

2013 NOV 25 1 P 12: 37

Advanced Energy Solutions Group, Inc.

Application for Certification as an
Installer of Distributed Generation
Facilities under Section 16-128A
of the Public Utilities Act.

CHIEF CLERK'S OFFICE

Docket No. 13-0649

APPLICATION

Advanced Energy Solutions Group, Inc. ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it certification to install distributed generation facilities pursuant to Section 16-128A of the Public Utilities Act ("Act") and 83 Ill. Adm. Code 468 ("Part 468"). In support of its application, Applicant states as follows:

REQUIRED APPLICATION INFORMATION [Part 468.50]

1. The Applicant's name (including d/b/a, if any), street address, telephone number, facsimile number and website and e-mail addresses:

Advanced Energy Solutions Group, Inc
416 S. Graham Ave
Carbondale, IL 62901
(800) 229-0453
www.aessolar.com
tech@aessolar.com

2. Contact information, including names, addresses, telephone numbers, facsimile numbers and e-mail addresses for persons or entities responsible for issues related to processing the application:

Christie Piper, Chief Operating Officer
416 S Graham Ave
Carbondale, IL 62901
(618) 707-0050
Christie@aessolar.com

3. Applicant's Federal Employer Identification Number (FEIN) or Taxpayer Identification Number (TIN), as applicable, is:

37-1397466

4. Applicant will comply with informational and reporting requirements established under this Part.
5. Applicant agrees to accept service by electronic means as provided for in 83 Ill. Adm. Code 200.1050 (the Commission's Rules of Practice).
6. Attached to this application is an exhibit marked as Exhibit A containing the following information for each qualified person who will perform or directly supervise installations to satisfy the requirements of this Part:
 - a) A copy of the certification of satisfactory completion of the relevant training programs; and/or
 - b) An affidavit by each qualifying person attesting to having satisfactorily completed at least five installations of distributed generation facilities, the affidavit to include the type of each distributed generation facility (wind turbine, fuel cell, natural gas generator, etc.)

CERTIFICATION REQUIREMENTS [Part 468.60]

7. Applicant certifies that:
 - a) it will remain in compliance with all applicable laws and regulations and Commission rules and orders, including, but not limited to, the requirements of Sections 16-128(a) and 16-128A of the Act and Part 468.
 - b) it will ensure that its employees, agents or contractors, or the employees, agents or contractors of any entity, agent or contractor with which it has contracted to perform those functions within the State of Illinois, shall:
 - 1) Comply with applicable building and electrical codes, including those contained in the NEC;
 - 2) Comply with the distributed generation facility manufacturer's installation instructions;
 - 3) Install only distributed generation facilities that meet recognized industry standards; and
 - 4) Ensure that all obligations required under this Part and Sections 16-128(a) and 16-128A of the Act are met prior to placing into, or returning into, use any distributed generation facility that the certificate holder installed.

- c) it will comply with applicable licensing and municipal bonding requirements to do business in the State of Illinois.
- d) every installation of a distributed generation facility will be performed only by:
 - 1) a qualified person; or;
 - 2) an electrical contractor who is not a qualified person, provided he/she is directly supervised by a qualified person; or;
 - 3) a person who is not a qualified person but is enrolled in a training program that, upon satisfactory completion, will meet the requirement to become a qualified person, provided he/she is directly supervised by a qualified person.
- e) it is licensed to do business in the State of Illinois.

RECERTIFICATION REQUIREMENTS [Part 468.80]

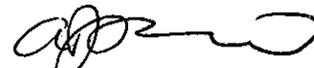
- 8. Applicant agrees to submit recertification reports in accordance with annual recertification requirements.

MAINTENANCE OF RECORDS [Part 468.110]

- 9. Applicant agrees to adopt and follow procedures ensuring that documentation regarding the installation of distributed generation facilities are retained for a period of not less than three calendar years after the calendar year in which they were created.

WHEREFORE, Applicant requests that the Commission grant its application for certification as a Distributed Generation Installer.

Respectfully submitted,



Aur Beck
Advanced Energy Solutions Group, Inc.

VERIFICATION

STATE OF [Illinois])

COUNTY OF [Jackson])

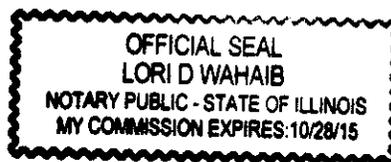
Lori D Wahaub, being first duly sworn, deposes and says that she^(he) is the/a(n) _____ of/for Aur Beck & Advanced Energy Solutions Group, Inc; that he/she has read the foregoing Application of Aur Beck & Advanced Energy Solutions Group, Inc, and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of her/his knowledge, information and belief.

John Patterson
John Patterson

To be completed by a Notary Public

Subscribed and sworn to before me

this 21 day of November, 2013



Lori D. Wahaub

Notary Public

[Stamp of Notary]