

Amended Application Attachments ALJ-1 through ALJ-4 including Responses to the ALJ notice.

Notice is hereby given that the Administrative Law Judge has completed a preliminary review of the application for an alternative gas supplier (an "AGS") certificate filed by Mansfield Power and Gas, LLC in Docket No. 14-0627. The Administrative Law Judge hereby rules that the Applicant shall file an Amended Application including the information described below with the Chief Clerk of the Illinois Commerce Commission ("Commission") on or before October 31, 2014.¹ The response to this ruling must be verified by a person with personal knowledge of the facts therein.

General Requirements

1. Section 551.30(a) (83 Ill. Adm. Code 551.30(a)) requires an Applicant to publish notice of its application in the Official State Newspaper within 10 days following application filing, and to file proof of that publication with the Clerk of the Commission within 5 days after publication.

If the Applicant has not yet filed the proof of publication with the Clerk of the Commission, it shall file the proof of publication of the Amended Application.

Response – Please see Attachment ALJ – 1, Newspaper Notification Verification

2. Section 551.30(c)(2) requires an Applicant to identify the geographic area(s) in which an applicant seeks to be authorized to offer service. The Applicant has identified the service territories it intends to serve. However, the Applicant did not list the types of services it intends to offer in each area.

The Applicant shall amend paragraph 10 to state which service territory (*e.g.*, Nicor, Ameren) it intends to do business in and what types of services for each territory (residential or small commercial).

Response - Applicant intends to do business in the following service territories by customer class as indicated below:

<u>Service Territory</u>	<u>Residential</u>	<u>Small Commercial</u>
<u>Ameren</u>	Yes	Yes
<u>Nicor</u>	Yes	Yes

¹ The Applicant may also file a motion seeking leave to withdraw its application.

<u>Peoples</u>	Yes	Yes
<u>North Shore</u>	Yes	Yes

Applicant's initial market focus will be on the small commercial segment.

Financial Qualifications

3. Section 551.80(e) requires the applicant to cover its prospective obligation to reimburse Illinois residential and small commercial customers using an unconditional guarantee or payment bond. The Applicant has submitted a payment bond in the amount of \$100,000 from The Hanover Insurance Company.

The Applicant shall submit an amended payment bond or a Rider to the payment bond that clearly corrects the following:

- a. beneficiary is the name each Incumbent Utility and "The People of the State of Illinois";
- b. the condition of this obligation is such that, if the Principal fulfills its contractual obligations to its Illinois residential and small commercial retail customers or to pay or cause to be paid to the Obligee within the time ordered by the Illinois Commerce Commission, all additional costs to the Principal's Illinois residential and small commercial retail customers that were incurred to acquire natural gas as a result of Principal's failure to comply with its contractual obligation to those customers to supply such energy, then, this obligation shall be null and void with respect to, and to the extent of, such amounts that are timely paid; otherwise, this obligation shall remain in full force and effect.

Response: Please See Attachment ALJ – 2, Rider to Applicant's Payment Bond

4. The Applicant states that it is a national retail energy service provider of natural gas and electricity to residential, small commercial and large industrial customer classes. Please provide a list of all states that it does business in and the type of business that it performs in each state.

Response: Please see Attachment ALJ – 3, Service by State and Customer Class

5. Additional Requirements that must be filed with application:

Applicant must provide an organizational chart demonstrating its corporate structure (including all affiliated companies)

Response: Please see Attachment ALJ – 4, Applicants' Corporate structure. There are no affiliates under Mansfield Energy Corp. that provide electricity and/or natural gas retail marketing services other than Mansfield Power and Gas LLC.

Applicant shall disclose whether it has had any formal complaints filed against it at a state Public Utility Commission, Attorney General or similar agency, for filed against an affiliate in any state (within the last ten years). For each formal complaint, Applicant shall provide the following:

- name of company (and affiliate relationship to applicant if applicable);
- State jurisdiction;
- regulatory agency hearing complaint;
- date of complaint;
- docket or case number;
- nature of the complaint;
- the current status or the final resolution;
- applicant's (or affiliate as applicable) internal process to limit reoccurrence; and
- final resolution date.

Response: Applicant hasn't had any formal complaints filed against it at a state Public Utility Commission, Attorney General or similar agency, or filed against an affiliate in any state within the last ten years.

Applicant shall disclose whether it has had any informal complaints filed against it or against an affiliate in Illinois (at the Illinois Commerce Commission and/or other agencies). For each informal complaint in Illinois, Applicant shall provide the following:

- name of company (and affiliate relationship to applicant if applicable);
- date of complaint;
- docket or case number;
- nature of the complaint;
- the current status or the final resolution;
- applicant's (or affiliate as applicable) internal process to limit reoccurrence; and
- final resolution date.

Response: Applicant hasn't had any informal complaints filed against it or against an affiliate in Illinois at the Illinois Commerce Commission and/or other agencies.

Legal Notice

Mansfield Power and Gas, LLC hereby gives notice that it has filed an Application for a Certificate of Service Authority with the Illinois Commerce Commission to be an Alternative Gas Supplier (AGS). Information about this Application may be obtained by contacting Mansfield Power and Gas, LLC directly or by contacting the Chief Clerk of the Illinois Commerce Commission at 527 East Capital Avenue, Springfield IL 62701.

Mansfield Power and Gas, LLC
1025 Airport Parkway SW
Gainesville GA 30501
678-450-2000

10/15, 10/22, 10/29, 2014

BREEZE PRINTING COMPANY

A Corporation organized and existing under and by virtue of the laws of the State of Illinois does HEREBY CERTIFY, that it is the publisher

OF THE

Breeze - Courier

That said BREEZE-COURIER is a secular newspaper and has been published daily in the City of Taylorville, County of Christian and State of Illinois, continuously for more than six months prior to, on and since the date of the first publication of the notice hereinafter referred to and is of general circulation throughout said County and State.

That a notice, of which the annexed printed slip is a true copy, was published 3 times in said Breeze-Courier namely once each week for 3 successive weeks, and that the first publication of said notice as aforesaid was made in said newspaper dated and published on the 15 day of October, A.D. 2014 and the last publication thereof was made in said newspaper dated and published on the 29 day of October, A.D. 2014.

IN WITNESS WHEREOF, the undersigned, the said BREEZE PRINTING COMPANY has caused this certificate to be signed by MARYLEE COOPER RASAR, its President, this 29 day of October, A.D. 2014.

BREEZE PRINTING COMPANY

By Marylee Rasar, President.

(Publication Fee \$ 19.20)

Legal Notice

Mansfield Power and Gas, LLC hereby gives notice that it has filed an Application for a Certificate of Service Authority with the Illinois Commerce Commission to be an Alternative Gas Supplier (AGS). Information about this Application may be obtained by contacting Mansfield Power and Gas, LLC directly or by contacting the Chief Clerk of the Illinois Commerce Commission at 527 East Capital Avenue, Springfield IL 62701.

Mansfield Power and Gas, LLC
1025 Airport Parkway SW
Gainesville GA 30501
678-450-2000

10/15, 10/22, 10/29, 2014

BREEZE PRINTING COMPANY

A Corporation organized and existing under and by virtue of the laws of the State of Illinois does HEREBY CERTIFY, that it is the publisher

OF THE

Breeze-Courier

That said BREEZE-COURIER is a secular newspaper and has been published daily in the City of Taylorville, County of Christian and State of Illinois, continuously for more than six months prior to, on and since the date of the first publication of the notice hereinafter referred to and is of general circulation throughout said County and State.

That a notice, of which the annexed printed slip is a true copy, was published 3 times in said Breeze-Courier namely once each week for 3 successive weeks, and that the first publication of said notice as aforesaid was made in said newspaper dated and published on the 15 day of October A.D. 2014 and the last publication thereof was made in said newspaper dated and published on the 29 day of October A.D. 2014

IN WITNESS WHEREOF, the undersigned, the said BREEZE PRINTING COMPANY has caused this certificate to be signed by MARYLEE COOPER RASAR, its President, this 29 day of October A.D. 2014

BREEZE PRINTING COMPANY

By Marylee Rasar President.

(Publication Fee \$ 19.00)

BREEZE PRINTING COMPANY

A Corporation organized and existing under and by virtue of the laws of the State of Illinois does HEREBY CERTIFY, that it is the publisher

OF THE

Breeze-Courier

That said BREEZE-COURIER is a secular newspaper and has been published daily in the City of Taylorville, County of Christian and State of Illinois, continuously for more than six months prior to, on and since the date of the first publication of the notice hereinafter referred to and is of general circulation throughout said County and State.

That a notice, of which the annexed printed slip is a true copy, was published 3 times in said Breeze-Courier namely once each week for 3 successive weeks, and that the first publication of said notice as aforesaid was made in said newspaper dated and published on the 15 day of October , A.D. 2014 and the last publication thereof was made in said newspaper dated and published on the 29 day of October , A.D. 2014

IN WITNESS WHEREOF, the undersigned, the said BREEZE PRINTING COMPANY has caused this certificate to be signed by MARYLEE COOPER RASAR, its President, this 29 day of October , A.D. 2014

BREEZE PRINTING COMPANY

By *Marylee Rasar* , President.

(Publication Fee \$ 19.20)

Legal Notice

Mansfield Power and Gas, LLC hereby gives notice that it has filed an Application for a Certificate of Service Authority with the Illinois Commerce Commission to be an Alternative Gas Supplier (AGS). Information about this Application may be obtained by contacting Mansfield Power and Gas, LLC directly or by contacting the Chief Clerk of the Illinois Commerce Commission at 527 East Capital Avenue, Springfield IL 62701.

Mansfield Power
and Gas, LLC
1025 Airport Parkway
SW
Gainesville GA 30501
678-450-2000

10/15, 10/22, 10/29,
2014

CHANGE RIDER

To be attached to and form a part of Bond No., BMA1019304 executed by Mansfield Power and Gas, LLC, as Principal, and by THE HANOVER INSURANCE COMPANY, as Surety, in favor of State of Illinois, as Obligee.

In consideration of the mutual agreements herein contained, the Principal and the Surety hereby consent to change: Name of Oblige

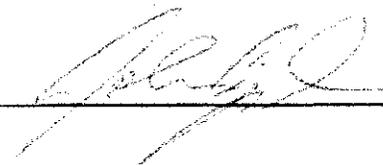
From: State of Illinois

To: Ameren Illinois Corporation, Northern Illinois Gas Company, The Peoples Gas Light and Coke Company, North Shore Gas Company & The People of the State of Illinois

Nothing herein contained shall vary, alter or extend any provision or condition of this bond except as herein expressly stated. Signed, sealed and dated this 29th day of October, 2014.

MANSFIELD POWER AND GAS, LLC

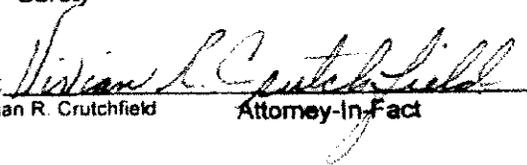
Seal

By: 

THE HANOVER INSURANCE COMPANY

Surety

Seal

By: 
Vivian R. Crutchfield

Attorney-in-Fact

THE HANOVER INSURANCE COMPANY
MASSACHUSETTS BAY INSURANCE COMPANY
CITIZENS INSURANCE COMPANY OF AMERICA

POWERS OF ATTORNEY
CERTIFIED COPY

KNOW ALL MEN BY THESE PRESENTS That THE HANOVER INSURANCE COMPANY and MASSACHUSETTS BAY INSURANCE COMPANY, both being corporations organized and existing under the laws of the State of New Hampshire, and CITIZENS INSURANCE COMPANY OF AMERICA, a corporation organized and existing under the laws of the State of Michigan, do hereby constitute and appoint

A.D. Little, William D. Smith, Eugene Y. Northcutt, James R. Elrod, William D. Smith Jr.,
David B. Burruss, Barbara A. Duvall, Vivian R. Crutchfield and/or Brenda Ray

of Marietta, GA and each is a true and lawful Attorney(s)-in-fact to sign, execute, seal, acknowledge and deliver for, and on its behalf, and as its act and deed any place within the United States, or, if the following line be filled in, only within the area therein designated any and all bonds, recognizances, undertakings, contracts of indemnity or other writings obligatory in the nature thereof as follows

Any such obligations in the United States, not to exceed Twenty Million and No/100 (\$20,000,000) in any single instance

and said companies hereby ratify and confirm all and whatsoever said Attorney(s)-in-fact may lawfully do in the premises by virtue of these presents. These appointments are made under and by authority of the following Resolution passed by the Board of Directors of said Companies which resolutions are still in effect:

RESOLVED: That the President or any Vice President in conjunction with any Vice President, be and they are hereby authorized and empowered to appoint Attorneys-in-fact of the Company, in its name and as its acts, to execute and acknowledge for and on its behalf as Surety any and all bonds, recognizances, contracts of indemnity, waivers of citation and all other writings obligatory in the nature thereof, with power to attach thereto the seal of the Company. Any such writings so executed by such Attorneys-in-fact shall be as binding upon the Company as if they had been duly executed and acknowledged by the regularly elected officers of the Company in their own proper persons. (Adopted October 7, 1981 - The Hanover Insurance Company, Adopted April 14, 1982 - Massachusetts Bay Insurance Company, Adopted September 7, 2001 - Citizens Insurance Company of America)

IN WITNESS WHEREOF, THE HANOVER INSURANCE COMPANY, MASSACHUSETTS BAY INSURANCE COMPANY and CITIZENS INSURANCE COMPANY OF AMERICA have caused these presents to be sealed with their respective corporate seals duly attested by two Vice Presidents, this 14th day of March 2012.



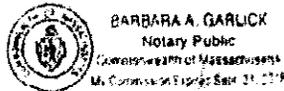
THE HANOVER INSURANCE COMPANY
MASSACHUSETTS BAY INSURANCE COMPANY
CITIZENS INSURANCE COMPANY OF AMERICA

Robert Thomas
Robert Thomas, Vice President

Joe Brenstrom
Joe Brenstrom, Vice President

THE COMMONWEALTH OF MASSACHUSETTS)
COUNTY OF WORCESTER) ss.

On this 14th day of March 2012 before me came the above named Vice Presidents of The Hanover insurance Company, Massachusetts Bay Insurance Company and Citizens Insurance Company of America, to me personally known to be the individuals and officers described herein, and acknowledged that the seals affixed to the preceding instrument are the corporate seals of The Hanover Insurance Company, Massachusetts Bay Insurance Company and Citizens Insurance Company of America, respectively, and that the said corporate seals and their signatures as officers were duly affixed and subscribed to said instrument by the authority and direction of said Corporations.



Barbara A. Garlick
Barbara A. Garlick, Notary Public
My Commission Expires September 21, 2018

I, the undersigned Vice President of The Hanover Insurance Company, Massachusetts Bay Insurance Company and Citizens Insurance Company of America, hereby certify that the above and foregoing is a full, true and correct copy of the Original Power of Attorney issued by said Companies, and do hereby further certify that the said Powers of Attorney are still in force and effect.

This Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of The Hanover Insurance Company, Massachusetts Bay Insurance Company and Citizens Insurance Company of America.

RESOLVED: That any and all Powers of Attorney and Certified Copies of such Powers of Attorney and certification in respect thereto, granted and executed by the President or any Vice President in conjunction with any Vice President of the Company shall be binding on the Company to the same extent as if all signatures therein were manually affixed, even though one or more of any such signatures thereon may be facsimile. (Adopted October 7, 1981 - The Hanover Insurance Company, Adopted April 14, 1982 - Massachusetts Bay Insurance Company, Adopted September 7, 2001 - Citizens Insurance Company of America)

GIVEN under my hand and the seals of said Companies at Worcester, Massachusetts, this 29th day of October 20 14

THE HANOVER INSURANCE COMPANY
MASSACHUSETTS BAY INSURANCE COMPANY
CITIZENS INSURANCE COMPANY OF AMERICA

Gleyn Margosian
Gleyn Margosian, Vice President

CHANGE RIDER

BMA1019304

To be attached to and form a part of Bond No. _____ executed by Mansfield Power and Gas, LLC _____ as Principal, and by **THE HANOVER INSURANCE COMPANY**, as Surety, in favor of Ameren Illinois Corporation, Northern Illinois Gas Company, The Peoples Gas Light and Coke Company, North Shore Gas Company & The People of the State of Illinois _____, as Obligee.

In consideration of the mutual agreements herein contained, the Principal and the Surety hereby consent to change: Add additional language per request from Obligee (see attachment to rider)

From:
To:

Nothing herein contained shall vary, alter or extend any provision or condition of this bond except as herein expressly stated. Signed, sealed and dated this 29th day of October, 2014 _____.

MANSFIELD POWER AND GAS, LLC

Seal

By: _____

THE HANOVER INSURANCE COMPANY

Surety

Seal

By: _____

Vivian R. Crutchfield

Attorney-In-Fact

CHANGE RIDER CONTINUATION

Bond #BMA1019304

Mansfield Power and Gas, LLC

PROVIDED, HOWEVER, the Surety shall not be liable under this Bond to the Obligees, or either of them, unless the said Obligees, or either of them, shall make payments to the Principal strictly in accordance with the terms of said Contract as to payments, and shall perform all the other obligations to be performed under said contract at the time and in the manner therein set forth.

The aggregate liability of Surety hereunder to the obligee, or any of them, or their assigns is limited to the penal sum stated in the bond form, and Surety, upon making any payment hereunder, shall to the extent of the payment made, be subrogated to, and shall be entitled to an assignment of, all rights of the payee, either against Principal or against any other party liable to the payee in connection with the loss which is the subject of the payment.

The condition of this obligation is such that, if the Principal fulfills its contractual obligations to its Illinois residential and small commercial retail customers or to pay or cause to be paid to the Obligee within the time ordered by the Illinois Commerce Commission, all additional costs to the Principal's Illinois residential and small commercial retail customers that were incurred to acquire natural gas as a result of Principal's failure to comply with its contractual obligation to those customers to supply such energy, then, this obligation shall be null and void with respect to, and to the extent of, such amounts that are timely paid; otherwise, this obligation shall remain in full force and effect.

THE HANOVER INSURANCE COMPANY
MASSACHUSETTS BAY INSURANCE COMPANY
CITIZENS INSURANCE COMPANY OF AMERICA

POWERS OF ATTORNEY
CERTIFIED COPY

KNOW ALL MEN BY THESE PRESENTS. That THE HANOVER INSURANCE COMPANY and MASSACHUSETTS BAY INSURANCE COMPANY, both being corporations organized and existing under the laws of the State of New Hampshire, and CITIZENS INSURANCE COMPANY OF AMERICA, a corporation organized and existing under the laws of the State of Michigan, do hereby constitute and appoint

A.D. Little, William D. Smith, Eugene Y. Northcutt, James R. Elrod, William D. Smith Jr.,
David B. Burruss, Barbara A. Duvall, Vivian R. Crutchfield and/or Brenda Ray

of Marietta, GA and each is a true and lawful Attorney(s)-in-fact to sign, execute, seal, acknowledge and deliver for, and on its behalf, and as its act and deed any place within the United States, or, if the following line be filled in, only within the area therein designated any and all bonds, recognizances, undertakings, contracts of indemnity or other writings obligatory in the nature thereof, as follows

Any such obligations in the United States, not to exceed Twenty Million and No/100 (\$20,000,000) in any single instance

and said companies hereby ratify and confirm all and whatsoever said Attorney(s)-in-fact may lawfully do in the premises by virtue of these presents These appointments are made under and by authority of the following Resolution passed by the Board of Directors of said Companies which resolutions are still in effect

"RESOLVED, That the President or any Vice President, in conjunction with any Vice President, be and they are hereby authorized and empowered to appoint Attorneys-in-fact of the Company, in its name and as its acts, to execute and acknowledge for and on its behalf as Surety any and all bonds, recognizances, contracts of indemnity, waivers of citation and all other writings obligatory in the nature thereof, with power to attach thereto the seal of the Company. Any such writings so executed by such Attorneys-in-fact shall be as binding upon the Company as if they had been duly executed and acknowledged by the regularly elected officers of the Company in their own proper persons." (Adopted October 7, 1981 - The Hanover Insurance Company, Adopted April 14, 1982 - Massachusetts Bay Insurance Company, Adopted September 7, 2001 - Citizens Insurance Company of America)

IN WITNESS WHEREOF, THE HANOVER INSURANCE COMPANY, MASSACHUSETTS BAY INSURANCE COMPANY and CITIZENS INSURANCE COMPANY OF AMERICA have caused these presents to be sealed with their respective corporate seals, duly attested by two Vice Presidents, this 14th day of March 2012.



THE HANOVER INSURANCE COMPANY
MASSACHUSETTS BAY INSURANCE COMPANY
CITIZENS INSURANCE COMPANY OF AMERICA

Robert Thomas
Robert Thomas, Vice President

Joe Brenstrom
Joe Brenstrom, Vice President

THE COMMONWEALTH OF MASSACHUSETTS)
COUNTY OF WORCESTER) ss

On this 14th day of March 2012 before me came the above named Vice Presidents of The Hanover Insurance Company, Massachusetts Bay Insurance Company and Citizens Insurance Company of America, to me personally known to be the individuals and officers described herein, and acknowledged that the seals affixed to the preceding instrument are the corporate seals of The Hanover Insurance Company, Massachusetts Bay Insurance Company and Citizens Insurance Company of America, respectively, and that the said corporate seals and their signatures as officers were duly affixed and subscribed to said instrument by the authority and direction of said Corporations

 BARBARA A. GARLICK
Notary Public
Commonwealth of Massachusetts
My Commission Expires Sept 21, 2018

Barbara A. Garlick
Barbara A. Garlick, Notary Public
My Commission Expires September 21, 2018

I, the undersigned Vice President of The Hanover Insurance Company, Massachusetts Bay Insurance Company and Citizens Insurance Company of America, hereby certify that the above and foregoing is a full, true and correct copy of the Original Power of Attorney issued by said Companies, and do hereby further certify that the said Powers of Attorney are still in force and effect

This Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of The Hanover Insurance Company, Massachusetts Bay Insurance Company and Citizens Insurance Company of America.

"RESOLVED, That any and all Powers of Attorney and Certified Copies of such Powers of Attorney and certification in respect thereto, granted and executed by the President or any Vice President in conjunction with any Vice President of the Company, shall be binding on the Company to the same extent as if all signatures therein were manually affixed, even though one or more of any such signatures thereon may be facsimile." (Adopted October 7, 1981 - The Hanover Insurance Company, Adopted April 14, 1982 - Massachusetts Bay Insurance Company, Adopted September 7, 2001 - Citizens Insurance Company of America)

GIVEN under my hand and the seals of said Companies, at Worcester, Massachusetts, this 29th day of October 14

THE HANOVER INSURANCE COMPANY
MASSACHUSETTS BAY INSURANCE COMPANY
CITIZENS INSURANCE COMPANY OF AMERICA

Glenn Margosian
Glenn Margosian, Vice President

Attachment ALJ - 3

**Mansfield Power and Gas LLC
Service by State and Customer Class**

State	Type of License	License Number	Residential	Small Commercial	Large Industrial
GA	NG	GM-41	x	x	x
VA	Elec. & NG	E-29 & G-41	x	x	x
TX	Elec.	10217	x	x	x
NY	Elec. & NG	MANS	x	x	x
IL	NG	Pending	x	x	x
CA	Elec.	Pending	x	x	x
MD	NG	Pending	x	x	x
FL	1 Pooler/NG	N/A		x	x
NC	1 Pooler/NG	N/A		x	x
SC	1 Pooler/NG	N/A		x	x
TX	1 Pooler/NG	N/A		x	x
CO	1 Pooler/NG	N/A		x	x
IN	1 Pooler/NG	N/A		x	x
OK	1 Pooler/NG	N/A		x	x
VA	1 Pooler/NG	N/A		x	x
OH	1 Pooler/NG	N/A		x	x

Footnote 1: Mansfield Power and Gas LLC has executed pooler/transport agreements with NG utilities in these states, and provides retail supply services in territories that offer transportation.

