MEMORIALIZATION OF EX PARTE COMMUNICATION FROM INTERESTED PARTY

ICC Docket Number, or Docket Number or Case Number from Other Jurisdiction

(Please be specific): 12-0598

Subject of the Communication (Note - This field is limited to 8 lines of text):

The proposed location for a new Mt. Zion Substation

Name of the Commission Officer or Employee Making Report (first, then last name):

Greg | Rockrohr

Job Title:

Senior Electrical Engineer

Names and Job Titles of Other Commission Employees Present:

N/A

Date of Communication: Oct 3, 2013

Location of Parties to Communication:

Springfield

Mode of Communication (Please Check All That Apply):

☐ e-Mail ☐ FAX ☐ In Person ☐ Mail ☒ Telephone

Nature and Substance of All Oral Communications (Note - This field is limited to 15 lines of text):

Mr. Long asked Mr. Rockrohr whether ATXI was still planning to construct a substation south of Mt. Zion, and if so, if the location of that substation was established.

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Responses Made to Written or Oral Communications (Note - This field is limited to 8 lines of text):

Mr. Rockrohr informed Mr. Long that it was his understanding that ATXI still intends to construct a new substation south of Mt. Zion, but that the location of that substation has not been established.

Please Check One:

☐ Submitted are copies of all written items received (optional).

☒ No written communications were received.

☐ Written communications were received but are not being submitted.

Please Check One:

☐ Submitted are copies of written responses (optional).

☒ No written responses were made.

☐ Written responses were made but are not being submitted.

Name of, Title of, and Entity Represented by Person Communicating to You:

Dan Long, Partner, SPI Energy Group

State What Action, If Any, the Person Requested or Recommended (Note - 11 lines of text):

N/A

Include Any Other Information You Deem Pertinent (Note - Limited to 8 lines of text):

N/A

Instructions for Locking the Form and Submitting It to e-Docket:

Once you've completed this form and any other necessary documentation, please lock the form and submit it, together with any accompanying documents, to e-Docket. If you are submitting additional documents with the form, please convert those documents first to PDF files by following the instructions below. Using this method will ensure that the contents of the accompanying documents cannot later be changed.

Converting other documents to PDF files:
To save a Word or Excel document as a PDF, click on the Office button in the upper left-hand corner of the screen, move the cursor to "Save As," and select the "PDF or XPS" option. "Pdf" will appear as the default choice in the "save as type" pull down menu. Once you've converted the necessary documents and named them, please identify those additional documents in the "Other Pertinent Information" field above.

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Under Section 5-50(b-5) of the State Officials and Employees Ethics Act, the information required by this form shall promptly be memorialized and made a part of the record.

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