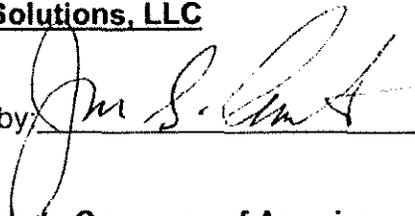
NOW, Therefore, if the Principal fully and faithfully perform all duties and obligations of the Principal as an ARES, then this obligation to be void; otherwise to remain in full force and effect.

This bond may be terminated as to future acts of the Principal upon thirty (30) days written notice by the Surety; said notice to be sent to 527 East Capitol Avenue, Springfield, Illinois 62701, of the aforesaid State of Illinois, by certified mail.

Dated this 1st day of October, 2011

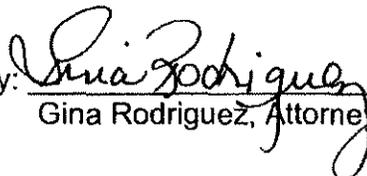
GDF Suez Retail Energy Solutions, LLC

Principal

by: 

Travelers Casualty and Surety Company of America

Surety

by: 
Gina Rodriguez, Attorney-in-Fact



POWER OF ATTORNEY

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company
Travelers Casualty and Surety Company
Travelers Casualty and Surety Company of America
United States Fidelity and Guaranty Company

Attorney-In Fact No. 222891

Certificate No. 004165742

KNOW ALL MEN BY THESE PRESENTS: That St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company and St. Paul Mercury Insurance Company are corporations duly organized under the laws of the State of Minnesota, that Farmington Casualty Company, Travelers Casualty and Surety Company, and Travelers Casualty and Surety Company of America are corporations duly organized under the laws of the State of Connecticut, that United States Fidelity and Guaranty Company is a corporation duly organized under the laws of the State of Maryland, that Fidelity and Guaranty Insurance Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Underwriters, Inc., is a corporation duly organized under the laws of the State of Wisconsin (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint

Donald R. Gibson, Sandra R. Parker, Melissa Haddick, Joe Martinez, Gina Rodriguez, and Tannis Mattson

of the City of Houston, State of Texas, their true and lawful Attorney(s)-in-Fact, each in their separate capacity if more than one is named above, to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed and their corporate seals to be hereto affixed, this 12th day of April, 2011.

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company
Travelers Casualty and Surety Company
Travelers Casualty and Surety Company of America
United States Fidelity and Guaranty Company



State of Connecticut
City of Hartford ss.

By: [Signature]
George W. Thompson, Senior Vice President

On this the 12th day of April, 2011, before me personally appeared George W. Thompson, who acknowledged himself to be the Senior Vice President of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

In Witness Whereof, I hereunto set my hand and official seal.
My Commission expires the 30th day of June, 2011.



[Signature]
Marie C. Tetreault, Notary Public



WHEREAS,

Travelers Casualty and Surety Company of America
located at
Hartford, Connecticut

has complied with all the requirements of the "*Illinois Insurance Code*" applicable to said Company:

NOW, THEREFORE, I the undersigned, Director of Insurance of the State of Illinois, do hereby authorize the said Company to transact its appropriate business as set forth under clause(s)

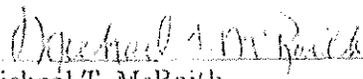
(a), (b), (c), (d), (e), (f), (g), (h), (i), (j) of Class 2
(a), (b), (c), (d), (e), (f), (g), (h) of Class 3

of Section 4 of the "*Illinois Insurance Code*" in this State in accordance with the laws thereof, until the 1st day of July, 2011.



IN TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed this Seal.

Done at the City of Springfield, this 1st day of July, 2010.


Michael T. McRaith
Director of Insurance

14300-51

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ATTACHMENT 5

Section 451.320 Financial Qualifications under Subpart D

Applicant is certified as an ARES to serve Illinois customers in the ComEd utility territory located in the PJM Interconnection region. Accordingly, Applicant exhibits that it possesses the sufficient financial resources to be certified as an ARES in the State of Illinois to be able to serve all retail customers because it meets the following criteria:

451.320(a)(3) – The Applicant demonstrates and certifies it is a member of one or more RTOs and purchases 100% of its physical electric energy from the RTOs for delivery to the service territories for which the Applicant is seeking a certificate.

Applicant is an Affiliate Member of PJM Interconnection (“PJM”), the regional transmission organization (“RTO”) that coordinates the movement of electricity in the State of Illinois in the ComEd territory. Applicant’s parent company, GDF Suez Energy Resources NA, Inc. an active participant in the IL retail electricity market serving large commercial, industrial and institutional customers, purchases 100% of its physical energy from PJM for delivery to the ComEd service territories. Applicant, similarly, will purchase 100% of its physical electric energy from PJM for delivery to the Ameren territories for which Applicant seeks a certificate.

Additionally, Applicant is registered as an Asset Owner (subaccount) and is registered as a CP Note under its wholesale affiliate’s (GDF Suez Energy Marketing NA, Inc.) portfolio, completed May 30, 2012, to serve the MISO region. Applicant is hereby requesting certification as an ARES to also serve the MISO region.

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ATTACHMENT 6

Section 451.330 Technical Qualifications under Subpart D

1. **William (“Bill”) Jordan**

Job Title: Vice President, Supply

Company: GDF Suez Energy Resources NA, Inc.

Relevant occupational experience:

- Approximately 20 years of experience managing Retail and Generation Portfolios.
- 2015: GSERNA - Vice President, Supply: responsible for Pricing and Portfolio management of retail load obligations for North America, including load forecasting and analytics.
- 2008-2014: GSEMNA – Director, Portfolio Management: overall gross margin P&L responsibility for GDF Suez’s generation portfolio within ERCOT. Direct all commercial operations of portfolio, including budget year term hedging, Day-Ahead and Real-Time optimization.
- 2003-2004: Centrica North America – Manager, Commercial Development.
- 2001-2003: Reliant Resources – Director, Retail Commodity Structuring.
- 1999-2003: Enron Energy Services – Manager, Commodity Risk Management.
- 1998-1999: Duke Solutions – Manager, Structured Transactions.
- 1997-1998: CSW Energy Services – Manager, Pricing.
- 1992-1997: CSW Energy Services – System Planning Engineer.

2. **Michael Petrini**

Job Title: Supply Manager

Company: GDF Suez Energy Resources NA, Inc.

Relevant occupational experience:

- 2010-2015: GSERNA - Small Commercial Senior Portfolio Manager for Portfolio Management - establish business processes, reports, and portfolio management strategy of GSERNA's entry into the small customer market for PJM territory; Supplier Services – business development of wholesale energy supply solutions for retail electric providers in ERCOT, NYISO, NE-ISO, and PJM. Responsible for wholesale market operations, financial administration, and due diligence for potential clients.
- 2003-2010: Fulcrum Power Services – Senior Director: Retail Risk Management – risk advisory services for Fulcrum's \$30MM per annum retail book in addition to seven (7) other retail providers with 150MW of combined load in ERCOT. Management of term contracts by wholesale product, full-requirements pricing, mmbtu equivalency, credit monitoring, and mark-to-market value; Power Supply Procurement – managed day-ahead and term retail supply requirements of over 250MW of Fulcrum's retail load in Texas with purchase authorization up to \$400,000 per trade day on dailies; Project Acquisition and Finance – evaluated and modeled over \$1.4 billion in power plant investment opportunities given the project's existing contracts, operating characteristics, market environment, and new structure of hedged energy products to secure financing; Asset Management – Simple Cycle, Combined Cycle & Cogen – formulated daily trading and operational strategy for managed power assets in Texas (ERCOT) and power contract management in Mississippi (SERC). Bid, scheduled, and dispatched assets in real-time to optimize gross margin; Load Forecasting – developed and maintained methodology for retail load forecasting and reviewed accuracy of backcasts.
- 1999-2003: Dynegy Marketing and Trade – Senior Analyst: Strategic Assessment and Valuations – presented strategic recommendations regarding financial positions and physical power generation with respect to the market outlook for electric power in New York state (NYISO). Managed the reporting and effectiveness of cross-commodity hedging program; performed scenario shocks and modeled delta position in Risk Management system; Wholesale Risk Management – provide portfolio and valuation support regarding transfer valuations between Trading desks, validation of trades and price marks in Risk Management system, and end-of-day support to close and value power portfolios.

3. **Ken MacMahon**Job Title: Director, SupplyCompany: GDF Suez Energy Resources NA, Inc.Relevant occupational experience:

- Mr. MacMahon has more than 14 years of experience working in deregulated electricity markets across the U.S. He currently directs the retail pricing and portfolio management activities for GSERNA in the PJM region. Mr. MacMahon received a BA from the University of Virginia, and his MBA from the University of Chicago. Mr. MacMahon directs a staff of five (5) individuals that handle all aspects of retail pricing, portfolio management and procurement.

4. **Andrew Elliott**

Job Title: Director, Supply & Portfolio Management

Company: GDF Suez Energy Marketing NA, Inc.

Relevant occupational experience:

- Mr. Elliott has more than 19 years of experience working within the natural gas and electricity markets across the U.S. He currently directs the retail portfolio management activities for GSERNA. Before joining GSERNA, Mr. Elliott held positions with Reliant Energy and Duke Energy where he worked in the roles of natural gas & power trading, origination/structured transactions and asset optimization. He received a BA from the University of Texas at Austin, and his MBA from University of Houston. Mr. Elliott maintains a staff of three (3) individuals that manage supply and supply analysis.

5. **Susan English**

Job Title: Manager of Commercial Operations

Company: GDF Suez Energy Marketing NA, Inc.

Relevant occupational experience:

- 2001-present: Over fourteen (14) years of experience with GSEMNA buying, selling, and scheduling power and energy in wholesale markets; Schedule PJM, ISONE and NYISO; Process all PJM and ISONE Demand Bids, Inc Offers, Dec Bids, ICAP, E-schedules; Model and test enhancements to power scheduling application ACES as well as the day-to-day functions imperative to keep the system current; Provide training to all ACES users; Oversee all ERCOT plant testing as well as submitting and updating all planned outages to ERCOT; Liaison between the marketing and retail groups; Power Scheduling; Back-up Scheduling for PJM, ISONE, NYISO, MISO, ERCOT and Entergy

6. **Paula Torres**

Job Title: Senior Power Scheduler

Company: GDF Suez Energy Marketing NA, Inc.

Relevant occupational experience:

- 2008-present: approximately seven (7) years of experience buying, selling and scheduling power and energy in all NERC wholesale markets.

- 2014-present: GSEMNA – Senior Power Scheduler: schedule PJM, MISO and NYISO; manage all user access and security clearances for PJM, MISO and NYISO; manage bids and offers for wholesale auctions in PJM and NYISO; back-up scheduler for PJM, ERCOT and ISO-NE.
- 2011-2013: JPMorgan Ventures Energy Corp – Mid Marketer and Originator: negotiate pricing between customers and trade desks for prompt month and long-term transactions; execute ISDAs, EEs and NAESB agreements between JPMorgan and customers; price non-standard wholesale energy products for customers such as asset management services, options, tolling agreements, and PPAs.
- 2008-2011: JPMorgan Ventures Energy Corp – Scheduler: scheduled MID-C, Palo Verde, CAISO, create tags for energy delivery in non-ISO controlled regions; forecast and schedule power from wind farms, manage power delivery schedules to and from generation assets; plan and purchase transmission for delivery schedules.

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ATTACHMENT 7

Section 451.340 Managerial Qualifications under Subpart D

SAM HENRY - President & CEO

Sam Henry is the President and CEO of GDF Suez Energy Resources NA, Inc. (GSERNA), one of the nation's leading energy providers serving commercial and industrial customers and a subsidiary of GDF Suez Energy North America (GSENA), active in the development, acquisition, and operation of electricity and cogeneration facilities, the marketing and sales of products and services, and the import and distribution of natural gas and LNG. Prior to joining GSERNA, from 2004 to 2012, Mr. Henry was the President and CEO of GDF Suez Energy Marketing, Inc., the trading and portfolio management operating group of GSENA. His responsibilities included all commercial activities surrounding power generation plants and the hedging of commodity price risks for the company in North America. In Mr. Henry's three decades in the energy industry, he has focused on asset and risk management. Prior to joining the GSENA group, Mr. Henry was Vice President of Risk Management at Edison Mission Energy, a company with 25,000 MW of generation in the United States, United Kingdom and Australia. Mr. Henry also served as Vice President of Trading and Risk management for Conoco's natural gas and gas liquids division, as well as its affiliated power marketer, Dupont Power Marketing. Mr. Henry also held positions at Chevron in the crude oil, gas liquids, and natural gas marketing sectors in Texas and New York. Mr. Henry is a member of the Global Association of Risk Professional and has been a member of the New York Mercantile Exchange advisory committee on propane, natural gas, and electricity trading. He also serves on the Advisory Board of the University of Houston Global Energy Management Institute.

JOHN HENDERSON – Senior Vice President, Small Customer Business

Mr. Henderson joined GSERNA in October 2012 to focus on strategies for extending the company's commercial and industrial capabilities to the B2C market. Previously, Mr. Henderson served as Vice President, Central Portfolio Management focusing on

compliance with the new Dodd-Frank financial reform regulations and hedging strategy and optimization of GSENA electricity, LNG and retail portfolio. Mr. Henderson has served in a broad range of commercial and operational executive roles including retail marketing and operations, COO of Mexico regulated gas distribution and co-generation, North America merchant generation management, and portfolio risk management. Prior to joining GSERNA, Mr. Henderson held energy-related executive positions at The New Power Company, a joint venture between Enron, AOL, GE and IBM to serve residential customers, and Enron in North America and the United Kingdom. Mr. Henderson began his career working in New York in investment banking prior to moving to Houston and focusing on energy. Mr. Henderson holds a Bachelor of Science degree in Electrical Engineering and also Economics from Rice University (with highest distinction) and an MBA degree from The Standard Graduate School of Business. Mr. Henderson is a member of the Global Association of Risk Professionals and is actively involved in advancing competitive policy through numerous gas, electric and retail industry associations.

RAY CUNNINGHAM - Vice President, Assistant General Counsel & Secretary

Mr. Cunningham joined GSERNA in October 2012 as its Vice President & Assistant General Counsel where he is responsible for government affairs, regulatory compliance, corporate transactions, litigation, risk management, and all legal matters impacting the retail business. Mr. Cunningham has over 25 years of experience in the energy industry and was most recently Vice President & Assistant General Counsel of the wholesale gas and power business unit of GDF Suez Energy Marketing, NA (GSEMNA). Mr. Cunningham is a graduate of Texas Tech University and South Texas College of Law.

GRAHAM LEITH - Vice President, Sales

Mr. Leith joined GDF Suez Energy Resources NA, Inc. in May, 2014 as Vice President, Sales. He has a diverse energy background in the areas of Sales, Marketing, Risk Management and Operations. Most recently, he held the positions of Chief Risk Officer and Vice President & General Manager, Commercial Energy Sales, at AEP Energy. Prior to AEP, Graham held senior positions with Direct Energy & British Gas (Centrica plc), Ontario Power Generation Inc., and Photowatt Solar, in Canada and the United Kingdom. Mr. Leith has also held various energy marketing and sales roles with PremStar Energy Inc., TransCanada Pipelines, Ltd., and Air Solutions, Inc., in Ontario, Canada. Graham holds a Master of Business Administration from the Schulich School of Business at York University in Toronto, Ontario, Canada and a Bachelor of Business Administration from Wilfrid Laurier University in Waterloo, Ontario, Canada.

J.D. BURROWS - Vice President, Marketing

Mr. Burrows rejoined GSERNA in April 2013 as its Vice President of Marketing where he is responsible for lead generation, brand positioning, market analytics and pricing strategies. Prior to rejoining GSERNA, Mr. Burrows worked as the Vice President of

Origination & Procurement for GSEMNA where he was responsible for wholesale origination of structured energy products; mid-marketing of energy, capacity, RECs; and procurement of natural gas, fuel oil, coal, transportation and rail capacity; and prior to that he was the Vice President of Strategy & Marketing for GSENA. Before joining GSERNA, Mr. Burrows held positions with Enron in structuring and risk management, and with Dynegy in Northeast market development. He started his career in energy with the Public Utility Commission of Texas, where he served as a witness and staff expert on rate design and fuel factors. Mr. Burrows earned a Bachelor of Science in economics from the University of Texas and a Master of Science in economics from Texas A&M University.

DOUGLAS STEIN – Vice President & Controller, Business Control

Mr. Stein is responsible for the financial functions, including accounting, performance reporting, planning, settlements and credit. In addition, he heads up the customer billing and account management functions. Prior to GSERNA, Mr. Stein was a Director for GDF SUEZ Generation NA LLC (GSEGNA). Mr. Stein began his career working with PricewaterhouseCoopers before joining GSEGNA. He is a certified public accountant and holds a Bachelor in Business Administration and Masters in Accounting from Trinity University.

VIKRAM KULKARNI – Vice President, Solar

Mr. Kulkarni joined GSERNA in June 2003 and is responsible for pricing, and portfolio risk management. Mr. Kulkarni has more than 12 years of experience in the retail energy space within operations, structuring and risk management functions. He was previously with TXU Energy and an associate with Enron Energy Services. Mr. Kulkarni holds a Bachelor of Science degree in Economics from the University of Wisconsin – Madison, and a Master of Science degree in Finance from Boston College.

WILLIAM (“BILL”) JORDAN – Vice President, Supply

Mr. Jordan joined GSERNA in March 2015 and is responsible for pricing, and portfolio risk management. He has over 20 years of experience and brings a broad background in energy marketing, portfolio management, wholesale generation and retail operations, valuation, risk management, and structuring to the role. He joined GDF Suez in 2004 and has held roles in retail operations and wholesale marketing. Prior to GSERNA, he was Director, ERCOT Portfolio Management and responsible for all commercial operations of the ERCOT generation portfolio including budget year term hedging, and day-ahead and real-time optimization. During his career, he held various retail commodity structuring and risk management roles with Centrica, Reliant Resources, Enron, Duke, and Central & Southwest, including developing hedging strategies for retail load obligations and risk management of supply positions. He holds an electric engineering degree from Louisiana Tech.

H. JOON CHUN – Vice President, Operations

Mr. Chun joined GSERNA in December 2013 as Vice President, Operations and is responsible for sales support, transaction management, contract validation, process and systems management and information technology impacting the business unit. Mr. Chun joined GSENA in December 2002 as a Director of Retail IT and recently served as a Director of IT for Corporate applications. He has over 20 years of experience working for various oil & gas and retail energy companies within the information technology space. He started his career at ExxonMobil and progressed through various management roles within Shell, Dynegy and Reliant Energy. Mr. Chun holds a degree in Computer Engineering from Baylor University and a Masters in Electrical Engineering from the University of Houston.

Sam Henry
President & CEO Retail

Sheritha Vaughns
Executive Assistant

