

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION

[Energy Auction House Inc.] :
: Docket No.
Application for Licensure of :
Agents, Brokers, and Consultants :
under Section 16-115C :
of the Public Utilities Act. :

APPLICATION

[Energy Auction House Inc.] ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a license pursuant to Section 16-115C of the Public Utilities Act ("Act"). In support of its application, Applicant states as follows:

GENERAL [454.40, 454.50, 454.100]

1. Applicant's name and street address.

Energy Auction House Inc.
4145 Grassmere Ln #4
Dallas, TX, 75205

2. Related Information:

- Type of business entity: [corporation]
- Jurisdiction in which and under whose laws business entity was created: NV
- Other names under which Applicant does business (D/B/A):n/a

3. Contact Persons for the following:

- a) issues related to processing this application [454.130]

Lauren Langbert
President
PO Box 191677
Dallas, TX 75219
888-453-7778 x1
lauren@energyah.com

- b) issues related to complaint resolution [454.130]

Lauren Langbert
President
PO Box 191677
Dallas, TX 75219
888-453-7778x1
lauren@energyah.com

4. Description of Applicant's business. [454.40(c)(1)]

Energy Auction House Inc. is an electricity and natural gas broker in deregulated states.

5. A statement in support of application (including supporting documents and schedules if necessary) certifying the applicant meets the requirements of Section 16-115C of the Act. [454.40(d)(1)]

Energy Auction House Inc. certifies that it meets and will abide by the requirements of Section 16-115C

6. The name, address, telephone number, any facsimile number and any e-mail address of the agent registered with the Illinois Secretary of State. This information shall be kept current and any change regarding the licensee shall be reported within 15 days after the change occurs. The required information shall be filed with the Chief Clerk of the Commission at its Springfield office. [454.40(c)(3)]

Legalinc Corporate Services Inc.
1821 Walden Office Square Ste 400
Schaumburg, IL, 60173
888-462-3453 x 701
marsha@legalinc.com

7. A statement to disclose whether the Applicant operates as an agent, broker, or consultant in any other jurisdictions in a manner similar to that contemplated under Section 16-115C of the Act. Additionally, regardless of whether Applicant operates as an agent, broker, or consultant in Illinois or any other jurisdiction, the Applicant is directed to disclose whether it has had any complaints filed against it for its provision of any services in the electric or gas industry in this or any other jurisdiction. If any such complaints exist, the Applicant is directed to include in its response the nature of the complaint, the jurisdiction, and the ultimate resolution.

Energy Auction House Inc. is operating in CT, NH (as Energy Action House), NY, ME and TX as an electricity broker. Energy Auction House Inc. has had no complaints filed against it in any jurisdiction.

8. Applicant certifies that it:
- a) is licensed to do business in the State of Illinois and is in compliance with all other applicable laws, regulations and Commission rules and orders; [454.40(c)(2)]
 - b) shall comply with all terms and conditions required by Section 16-115C of the Act; [454.40(d)(2)]
 - c) shall ensure any person who acts on behalf of the entity will comply with all sections of Part 454 applicable to the function or functions to be performed; [454.40(d)(3)]
 - d) shall remain in compliance with the provisions of the Act and Part 454; [454.50]
 - e) shall ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created; and [454.100(a)]
 - f) shall preserve the confidentiality of its customers' data. [454.100(b)]

MANAGERIAL LICENSING QUALIFICATIONS [Section 454.60]

9. Applicant meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment A. Attachment A includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment A includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

TECHNICAL LICENSING QUALIFICATIONS [Section 454.70]

10. Applicant meets the technical qualifications set forth in Part 454.70, as demonstrated in Attachment A. Attachment A includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

FINANCIAL LICENSING REQUIREMENTS [SECTION 454.80]

11. Applicant is required to execute and maintain a license or permit bond in the name of the People of the State of Illinois issued by a qualifying surety or insurance company authorized to transact business in the State of Illinois. The amount of the bond shall equal \$5,000.

CODE OF CONDUCT [SECTION 454.90]

12. Applicant certifies that it shall:

- a) Disclose in plain language in writing the nature of the services offered by the ABC;
- b) Prior to the customer signing a contract, disclose that they are not employed by the electric utility operating in the applicable service territory;
- c) Disclose in plain language in writing to all persons it solicits the total price per kilowatt-hour, and the total anticipated cost, inclusive of all fees or commissions received by the licensee, to be paid by the customer over the period of the proposed underlying customer contract. In the event a person or entity required to be licensed pursuant to this Part uses an electric supply usage estimate for a particular customer, the person or entity required to be licensed pursuant to this Part must use the same electric supply usage estimate for all service offers it presents to that particular customer;
- d) Any disclosure required by subsection (c) must be made prior to entering into the contract and signed by the customer;
- e) Disclose if applicable, to all customers, prior to the customer signing a contract the fact that they will be receiving compensation from the supplier;
- f) Not hold itself out as independent or unaffiliated with any RES, or both, or use words calculated to give that impression, unless the person or entity offering service under Section 16-115C of the Act has no contractual relationship with any RES or its affiliates regarding retail electric service in Illinois;
- g) Not utilize false, misleading, materially inaccurate, defamatory or otherwise deceptive language or materials in the soliciting or providing of its services;
- h) Maintain copies of all marketing materials disseminated to third parties for a period of not less than three years;
- i) Maintain copies of all disclosure statements required in subsections (a) and (b) for a period of not less than three years;
- j) Not present electricity pricing information in a manner that favors one supplier over another, unless a valid pricing comparison is made utilizing all relevant costs and terms; and
- k) Comply with the requirements of Sections 2EE, 2FF, 2GG and 2HH of the Consumer Fraud and Deceptive Business Practices Act [815 ILCS 505/2EE, 2FF, 2GG and 2HH].

REPORTING REQUIREMENTS [SECTION 454.110]

13. Applicant agrees to submit reports in accordance with annual reporting requirements. [454.110]

VERIFICATION

STATE OF [Texas])

COUNTY OF [Dallas])

Lauren Langbert, being first duly sworn, deposes and says that she is the President of Energy Auction House Inc.; that she has read the foregoing Application of Energy Auction House Inc., and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of her knowledge, information and belief.

Lauren Langbert, President

Lauren Langbert, President

To be completed by a Notary Public

Subscribed and sworn to before me

this 25th day of November, 2013

Martha Butcher

Notary Public

[Stamp of Notary]



STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION

Application for Licensure of
Agents, Brokers, and Consultants

Energy Auction House Inc.

Attachment A

Managerial and Technical Capabilities

NEGAWATT BUSINESS SOLUTIONS • Dallas, TX July 2012 – June 2013

Divisional Vice President

Opened southwest regional office for Negawatt Business Solutions, a total energy solutions and management firm specializing in a broad range of leading-edge technology and services for Commercial, Industrial and Institutional clients.

- Hired, trained and directed team of 6 Executive Account Managers; developed & implemented solutions sales plans and marketing strategies to grow company footprint nationwide
- Coordinated with sales support teams to establish companywide business processes to achieve best practices related to sales – end to end

GLACIAL ENERGY • Dallas, TX January 2008 – June 2012

Executive responsibility for retail supplier of electricity and natural gas to Commercial & Industrial customers across all deregulated markets in the U.S.

Senior Vice President – Texas (2010-2012)

- Directed operations and sales activities for Texas market. Trained, led and directed sales team leaders to ensure sales, service, quality and regulatory guidelines were met.
- Managed and monitored the budget with full P&L responsibility. Developed strategic plans by segmenting, allocating and deploying resources, establishing targets, quotas and forecasting of expenses
- Upheld strong client relationships to ensure high levels of client satisfaction and developed strategies for customer retention

Regional Director – Pennsylvania (2009-2010)

- Hired and trained team of 25 business development managers and dozens of 3rd party channel partners; directed to meet company guidelines
- Participated in and offered expertise to team in all phases in of sales
- Assisted in the implementation and development of strategies to fit geographic and prospective customer needs

Business Development Manager – New England (2008-2009)

- Cross sold within all deregulated markets
- Developed innovative business development methodologies
- Designed new procedures to improve work flow for sales

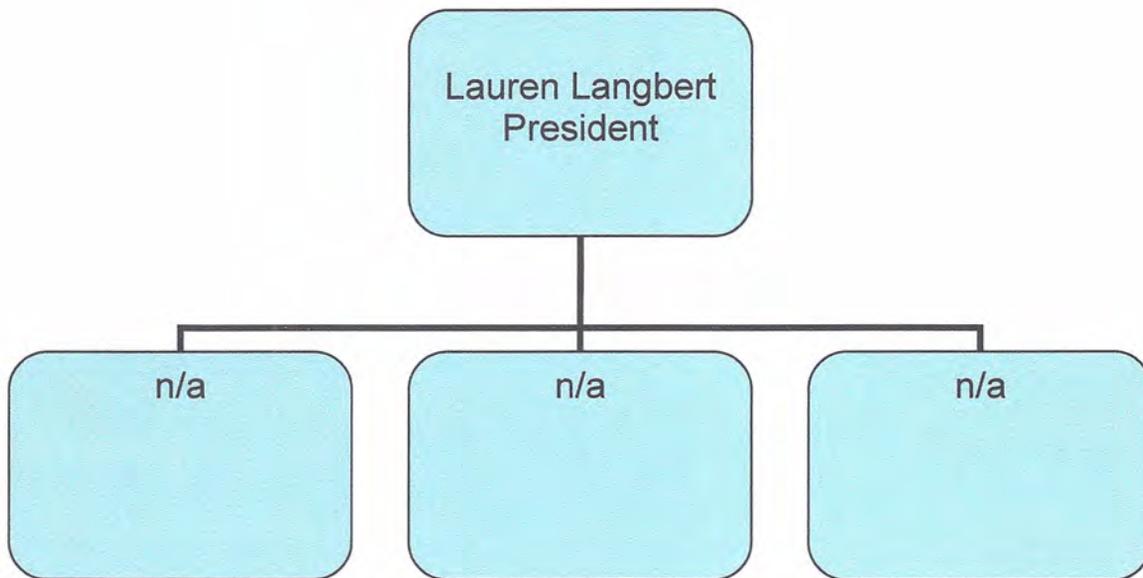
STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION

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Energy Auction House Inc.

Attachment A (cont.)

Currently Lauren Langbert is the only employee of Energy Auction House Inc. Other employees may be added as the business grows.



License or Permit Bond

License or Permit Bond No : BUIFSU0629966

KNOW ALL MEN BY THESE PRESENTS, That we, ENERGY AUCTION HOUSE INC. as Principal, and INTERNATIONAL FIDELITY INSURANCE COMPANY, a NEW JERSEY Corporation, and authorized to do business in Illinois, as Surety, are held and firmly bound unto THE PEOPLE OF THE STATE OF ILLINOIS as Obligee, in the sum of FIVE THOUSAND AND NO/100 Dollars (\$5,000.00), for which sum, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, by these presents.

THE CONDITIONS OF THIS OBLIGATION ARE SUCH, That WHEREAS, the Principal has been or is about to be granted a license or permit to do business to operate as an ABC (Agent, Broker, or Consultant) under 220 ILCS 5/16-115C and is required to execute this bond under 83 Illinois Administrative Code Part 454.80 by the Obligee.

NOW, Therefore, if the Principal fully and faithfully perform all duties and obligations of the Principal as an ABC, then this obligation to be void; otherwise to remain in full force and effect.

This bond may be terminated as to future acts of the Principal upon thirty (30) days written notice by the Surety; said notice to be sent to 527 East Capitol Avenue, Springfield, Illinois 62701, of the aforesaid State of Illinois, by certified mail.

Dated this 1ST day of OCTOBER, 2013.

Principal

by:

Lauren Langbert, President
Lauren Langbert, President

Surety

by:

Valerie Aber
Valerie Aber, Attorney-in-Fact

POWER OF ATTORNEY

INTERNATIONAL FIDELITY INSURANCE COMPANY ALLEGHENY CASUALTY COMPANY

ONE NEWARK CENTER, 20TH FLOOR NEWARK, NEW JERSEY 07102-5207

KNOW ALL MEN BY THESE PRESENTS: That **INTERNATIONAL FIDELITY INSURANCE COMPANY**, a corporation organized and existing under the laws of the State of New Jersey, and **ALLEGHENY CASUALTY COMPANY** a corporation organized and existing under the laws of the State of Pennsylvania, having their principal office in the City of Newark, New Jersey, do hereby constitute and appoint

VALERIE ABER

Phoenix, AZ.

their true and lawful attorney(s)-in-fact to execute, seal and deliver for and on its behalf as surety, any and all bonds and undertakings, contracts of indemnity and other writings obligatory in the nature thereof, which are or may be allowed, required or permitted by law, statute, rule, regulation, contract or otherwise, and the execution of such instrument(s) in pursuance of these presents, shall be as binding upon the said INTERNATIONAL FIDELITY INSURANCE COMPANY and ALLEGHENY CASUALTY COMPANY, as fully and amply, to all intents and purposes, as if the same had been duly executed and acknowledged by their regularly elected officers at their principal offices.

This Power of Attorney is executed, and may be revoked, pursuant to and by authority of the By-Laws of INTERNATIONAL FIDELITY INSURANCE COMPANY and ALLEGHENY CASUALTY COMPANY and is granted under and by authority of the following resolution adopted by the Board of Directors of INTERNATIONAL FIDELITY INSURANCE COMPANY at a meeting duly held on the 20th day of July, 2010 and by the Board of Directors of ALLEGHENY CASUALTY COMPANY at a meeting duly held on the 15th day of August, 2000:

"RESOLVED, that (1) the President, Vice President, Executive Vice President, or Secretary of the Corporation shall have the power to appoint, and to revoke the appointments of, Attorneys-in-Fact or agents with power and authority as defined or limited in their respective powers of attorney, and to execute on behalf of the Corporation and affix the Corporation's seal thereto, bonds, undertakings, recognizances, contracts of indemnity and other written obligations in the nature thereof or related thereto; and (2) any such Officers of the Corporation may appoint and revoke the appointments of joint-control custodians, agents for acceptance of process, and Attorneys-in-fact with authority to execute waivers and consents on behalf of the Corporation; and (3) the signature of any such Officer of the Corporation and the Corporation's seal may be affixed by facsimile to any power of attorney or certification given for the execution of any bond, undertaking, recognizance, contract of indemnity or other written obligation in the nature thereof or related thereto, such signature and seals when so used whether heretofore or hereafter, being hereby adopted by the Corporation as the original signature of such officer and the original seal of the Corporation, to be valid and binding upon the Corporation with the same force and effect as though manually affixed."

IN WITNESS WHEREOF, INTERNATIONAL FIDELITY INSURANCE COMPANY and ALLEGHENY CASUALTY COMPANY have each executed and attested these presents on this 12th day of March, 2012.



STATE OF NEW JERSEY
County of Essex

ROBERT W. MINSTER
Executive Vice President/Chief Operating Officer
(International Fidelity Insurance Company)
and President (Allegheny Casualty Company)



On this 12th day of March 2012, before me came the individual who executed the preceding instrument, to me personally known, and, being by me duly sworn, said he is the therein described and authorized officer of INTERNATIONAL FIDELITY INSURANCE COMPANY and ALLEGHENY CASUALTY COMPANY; that the seals affixed to said instrument are the Corporate Seals of said Companies; that the said Corporate Seals and his signature were duly affixed by order of the Boards of Directors of said Companies.

IN TESTIMONY WHEREOF, I have hereunto set my hand affixed my Official Seal, at the City of Newark, New Jersey the day and year first above written.



A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Mar. 27, 2014

CERTIFICATION

I, the undersigned officer of INTERNATIONAL FIDELITY INSURANCE COMPANY and ALLEGHENY CASUALTY COMPANY do hereby certify that I have compared the foregoing copy of the Power of Attorney and affidavit, and the copy of the Sections of the By-Laws of said Companies as set forth in said Power of Attorney, with the originals on file in the home office of said companies, and that the same are correct transcripts thereof, and of the whole of the said originals, and that the said Power of Attorney has not been revoked and is now in full force and effect.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 1st day of October, 2013

MARIA BRANCO, Assistant Secretary



OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

SEPTEMBER 9, 2013

6914-422-5

ENERGY AUCTION HOUSE
PO BOX 191677
DALLAS, TX 75219

RE ENERGY AUCTION HOUSE INC.

DEAR SIR OR MADAM:

IT IS OUR PLEASURE TO APPROVE YOUR REQUEST TO TRANSACT BUSINESS IN THE STATE OF ILLINOIS. FEES IN THIS CONNECTION HAVE BEEN RECEIVED AND CREDITED.

THE CORPORATION MUST FILE AN ANNUAL REPORT AND PAY FRANCHISE TAXES PRIOR TO THE FIRST DAY OF ITS ANNIVERSARY MONTH (MONTH OF QUALIFICATION) NEXT YEAR. A PRE-PRINTED ANNUAL REPORT FORM WILL BE SENT TO THE REGISTERED AGENT AT THE ADDRESS SHOWN ON THE RECORDS OF THIS OFFICE APPROXIMATELY 60 DAYS PRIOR TO ITS ANNIVERSARY MONTH.

SECURITIES CANNOT BE ISSUED OR SOLD EXCEPT IN COMPLIANCE WITH THE ILLINOIS SECURITIES LAW OF 1953, 815 ILLINOIS COMPILED STATUTES, 5/1 ET SEQ. FOR FURTHER INFORMATION, CONTACT THE OFFICE OF THE SECRETARY OF STATE, SECURITIES DEPARTMENT AT (217) 782-2256 OR (312) 793-3384.

SINCERELY,

JESSE WHITE
SECRETARY OF STATE
DEPARTMENT OF BUSINESS SERVICES
CORPORATION DIVISION
TELEPHONE (217) 782-6961

FILED

SEP 09 2013

JESSE WHITE
SECRETARY OF STATE

FORM **BCA 13.15** (rev. Dec. 2003)
**APPLICATION FOR AUTHORITY TO
TRANSACTION BUSINESS IN ILLINOIS**
Business Corporation Act

Jesse White, Secretary of State
Department of Business Services
Springfield, IL 62756
Telephone (217) 782-1834
www.cyberdriveillinois.com

Remit payment in the form of a cashier's
check, certified check, money order
or an Illinois attorney's or CPA's check
payable to the Secretary of State.

6914-4225
File #

SEE NOTE 1 CONCERNING PAYMENT!

Filing Fee \$ 150- Franchise Tax \$ 25- Penalty/Interest \$ - Total \$ 175- Approved: bc
Submit in duplicate Type or Print clearly in black ink Do not write above this line

1. (a) CORPORATE NAME: Energy Auction House Inc.

(Complete item 1 (b) only if the corporate name is not available in this state.)

(b) ASSUMED CORPORATE NAME: _____
(By electing this assumed name, the corporation hereby agrees NOT to use its corporate name in the transaction of business in Illinois. Form BCA 4.15 is attached.)

2. State or Country of Incorporation Nevada; Date of Incorporation 7/23/2013; Period of Duration perpetual

3. (a) Address of the principal office, wherever located: 4145 Grassmere Ln #4
Dallas, TX 75205
(b) Address of principal office in Illinois: none
(If none, so state)

4. Name and address of the registered agent and registered office in Illinois.
Registered Agent: Legalinc Corporate Services Inc.
Registered Office: 1821 Walden Office Square Ste 400
Schaumburg 60173
Cook
City Street Suite # (A.P.O. Box alone is not acceptable.)
City ZIP Code County

5. States and countries in which it is admitted or qualified to transact business: (Include state of incorporation)
Nevada, TX

6. Name and addresses of officers and directors: (If more than 3 directors and/or additional officers, attach list.)

Name	No. & Street	City	State	ZIP
President	<u>Lauren Langbert 4145 Grassmere Ln#4</u>	<u>Dallas, TX</u>	<u>75205</u>	
Secretary	<u>Lauren Langbert 4145 Grassmere Ln#4</u>	<u>Dallas, TX</u>	<u>75205</u>	
Director	<u>Lauren Langbert 4145 Grassmere Ln#4</u>	<u>Dallas, TX</u>	<u>75205</u>	
Director				
Director				

7. The purpose or purposes for which it was organized which it proposes to pursue in the transaction of business in this state: (If not sufficient space to cover this point, add one or more sheets of this size)

To engage in any lawful act or activity which corporations may be organized under the General Corporation Law of Nevada, and permitted under the Illinois Business Corporation Act of 1983

8. Authorized and issued shares:

Class	Series	Par Value	Number of Shares Authorized	Number of Shares Issued
n/a	n/a	\$0.01	1500	1500

(If more, attach list)

9. Paid-in Capital: \$ 15.00
("Paid-in Capital" replaces the terms Stated Capital & Paid-in Surplus and is equal to the total of these accounts.)

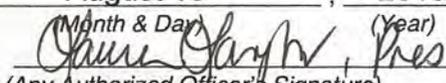
10. (a) Give an estimate of the total value of all the property* of the corporation for the following year:	\$	<u>10,000.00</u>
(b) Give an estimate of the total value of all the property* of the corporation for the following year that will be located in Illinois:	\$	<u>0</u>
(c) State the estimated total business of the corporation to be transacted by it everywhere for the following year:	\$	<u>50,000.00</u>
(d) State the estimated annual business of the corporation to be transacted by it at or from places of business in the State of Illinois:	\$	<u>5,000.00</u>

11. Interrogatories: (Important - this section must be completed.)

- (a) Is the corporation transacting business in this state at this time? **No**
- (b) If the answer to item 11(a) is yes, state the exact date on which it commenced to transact business in Illinois:

12. This application is accompanied by a certified copy of the articles of incorporation, as amended, duly authenticated, within the last ninety (90) days, by the proper officer of the state or country wherein the corporation is incorporated.

13. The undersigned corporation has caused this application to be signed by a duly authorized officer, who affirms, under penalties of perjury, that the facts stated herein are true. (All signatures must be in **BLACK INK.**)

Dated August 16, 2013
(Month & Day) (Year)

(Any Authorized Officer's Signature)
Lauren Langbert, President
(Print Name and Title)

Energy Auction House Inc.
(Exact Name of Corporation)

* PROPERTY as used in this application shall apply to all property of the corporation, real, personal, tangible, intangible, or mixed without qualifications.

Note 1: Payment in connection with this application must be in the form of a certified check, cashier's check, Illinois attorney or CPA's check or money order made payable to the "Secretary of State". The minimum fee due upon qualification is \$175. Any additional fees will be billed and must be paid before this application can be filed.