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STATE OF ILLINOIS

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ILLINOIS COMMERCE COMMISSION

CHIEF CLERK'S OFFICE *WM*

QFB Enterprises, Inc. :  
: :  
Application for Licensure of :  
Agents, Brokers, and Consultants :  
under Section 16-115C :  
of the Public Utilities Act. :

Docket No. **13-0619**

APPLICATION

**QFB Enterprises, Inc.** ("Applicant" or "QFB"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a license pursuant to Section 16-115C of the Public Utilities Act ("Act"). In support of its application, Applicant states as follows:

GENERAL [454.40, 454.50, 454.100]

1. Applicant's name and street address.

Applicant's name: **QFB Enterprises, Inc.**  
Street number and name: **4004 Hampton Lane**  
City, State abbreviation, and ZIP code: **Quincy, IL 62305**

2. Related Information:

- Type of business entity: **Corporation**
- Jurisdiction in which and under whose laws business entity was created: **Illinois**
- Other names under which Applicant does business (D/B/A): **None**

3. Contact Persons for the following:

a) issues related to processing this application [454.130]

Person's name: **Ronald Frericks**  
Person's title: **President**  
Street number and name: **4004 Hampton Lane**  
City, State abbreviation, and ZIP code: **Quincy, IL 62305**  
Phone Number: **(217) 653-3819**  
Email Address: **ron.frericks@comcast.net**

- b) issues related to complaint resolution [454.130]

Person's name: **Ronald Frericks**  
Person's title: **President**  
Street number and name: **4004 Hampton Lane**  
City, State abbreviation, and ZIP code: **Quincy, IL 62305**  
Phone Number: **(217) 653-3819**  
Email Address: **ron.frericks@comcast.net**

4. Description of Applicant's business. [454.40(c)(1)]

**QFB is an Illinois corporation that will enter into independent contractor agreements with several Illinois ARES. QFB intends to sell retail electric service pursuant to these agreements.**

5. A statement in support of application (including supporting documents and schedules if necessary) certifying the applicant meets the requirements of Section 16-115C of the Act. [454.40(d)(1)]

**As outlined herein and in the attachments to this application, Applicant meets the requirements of Section 16-115C of the Act and the relevant sections of the code, and Applicant will comply with all reporting requirements.**

6. The name, address, telephone number, any facsimile number and any e-mail address of the agent registered with the Illinois Secretary of State. This information shall be kept current and any change regarding the licensee shall be reported within 15 days after the change occurs. The required information shall be filed with the Chief Clerk of the Commission at its Springfield office. [454.40(c)(3)]

Agent's name: **Ronald Frericks**  
Street number and name: **4004 Hampton Lane**  
City, State abbreviation, and ZIP code: **Quincy, IL 62305**  
Phone Number: **(217) 653-3819**  
Fax Number: **(888) 519-1938**  
Email Address: **ron.frericks@comcast.net**

7. A statement to disclose whether the Applicant is licensed as an agent, broker or consultant in any other jurisdictions in a manner similar to that contemplated under Section 16-115C of the Act. Additionally, regardless of whether Applicant operates as an agent, broker, or consultant in Illinois or any other jurisdiction, the Applicant is directed to disclose whether it has had any complaints filed against it for its provision of any services in the electric or gas industry in this or any other jurisdiction. If any such complaints exist, the Applicant is directed to include in its response the nature of the complaint, the jurisdiction, and the ultimate resolution.

**QFB is not licensed as an agent, broker of consultant in any other jurisdictions in a manner similar to that contemplated under Section 16-115C of the Act. QFB has not had any complaints filed against it for its provision of any services in the electric or gas industry in this or any other jurisdiction.**

8. Applicant certifies that it:

- a) is licensed to do business in the State of Illinois and is in compliance with all other applicable laws, regulations and Commission rules and orders; [454.40(c)(2)]

**Please see Attachment A – QFB Enterprise, Inc.’s Certificate of Good Standing from the State of Illinois Office of the Secretary of State.**

**Further, QFB is in compliance with all other applicable laws, regulations and Commission rules and orders.**

- b) shall comply with all terms and conditions required by Section 16-115C of the Act; [454.40(d)(2)]

**QFB certifies that it shall comply with all terms and conditions required by Section 16-115C of the Act.**

- c) shall ensure any person who acts on behalf of the entity will comply with all sections of Part 454 applicable to the function or functions to be performed; [454.40(d)(3)]

**QFB certifies that any person who acts on its behalf will comply with all sections of Part 454 applicable to the function or functions to be performed.**

- d) shall remain in compliance with the provisions of the Act and Part 454; [454.50]

**QFB certifies that it shall remain in compliance with the provisions of the Act and Part 454.**

- e) shall ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created; and [454.100(a)]

**QFB certifies that it shall retain authorizations received from customers and all other applicable records for a period of not less than three calendar years after the calendar year in which they were created.**

- f) shall preserve the confidentiality of its customers' data. [454.100(b)]

**QFB certifies that it shall preserve the confidentiality of its customers' data.**

MANAGERIAL LICENSING QUALIFICATIONS [Section 454.60]

9. Applicant meets the managerial qualifications set forth in Part 454.60, as demonstrated in **Attachment B**. **Attachment C** includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). **Attachment B** includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

TECHNICAL LICENSING QUALIFICATIONS [Section 454.70]

10. Applicant meets the technical qualifications set forth in Part 454.70, as demonstrated in **Attachment B**. **Attachment B** includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

FINANCIAL LICENSING REQUIREMENTS [SECTION 454.80]

11. Applicant is required to execute and maintain a license or permit bond in the name of the People of the State of Illinois issued by a qualifying surety or insurance company authorized to transact business in the State of Illinois. The amount of the bond shall equal \$5,000

**A bond for \$5,000.00 (Bond No. 533523) has been acquired by QFB Enterprises to The People of the State of Illinois. A copy of the bond has been attached as Attachment D. The bond was issued by Old Republic Surety Company, a qualifying surety or insurance company authorized to transact business in the State of Illinois, as shown in Attachment D.**

CODE OF CONDUCT [SECTION 454.90]

12. Applicant certifies that it shall:
- a) Disclose in plain language in writing the nature of the services offered by the ABC;
- QFB will disclose in plain language in writing the nature of its services being offered.**
- b) Prior to the customer signing a contract, disclose that they are not employed by the electric utility operating in the applicable service territory;

**QFB will disclose that they are not employed by the electric utility operating in the applicable service territory prior to the customer signing a contract.**

- c) Disclose in plain language in writing to all persons it solicits the total price per kilowatt-hour, and the total anticipated cost, inclusive of all fees or commissions received by the licensee, to be paid by the customer over the period of the proposed underlying customer contract. In the event a person or entity required to be licensed pursuant to this Part uses an electric supply usage estimate for a particular customer, the person or entity required to be licensed pursuant to this Part must use the same electric supply usage estimate for all service offers it presents to that particular customer;

**QFB will disclose in plain language in writing to all persons it solicits the total price per kilowatt-hour, and the total anticipated costs, inclusive of all fees or commissions received by the licensee, to be paid by the customer over the period of the proposed underlying customer contract. In the event that it uses an electric supply usage estimate for a particular customer, QFB will use the same electric supply usage estimate for all service offers it presents to that particular customer.**

- d) Any disclosure required by subsection (c) must be made prior to entering into the contract and signed by the customer;

**QFB will make any disclosure required by subsection (c) prior to entering into the contract and signed by the customer.**

- e) Disclose if applicable, to all customers, prior to the customer signing a contract the fact that they will be receiving compensation from the supplier;

**QFB will disclose, if applicable, to all customers, prior to the customer signing a contract the fact that they will be receiving compensation from the supplier.**

- f) Not hold itself out as independent or unaffiliated with any RES, or both, or use words calculated to give that impression, unless the person or entity offering service under Section 16-115C of the Act has no contractual relationship with any RES or its affiliates regarding retail electric service in Illinois;

**QFB currently, and continually, will not hold itself out as independent or unaffiliated with any RES, or both, or use words calculated to give that impression.**

- g) Not utilize false, misleading, materially inaccurate, defamatory or otherwise deceptive language or materials in the soliciting or providing of its services;

**QFB will not utilize false, misleading, materially inaccurate, defamatory or otherwise deceptive language or materials in the soliciting or providing of its services.**

- h) Maintain copies of all marketing materials disseminated to third parties for a period of not less than three years;

**QFB will maintain copies of all marketing materials disseminated for a period of not less than three years.**

- i) Maintain copies of all disclosure statements required in subsections (a) and (b) for a period of not less than three years;

**QFB will maintain copies of all disclosure statements required in subsections (a) and (b) for a period of not less than three years.**

- j) Not present electricity pricing information in a manner that favors one supplier over another, unless a valid pricing comparison is made utilizing all relevant costs and terms; and

**QFB will not present electricity pricing information in a manner that favors one supplier over another, unless a valid pricing comparison is made utilizing all relevant costs and terms.**

- k) Comply with the requirements of Sections 2EE, 2FF, 2GG and 2HH of the Consumer Fraud and Deceptive Business Practices Act [815 ILCS 505/2EE, 2FF, 2GG and 2HH].

**QFB will comply with the requirements of Sections 2EE, 2FF, 2GG and 2HH of the Consumer Fraud and Deceptive Business Practices Act.**

REPORTING REQUIREMENTS [SECTION 454.110]

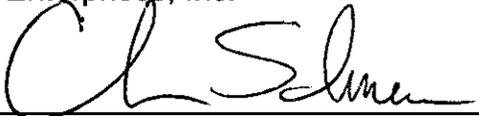
13. Applicant agrees to submit reports in accordance with annual reporting requirements. [454.110]

**QFB agrees to submit to the Chief Clerk of the Commission with copies to the Director of the Commission's Energy Division, the Director of the Financial Analysis Division, and the Director of the Consumer Services Division, by March 31<sup>st</sup> of each year, all annual reports required.**

WHEREFORE, Applicant requests that the Commission grant its application for licensure as an agent, broker, or consultant to engage in the procurement or sale of retail electricity supply for third parties.

Respectfully submitted,

QFB Enterprises, Inc.

By:   
Christopher D. Schuering,  
Attorney for Applicant

Christopher D. Schuering  
Goehl, Schuering, Cassens & Bier  
506 Vermont Street  
Quincy, Illinois 62301  
Phone Number: (217) 224-2555  
Fax Number: (217) 224-2569  
Email: [cds@gscb-law.com](mailto:cds@gscb-law.com)

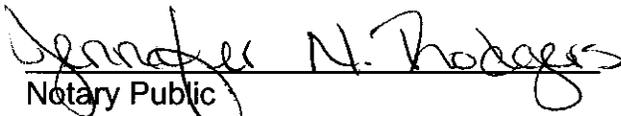
VERIFICATION

STATE OF ILLINOIS     )  
COUNTY OF ADAMS     )

Ronald Frericks, being first duly sworn, deposes and says that he is the President of QFB Enterprises, Inc.; that he has read the foregoing Application of QFB Enterprises, Inc., and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of his knowledge, information and belief.

  
\_\_\_\_\_  
Ronald Frericks

Subscribed and sworn to before me  
this 7<sup>th</sup> day of November, 2013.

  
\_\_\_\_\_  
Notary Public

[Stamp of Notary]

