

ILLINOIS-AMERICAN WATER COMPANY
RESPONSE TO ILLINOIS OFFICE OF ATTORNEY GENERAL
DATA REQUEST NUMBER AG 1.4

| | |
|----------------------|--------------------------------------|
| Witness Responsible: | <u>Rich Kerckhove</u> |
| Title: | <u>Manager, Rates and Regulation</u> |
| Phone No.: | <u>314-996-2366</u> |
| Date Received: | <u>November 9, 2012</u> |
| Docket No.: | <u>10-0203</u> |

AG 1.4

Please provide all correspondence between representatives of IAWC and the Staff of the Illinois Commerce Commission regarding any special accounting treatment (e.g. changes in the amortization period, deferred recovery) for purchased water or wastewater treatment costs for 2009.

RESPONSE

Please see the attached. Three-year amortizations were requested for the 2008 R Factor for Moreland purchased water and Country Club purchased sewage treatment, and for February 2009 through June 2009 sewage flows in excess of the peak limit.

Attachments:

AG 1.4 Attachment 1 Request for three-year amortizations.pdf
AG 1.4 Attachment 2 Approval Letter Moreland and Country Club Amort.pdf
AG 1.4 Attachment 3 RE_Request for three-year amortizations.pdf
AG 1.4 Attachment 4 FW Request for three-year amortizations.pdf
AG 1.4 Attachment 5 Moreland & Country Club.pdf
AG 1.4 Attachment 6 Request for three-year amortization for Country Club Sewer.pdf
AG 1.4 Attachment 7 Approval Letter Country Club Amort for Excess Peak Flows.pdf
AG 1.4 Attachment 8 RE_Request for three-year amortization for Country Club Sewer.pdf
AG 1.4 Attachment 9 RE_Request for three-year amortization for Country Club Sewer.pdf
AG 1.4 Attachment 10 RE_Request for three-year amortization for Country Club Sewer.pdf
AG 1.4 Attachment 11 Country Club Sewage 2009 Excess peak flows.pdf

Date Response Provided: December 7, 2012

Ms. Mary Selvaggio
Illinois Commerce Commission
March 19, 2008
Page 2

Illinois-American requests your approval of a three-year amortization of the 2008 under collection prior to April 1 when the new rates will go into effect.

If you have any questions, please feel free to give me a call.

Sincerely,

A handwritten signature in cursive script that reads "Rich Kerckhove".

Rich Kerckhove
Manager, Rates and Regulation

cc: Karla Teasley
Cheryl Norton
Ed Grubb



ILLINOIS COMMERCE COMMISSION

March 13, 2009

Rich Kerckhove
Illinois American Water
Richard.Kerckhove@amwater.com

Dear Mr. Kerckhove,

This letter responds to your letter dated March 12, 2009 requesting my approval to 1) amortize the 2008 under-recovery from in the Moreland water district and 2) amortize the 2008 under-recovery in the Country Club sewer treatment district over a three-year period beginning April 1, 2009.

I have reviewed your proposals and find them to be reasonable.

If there are any questions, you may call me at (217) 785-1413 or e-mail me at mselfagg@icc.illinois.gov.

Sincerely,

Mary Selvaggio
Manager of Accounting

cc: Dan Kahle at e-mail: dkahle@icc.illinois.gov
Steve Knepler at e-mail: sknepler@icc.illinois.gov

Ms. Mary Selvaggio
Illinois Commerce Commission
September 8, 2009
Page 2

If you have any questions, please feel free to give me a call.

Sincerely,

A handwritten signature in cursive script that reads "Rich Kerckhove".

Rich Kerckhove
Manager, Rates and Regulation

cc: Karla Teasley
Cheryl Norton
Ed Grubb



ILLINOIS COMMERCE COMMISSION

September 10, 2009

Rich Kerckhove
Illinois American Water
Richard.Kerckhove@amwater.com

RE: Country Club District – Purchased Sewer Treatment Surcharge

Dear Mr. Kerckhove,

This letter responds to your letter dated September 8, 2009 requesting my approval to amortize the year-to-date 2009 excess peak sewage flow over thirty-six months for the Country Club sewer treatment district beginning October 1, 2009.

I have reviewed your proposal as detailed in your letter and find them to be reasonable.

If there are any questions, you may call me at (217) 785-1413 or e-mail me at mselfagg@icc.illinois.gov.

Sincerely,

Mary Selvaggio
Manager of Accounting

cc: Dan Kahle at e-mail: dkahle@icc.illinois.gov
Steve Knepler at e-mail: sknepler@icc.illinois.gov
Larry Wilcox at e-mail: lwilcox@icc.illinois.gov

ILLINOIS-AMERICAN WATER COMPANY
RESPONSE TO ILLINOIS OFFICE OF ATTORNEY GENERAL
DATA REQUEST NUMBER AG 1.6

| | |
|----------------------|--------------------------------------|
| Witness Responsible: | <u>Rich Kerckhove</u> |
| Title: | <u>Manager, Rates and Regulation</u> |
| Phone No.: | <u>314-996-2366</u> |
| Date Received: | <u>November 9, 2012</u> |
| Docket No.: | <u>10-0203</u> |

AG 1.6

Please identify by amount and cause any and all charges deferred from prior years that are included in the 2009 purchased wastewater treatment reconciliation.

RESPONSE

Country Club 2008 R Factor, year one of three-year amortization, \$22,412.

Valley View 2006 R Factor, year three of three-year amortization, \$48,108.

Rollins 2006 R Factor, year three of three-year amortization, \$4,140

Date Response Provided: December 7, 2012

ILLINOIS-AMERICAN WATER COMPANY
RESPONSE TO ILLINOIS OFFICE OF ATTORNEY GENERAL
DATA REQUEST NUMBER AG 1.9

| | |
|----------------------|--------------------------------------|
| Witness Responsible: | <u>Rich Kerckhove</u> |
| Title: | <u>Manager, Rates and Regulation</u> |
| Phone No.: | <u>314-996-2366</u> |
| Date Received: | <u>November 9, 2012</u> |
| Docket No.: | <u>10-0203</u> |

AG 1.9

Please identify each purchased water area for which there was an over-recovery of purchased water charges in 2009, and describe how the over-recovery has been returned to customers as of November 1, 2012.

RESPONSE

Alpine Heights, DuPage County, Fernway, Moreland, Waycinden, and South Beloit. The over recoveries were returned to customers during the period April 1, 2010, through December 31, 2010, in accordance with Section 655.50 b) 3). Attached is a copy of the March 19, 2010, information sheet filing.

Attachment:

AG 1.9 Attachment 1 2009 Reconciliation Information Sheet Filing.pdf

Date Response Provided: December 7, 2012

**ILLINOIS
AMERICAN WATER**

March 19, 2010

Ms. Elizabeth A. Rolando
Chief Clerk
Illinois Commerce Commission
527 East Capitol Avenue
Springfield, IL 62701

RE: Information Sheet Filing for Purchased Water and Purchased Sewage Treatment

Dear Ms. Rolando:

Enclosed for filing on behalf of Illinois American Water Company ("Illinois-American" or the "Company") are an original and one (1) copy of the revised information sheets listed below. Also enclosed is the Statement of Officer related to the filing. The information sheets have been revised to reflect the purchased water and purchased sewer annual reconciliation under and over recoveries to be amortized over the nine-month period April 2010 through December 2010. The reconciliations supporting the information sheet filing were filed with the Chief Clerk on March 15.

Ill. C. C. No. 24 (Water)

Section 2

1st Revised Information Sheet No. 1
1st Revised Information Sheet No. 2
1st Revised Information Sheet No. 3
1st Revised Information Sheet No. 4
1st Revised Information Sheet No. 5
1st Revised Information Sheet No. 6
1st Revised Information Sheet No. 7

Section 5

1st Revised Information Sheet No. 1

Ill. C. C. No. 5 (Sewer)

14th Revised Information Sheet No. 1
9th Revised Information Sheet No. 2
9th Revised Information Sheet No. 3
10th Revised Information Sheet No. 4

The Purchased Water and Purchased Sewage Treatment Surcharges will be effective April 1, 2010.

Copies of the information sheets are also being provided to the Commission's Manager of the Accounting Department and the Commission's Manager of the Water Department.

Ms. Elizabeth Rolando
March 19, 2010
Page 2

An additional copy of this transmittal letter and revised sheets filed herewith are enclosed. Please stamp and return the additional copy in the enclosed pre-addressed, postage-paid envelope as an acknowledgement of receipt.

Should you have any questions regarding the attached, please contact all of the following via e-mail:

Edward Grubb, Ed.Grubb@amwater.com
Rich Kerckhove, Richard.Kerckhove@amwater.com
John Reichart, John.Reichart@amwater.com
Tyler Bernsen, Tyler.Bernsen@amwater.com

Yours truly,



Rich Kerckhove
Manager, Rates and Regulation

Enclosures

cc: William Marr (ICC) (w/enclosures)
Mary Selvaggio (ICC) (w/enclosures)
Karla Teasley (w/enclosures)
Cheryl Norton (w/enclosures)
Ed Grubb (w/enclosures)
Mike Smyth (w/enclosures)
Kevin Hillen (w/enclosures)
Joni Ott (w/enclosures)
John Reichart (w/enclosures)
File (w/enclosures)

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION

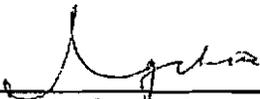
Illinois-American Water Company

Purchased Water and Purchased Sewage Treatment Informational Sheets

VERIFICATION

STATE OF MISSOURI)
)
COUNTY OF ST. LOUIS)

I, Michi Chao, Assistant Treasurer of Illinois-American Water Company, being first duly sworn on oath, depose and state that I have reviewed the data used in preparing the informational sheets, and the calculations made therein are true, correct, and complete to the best of my knowledge and belief.

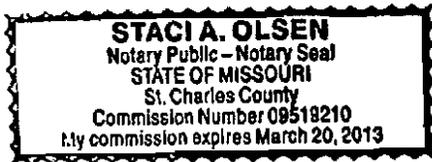


Michi Chao, Assistant Treasurer

SUBSCRIBED and SWORN to before me
This 18th day of March, 2010.



Notary Public



ILLINOIS-AMERICAN WATER COMPANY

APPLICABLE TO ALL CUSTOMERS LOCATED IN
THE SERVICE AREA OF ALPINE HEIGHTS

ILL. C. C. No. 24
First Revised Information Sheet No. 1
Cancelling Original Information Sheet No. 1
(Supplemental to ILL. C. C. No. 24, Section 2, Sheet Nos. 15 through 15.11)

Purchased Water Surcharge Information Sheet

| <u>MONTHLY FIXED CHARGE FORMULA</u> | <u>CALCULATION</u> |
|--|---|
| $FC = \frac{FSC + RF + OF}{EBU}$ | |
| <p>FC = Monthly fixed charge per equivalent billing unit to be billed to customers during the base period</p> <p>FSC = Estimated fixed charge from the supplier during the base period</p> <p>RF = Utility-determined reconciliation component for the fixed charge</p> <p>OF = Commission-ordered adjustment component for the fixed charge</p> <p>EBU = Estimated equivalent billing units for the base period</p> | <p>FC = N/A</p> <p>FSC =</p> <p>RF =</p> <p>OF =</p> <p>EBU =</p> |

| <u>MONTHLY VARIABLE CHARGE FORMULA</u> | <u>CALCULATION</u> |
|---|--|
| $VC = \frac{VSC + RV + OV}{VBU}$ | |
| <p>VC = Variable charge per variable billing unit to be billed to customers during the base period</p> <p>VSC = Estimated variable charge from the supplier during the base period</p> <p>RV = Utility-determined reconciliation component for the variable charge</p> <p>OV = Commission-ordered adjustment component for the variable charge</p> <p>VBU = Variable billing units for water to be billed to customers during the base period expressed in 1000 gallon or 100 cubic feet increments</p> | <p>VC = \$3.10 per 1,000 gals. *</p> <p>VSC = 51,332 *</p> <p>RV = (1,834) *</p> <p>OV = 0 *</p> <p>VBU = 15,950 *</p> |

Issued: March 19, 2010

Effective: April 1, 2010

Issued by: Karla Olson Teasley
300 North Water Works Drive
Belleville, Illinois 62223

ILLINOIS-AMERICAN WATER COMPANY

APPLICABLE TO ALL CUSTOMERS LOCATED IN
THE SERVICE AREA OF CHICAGO SUBURBAN

ILL. C. C. No. 24
First Revised Information Sheet No. 2
Cancelling Original Information Sheet No. 2
(Supplemental to ILL. C. C. No. 24, Section 2, Sheet Nos. 15 through 15.11)

Purchased Water Surcharge Information Sheet

| <u>MONTHLY FIXED CHARGE FORMULA</u> | | <u>CALCULATION</u> |
|--|--|--------------------|
| <p>FC = $\frac{\text{FSC} + \text{RF} + \text{OF}}{\text{EBU}}$</p> <p>FC = Monthly fixed charge per equivalent billing unit to be billed to customers during the base period FSC = Estimated fixed charge from the supplier during the base period RF = Utility-determined reconciliation component for the fixed charge OF = Commission-ordered adjustment component for the fixed charge EBU = Estimated equivalent billing units for the base period</p> | <p>FC = \$12.97 * FSC = 648,587 * RF = 62,502 * OF = 0 * EBU = 54,837.0 *</p> | |
| <u>MONTHLY VARIABLE CHARGE FORMULA</u> | | <u>CALCULATION</u> |
| <p>VC = $\frac{\text{VSC} + \text{RV} + \text{OV}}{\text{VBU}}$</p> <p>VC = Variable charge per variable billing unit to be billed to customers during the base period VSC = Estimated variable charge from the supplier during the base period RV = Utility-determined reconciliation component for the variable charge OV = Commission-ordered adjustment component for the variable charge VBU = Variable billing units for water to be billed to customers during the base period expressed in 1000 gallon or 100 cubic feet increments</p> | <p>VC = \$2.47 per 1,000 gals. * VSC = 973,989 * RV = 132,987 * OV = 0 * VBU = 447,703 *</p> | |

Issued: March 19, 2010

Effective: April 1, 2010

Issued by: Karla Olson Tessley
300 North Water Works Drive
Belleville, Illinois 62223

ILLINOIS-AMERICAN WATER COMPANY

**APPLICABLE TO ALL CUSTOMERS LOCATED IN
THE SERVICE AREA OF DUPAGE COUNTY**

ILL. C. C. No. 24
First Revised Information Sheet No. 3
Canceling Original Information Sheet No. 3
(Supplemental to ILL. C. C. No. 24, Section 2, Sheet Nos. 15 through 16.11)

Purchased Water Surcharge Information Sheet

| <u>MONTHLY FIXED CHARGE FORMULA</u> | | <u>CALCULATION</u> | |
|-------------------------------------|---|--------------------|--------------|
| FC | = $\frac{FSC + RF + OF}{EBU}$ | | |
| FC | = Monthly fixed charge per equivalent billing unit to be billed to customers during the base period | FC | = \$6.82 * |
| FSC | = Estimated fixed charge from the supplier during the base period | FSC | = 432,783 * |
| RF | = Utility-determined reconciliation component for the fixed charge | RF | = (245) * |
| OF | = Commission-ordered adjustment component for the fixed charge | OF | = 0 * |
| EBU | = Estimated equivalent billing units for the base period | EBU | = 63,450.0 * |

| <u>MONTHLY VARIABLE CHARGE FORMULA</u> | | <u>CALCULATION</u> | |
|--|---|--------------------|----------------------------|
| VC | = $\frac{VSC + RV + OV}{VBU}$ | | |
| VC | = Variable charge per variable billing unit to be billed to customers during the base period | VC | = \$2.05 per 1,000 gals. * |
| VSC | = Estimated variable charge from the supplier during the base period | VSC | = 932,104 * |
| RV | = Utility-determined reconciliation component for the variable charge | RV | = (92,616) * |
| OV | = Commission-ordered adjustment component for the variable charge | OV | = 0 * |
| VBU | = Variable billing units for water to be billed to customers during the base period expressed in 1000 gallon or 100 cubic feet increments | VBU | = 410,368 * |

Issued: March 19, 2010

Effective: April 1, 2010

Issued by: Kerla Olson Teasley
300 North Water Works Drive
Belleville, Illinois 62223

ILLINOIS-AMERICAN WATER COMPANY

**APPLICABLE TO ALL CUSTOMERS LOCATED IN
THE SERVICE AREA OF FERNWAY**

ILL. C. C. No. 24
First Revised Information Sheet No. 4
Cancelling Original Information Sheet No. 4
(Supplemental to ILL. C. C. No. 24, Section 2, Sheet Nos. 15 through 15.11)

Purchased Water Surcharge Information Sheet

| <u>MONTHLY FIXED CHARGE FORMULA</u> | | <u>CALCULATION</u> |
|-------------------------------------|---|--------------------|
| FC | = $\frac{FSC + RF + OF}{EBU}$ | |
| FC | = Monthly fixed charge per equivalent billing unit to be billed to customers during the base period | FC = N/A |
| FSC | = Estimated fixed charge from the supplier during the base period | FSC = |
| RF | = Utility-determined reconciliation component for the fixed charge | RF = |
| OF | = Commission-ordered adjustment component for the fixed charge | OF = |
| EBU | = Estimated equivalent billing units for the base period | EBU = |

| <u>MONTHLY VARIABLE CHARGE FORMULA</u> | | <u>CALCULATION</u> |
|--|---|-------------------------------|
| VC | = $\frac{VSC + RV + OV}{VBU}$ | |
| VC | = Variable charge per variable billing unit to be billed to customers during the base period | VC = \$2.66 per 1,000 gals. * |
| VSC | = Estimated variable charge from the supplier during the base period | VSC = 381,488 * |
| RV | = Utility-determined reconciliation component for the variable charge | RV = (19,926) * |
| OV | = Commission-ordered adjustment component for the variable charge | OV = 0 * |
| VBU | = Variable billing units for water to be billed to customers during the base period expressed in 1000 gallon or 100 cubic feet increments | VBU = 135,870 * |

Issued: March 19, 2010

Effective: April 1, 2010

Issued by: Karla Olson Teeaaley
300 North Water Works Drive
Belleville, Illinois 62223

ILLINOIS-AMERICAN WATER COMPANY

APPLICABLE TO ALL CUSTOMERS LOCATED IN
THE SERVICE AREA OF MORELAND

ILL. C. C. No. 24
First Revised Information Sheet No. 5
Canceling Original Information Sheet No. 5
(Supplemental to ILL. C. C. No. 24, Section 2, Sheet Nos. 15 through 15.11)

Purchased Water Surcharge Information Sheet

| <u>MONTHLY FIXED CHARGE FORMULA</u> | | <u>CALCULATION</u> |
|--|---|-------------------------------|
| FC | = $\frac{FSC + RF + OF}{EBU}$ | |
| FC | = Monthly fixed charge per equivalent billing unit to be billed to customers during the base period | FC = N/A |
| FSC | = Estimated fixed charge from the supplier during the base period | FSC = |
| RF | = Utility-determined reconciliation component for the fixed charge | RF = |
| OF | = Commission-ordered adjustment component for the fixed charge | OF = |
| EBU | = Estimated equivalent billing units for the base period | EBU = |
| <u>MONTHLY VARIABLE CHARGE FORMULA</u> | | <u>CALCULATION</u> |
| VC | = $\frac{VSC + RV + OV}{VBU}$ | |
| VC | = Variable charge per variable billing unit to be billed to customers during the base period | VC = \$1.76 per 1,000 gals. * |
| VSC | = Estimated variable charge from the supplier during the base period | VSC = 32,820 * |
| RV | = Utility-determined reconciliation component for the variable charge | RV = (7,831) * |
| OV | = Commission-ordered adjustment component for the variable charge | OV = 0 * |
| VBU | = Variable billing units for water to be billed to customers during the base period expressed in 1000 gallon or 100 cubic feet increments | VBU = 14,200 * |

Issued: March 19, 2010

Effective: April 1, 2010

Issued by: Karla Olson Teasley
300 North Water Works Drive
Belleville, Illinois 62223

ILLINOIS-AMERICAN WATER COMPANY

APPLICABLE TO ALL CUSTOMERS LOCATED IN THE SERVICE AREAS OF
SANTA FE, SOUTHWEST SUBURBAN AND WEST SUBURBAN

ILL. C. C. No. 24
First Revised Information Sheet No. 6
Canceling Original Information Sheet No. 6
(Supplemental to ILL. C. C. No. 24, Section 2, Sheet Nos. 15 through 15.11)

Purchased Water Surcharge Information Sheet

| MONTHLY FIXED CHARGE FORMULA | | CALCULATION | |
|------------------------------|---|-------------|-------|
| FC | = $\frac{FSC + RF + OF}{EBU}$ | FC | = N/A |
| FC | = Monthly fixed charge per equivalent billing unit to be billed to customers during the base period | FSC | = |
| FSC | = Estimated fixed charge from the supplier during the base period | RF | = |
| RF | = Utility-determined reconciliation component for the fixed charge | OF | = |
| OF | = Commission-ordered adjustment component for the fixed charge | EBU | = |
| EBU | = Estimated equivalent billing units for the base period | | |

| MONTHLY VARIABLE CHARGE FORMULA | | CALCULATION | |
|---------------------------------|---|-------------|--------------------------|
| VC | = $\frac{VSC + RV + OV}{VBU}$ | VC | = \$4.78 per 1,000 gals. |
| VC | = Variable charge per variable billing unit to be billed to customers during the base period | VSC | = 10,122,374 * |
| VSC | = Estimated variable charge from the supplier during the base period | RV | = 26,159 * |
| RV | = Utility-determined reconciliation component for the variable charge | OV | = 0 |
| OV | = Commission-ordered adjustment component for the variable charge | VBU | = 2,116,970 * |
| VBU | = Variable billing units for water to be billed to customers during the base period expressed in 1000 gallon or 100 cubic feet increments | | |

Issued: March 19, 2010

Effective: April 1, 2010

Issued by: Karla Olson Teasley
300 North Water Works Drive
Belleville, Illinois 62223

ILLINOIS-AMERICAN WATER COMPANY

APPLICABLE TO ALL CUSTOMERS LOCATED IN
THE SERVICE AREA OF WAYCINDEN

ILL. C. C. No. 24
First Revised Information Sheet No. 7
Canceling Original Information Sheet No. 7
(Supplemental to ILL. C. C. No. 24, Section 2, Sheet Nos. 15 through 15.11)

Purchased Water Surcharge Information Sheet

| <u>MONTHLY FIXED CHARGE FORMULA</u> | <u>CALCULATION</u> |
|---|---|
| $FC = \frac{FSC + RF + OF}{EBU}$ <p>FC = Monthly fixed charge per equivalent billing unit to be billed to customers during the base period FSC = Estimated fixed charge from the supplier during the base period RF = Utility-determined reconciliation component for the fixed charge OF = Commission-ordered adjustment component for the fixed charge EBU = Estimated equivalent billing units for the base period</p> | <p>FC = N/A FSC = RF = OF = EBU =</p> |

| <u>MONTHLY VARIABLE CHARGE FORMULA</u> | <u>CALCULATION</u> |
|--|---|
| $VC = \frac{VSC + RV + OV}{VBU}$ <p>VC = Variable charge per variable billing unit to be billed to customers during the base period VSC = Estimated variable charge from the supplier during the base period RV = Utility-determined reconciliation component for the variable charge OV = Commission-ordered adjustment component for the variable charge VBU = Variable billing units for water to be billed to customers during the base period expressed in 1000 gallon or 100 cubic feet increments</p> | <p>VC = \$4.36 per 1,000 gals. VSC = 580,591 RV = (26,665) OV = 0 VBU = 126,992</p> |

Issued: March 19, 2010

Effective: April 1, 2010

Issued by: Karla Olson Teasley
300 North Water Works Drive
Belleville, Illinois 62223

Illinois-American Water Company

Ill. C. C. No. 24
First Revised Information Sheet No. 1
Canceling Original Information Sheet No. 1
(Supplemental to ILL. C. C. No. 24, Section 5, Sheet Nos. 15 through 15.4)

WATER

PURCHASED WATER SURCHARGE INFORMATION SHEET

APPLICABLE TO ALL CUSTOMERS LOCATED IN THE SERVICE AREA OF SOUTH BELOIT

| MONTHLY FIXED CHARGE FORMULA | CALCULATION | | | | | | | | | | | | | | | | | | | | |
|--|---|------|--------|--|---|-------|--------|--|---|------|-------|--|---|------|---|--|---|-------|----------|--|---|
| $FC = \frac{FSC + RF + OF}{EBU}$ | | | | | | | | | | | | | | | | | | | | | |
| <p>FC = Monthly fixed charge per equivalent billing unit to be billed to customers during the base period</p> <p>FSC = Estimated fixed charge from the supplier during the base period</p> <p>RF = Utility-determined reconciliation component for the fixed charge</p> <p>OF = Commission-ordered adjustment component for the fixed charge</p> <p>EBU = Estimated equivalent billing units for the base period</p> | <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50px;">FC =</td> <td style="width: 100px;">\$0.80</td> <td style="width: 20px;"></td> <td style="width: 20px;">•</td> </tr> <tr> <td>FSC =</td> <td>24,731</td> <td></td> <td>•</td> </tr> <tr> <td>RF =</td> <td>(560)</td> <td></td> <td>•</td> </tr> <tr> <td>OF =</td> <td>0</td> <td></td> <td>•</td> </tr> <tr> <td>EBU =</td> <td>30,033.0</td> <td></td> <td>•</td> </tr> </table> | FC = | \$0.80 | | • | FSC = | 24,731 | | • | RF = | (560) | | • | OF = | 0 | | • | EBU = | 30,033.0 | | • |
| FC = | \$0.80 | | • | | | | | | | | | | | | | | | | | | |
| FSC = | 24,731 | | • | | | | | | | | | | | | | | | | | | |
| RF = | (560) | | • | | | | | | | | | | | | | | | | | | |
| OF = | 0 | | • | | | | | | | | | | | | | | | | | | |
| EBU = | 30,033.0 | | • | | | | | | | | | | | | | | | | | | |

| MONTHLY VARIABLE CHARGE FORMULA | CALCULATION | | | | | | | | | | | | | | | | | | | | |
|---|--|---------|--------|---------|---|-------|---------|--|---|------|-----|--|---|------|---|--|---|-------|---------|--|---|
| $VC = \frac{VSC + RV + OV}{VBU}$ | | | | | | | | | | | | | | | | | | | | | |
| <p>VC = Variable charge per variable billing unit to be billed to customers during the base period</p> <p>VSC = Estimated variable charge from the supplier during the base period</p> <p>RV = Utility-determined reconciliation component for the variable charge</p> <p>OV = Commission-ordered adjustment component for the variable charge</p> <p>VBU = Variable billing units for water to be billed to customers during the base period expressed in 1000 gallon or 100 cubic feet increments</p> | <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50px;">VC =</td> <td style="width: 100px;">\$1.01</td> <td style="width: 20px;">per CCF</td> <td style="width: 20px;">•</td> </tr> <tr> <td>VSC =</td> <td>237,277</td> <td></td> <td>•</td> </tr> <tr> <td>RV =</td> <td>901</td> <td></td> <td>•</td> </tr> <tr> <td>OV =</td> <td>0</td> <td></td> <td>•</td> </tr> <tr> <td>VBU =</td> <td>236,749</td> <td></td> <td>•</td> </tr> </table> | VC = | \$1.01 | per CCF | • | VSC = | 237,277 | | • | RV = | 901 | | • | OV = | 0 | | • | VBU = | 236,749 | | • |
| VC = | \$1.01 | per CCF | • | | | | | | | | | | | | | | | | | | |
| VSC = | 237,277 | | • | | | | | | | | | | | | | | | | | | |
| RV = | 901 | | • | | | | | | | | | | | | | | | | | | |
| OV = | 0 | | • | | | | | | | | | | | | | | | | | | |
| VBU = | 236,749 | | • | | | | | | | | | | | | | | | | | | |

Issued: March 12, 2010

Issued by: Karla Olson Teasley
300 North Water Works Drive
Belleville, Illinois 62223

Effective: April 1, 2010

ILLINOIS-AMERICAN WATER COMPANY

APPLICABLE TO ALL CUSTOMERS LOCATED IN
THE SERVICE AREA OF COUNTRY CLUB

ILL. C. C. No. 5
Fourteenth Revised Information Sheet No. 1
Cancelling Thirteenth Revised Information Sheet No. 1
(Supplemental to ILL. C. C. No. 5, Sheet Nos. 60 through 64)

Purchased Sewage Treatment Surcharge Rider information Sheet

| <u>MONTHLY SEWER CHARGE FORMULA</u> | | <u>CALCULATION</u> |
|--|--|--------------------|
| (if all customers are residential, multi-unit residential, or small commercial customers) | | |
| <p>SCm = $\frac{PST + R + O}{NC \times M}$</p> <p>SCm = Monthly charge per residential customer or small commercial customer for purchased sewage treatment to be billed during the base period (multi-unit residential = SCM * .85)</p> <p>PST = Purchased Sewage Treatment (estimated cost from supplier for the base period)</p> <p>R = Utility-determined reconciliation component</p> <p>O = Commission-ordered adjustment component</p> <p>NC = Estimated monthly average number of customers for the base period</p> <p>M = Number of months in the base period</p> | <p>SCm = N/A</p> <p>PST =</p> <p>R =</p> <p>O =</p> <p>NC =</p> <p>M =</p> | |
| | | |
| <u>MONTHLY SEWER CHARGE FORMULA</u> | | <u>CALCULATION</u> |
| (if system serves one or more large commercial customers) | | |
| <p>SCbu = $\frac{PST + R + O}{BU}$</p> <p>CRSCbu = $SCbu - AMC$</p> <p>RMSC = $\frac{SCbu \times RAMU}{NCr}$</p> <p>SCbu = Average charge for purchased sewage treatment per billing unit of water to be billed to residential and commercial customers during the base period</p> <p>PST = Estimated cost of purchased sewage treatment charges from the supplier for the base period</p> <p>R = Utility-determined reconciliation component</p> <p>O = Commission-ordered adjustment component</p> <p>BU = Number of billing units to be billed to customers during the base period</p> <p>CRSCbu = Large commercial rate sewage treatment charge for purchased sewage treatment per billing unit of water to be billed</p> <p>AMC = Billing adjustment for the large commercial minimum charge</p> <p>RMSC = Residential monthly sewage treatment charge (multi-unit residential monthly sewage treatment = RMSC x .85)</p> <p>RAMU = Total residential average estimated monthly water usage for the base period</p> <p>NCr = Number of residential and multi-unit residential customers (multi-unit residential customer is equal to .85 of one residential customer)</p> | <p>SCbu = 4.62 *</p> <p>PST = 157,096 *</p> <p>R = 44,960 *</p> <p>O =</p> <p>BU = 43,729 *</p> <p>CRSCbu = \$4.44 per 1,000 gals. *</p> <p>AMC = \$ 0.181 *</p> <p>RMSC = \$44.76 *</p> <p>RAMU = 39,255 *</p> <p>NCr = 4,053.0 *</p> | |

Issued: March 19, 2010

Effective: April 1, 2010

Issued by: Karta Olson Teasley
300 North Water Works Drive
Belleville, Illinois 62223

ILLINOIS-AMERICAN WATER COMPANY

APPLICABLE TO ALL CUSTOMERS LOCATED IN
THE SERVICE AREA OF VALLEY VIEW

ILL. C. C. No. 6
Ninth Revised Information Sheet No. 2
Cancelling Eighth Revised Information Sheet No. 2
(Supplemental to ILL. C. C. No. 6, Sheet Nos. 60 through 68)

Purchased Sewage Treatment Surcharge Rider Information Sheet

| <u>MONTHLY SEWER CHARGE FORMULA</u> | | <u>CALCULATION</u> |
|---|--|--------------------|
| (if all customers are residential, multi-unit residential, or small commercial customers) | | |
| <p>SCm = $\frac{PST + R + O}{NC \times M}$</p> <p>SCm = Monthly charge per residential customer or small commercial customer for purchased sewage treatment to be billed during the base period (multi-unit residential = SCM * .85)</p> <p>PST = Purchased Sewage Treatment (estimated cost from supplier for the base period)</p> <p>R = Utility-determined reconciliation component</p> <p>O = Commission-ordered adjustment component</p> <p>NC = Estimated monthly average number of customers for the base period</p> <p>M = Number of months in the base period</p> | <p>SCm = N/A</p> <p>PST =</p> <p>R =</p> <p>O =</p> <p>NC =</p> <p>M =</p> | |

| <u>MONTHLY SEWER CHARGE FORMULA</u> | | <u>CALCULATION</u> |
|---|---|--------------------|
| (if system serves one or more large commercial customers) | | |
| <p>SCbu = $\frac{PST + R + O}{BU}$</p> <p>CRSCbu = $SCbu - AMC$</p> <p>RMSC = $\frac{SCbu \times RAMU}{NCr}$</p> <p>SCbu = Average charge for purchased sewage treatment per billing unit of water to be billed to residential and commercial customers during the base period</p> <p>PST = Estimated cost of purchased sewage treatment charges from the supplier for the base period</p> <p>R = Utility-determined reconciliation component</p> <p>O = Commission-ordered adjustment component</p> <p>BU = Number of billing units to be billed to customers during the base period</p> <p>CRSCbu = Large commercial rate sewage treatment charge for purchased sewage treatment per billing unit of water to be billed</p> <p>AMC = Billing adjustment for the large commercial minimum charge</p> <p>RMSC = Residential monthly sewage treatment charge (multi-unit residential monthly sewage treatment = RMSC x .65)</p> <p>RAMU = Total residential average estimated monthly water usage for the base period</p> <p>NCr = Number of residential and multi-unit residential customers (multi-unit residential customer is equal to .85 of one residential customer)</p> | <p>SCbu = 1.304 *</p> <p>PST = 358,471.85 *</p> <p>R = 59,040.00 *</p> <p>O = 0.00</p> <p>BU = 320,062.82 *</p> <p>CRSCbu = \$0.83 per 1,000 gals. *</p> <p>AMC = 0.474 *</p> <p>RMSC = \$18.85 *</p> <p>RAMU = 309,420 *</p> <p>NCr = 23,953.5 *</p> | |

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300 North Water Works Drive
Bellville, Illinois 62223

ILLINOIS-AMERICAN WATER COMPANY

APPLICABLE TO ALL AREAS SUBJECT TO ROMEOVILLE WHOLESALE
TRANSPORTATION AND TREATMENT SERVICES AGREEMENT

ILL. C. C. No. 5
Ninth Revised Information Sheet No. 3
Cancelling Eighth Revised Information Sheet No. 3
(Supplemental to ILL. C. C. No. 5, Sheet Nos. 60 through 64)

Purchased Sewage Treatment Surcharge Rider Information Sheet

| <u>MONTHLY SEWER CHARGE FORMULA</u> | | <u>CALCULATION</u> |
|---|---|--------------------|
| (if all customers are residential, multi-unit residential, or small commercial customers) | | |
| <p>SCm = $\frac{PST + R + O}{NC \times M}$</p> | | |
| <p>SCm = Monthly charge per residential customer or small commercial customer for purchased sewage treatment to be billed during the base period (multi-unit residential = SCM * .85)</p> <p>PST = Purchased Sewage Treatment (estimated cost from supplier for the base period)</p> <p>R = Utility-determined reconciliation component</p> <p>O = Commission-ordered adjustment component</p> <p>NC = Estimated monthly average number of customers for the base period</p> <p>M = Number of months in the base period</p> | <p>SCm = N/A</p> <p>PST =</p> <p>R =</p> <p>O =</p> <p>NC =</p> <p>M =</p> | |
| <hr/> | | |
| <u>MONTHLY SEWER CHARGE FORMULA</u> | | <u>CALCULATION</u> |
| (if system serves one or more large commercial customers) | | |
| <p>SCbu = $\frac{PST + R + O}{BU}$</p> <p>CRSCbu = SCbu - AMC</p> <p>RMSC = $\frac{SCbu \times RAMU}{NCr}$</p> | | |
| <p>SCbu = Average charge for purchased sewage treatment per billing unit of water to be billed to residential and commercial customers during the base period</p> <p>PST = Estimated cost of purchased sewage treatment charges from the supplier for the base period</p> <p>R = Utility-determined reconciliation component</p> <p>O = Commission-ordered adjustment component</p> <p>BU = Number of billing units to be billed to customers during the base period</p> <p>CRSCbu = Large commercial rate sewage treatment charge for purchased sewage treatment per billing unit of water to be billed</p> <p>AMC = Billing adjustment for the large commercial minimum charge</p> <p>RMSC = Residential monthly sewage treatment charge (multi-unit residential monthly sewage treatment = RMSC x .85)</p> <p>RAMU = Total residential average estimated monthly water usage for the base period</p> <p>NCr = Number of residential and multi-unit residential customers (multi-unit residential customer is equal to .85 of one residential customer)</p> | <p>SCbu = \$2.78 per 1,000 gals. *</p> <p>PST = 18,156 *</p> <p>R = (5,500) *</p> <p>O = 0 *</p> <p>BU = 4,550 *</p> <p>CRSCbu = \$2.78 per 1,000 gals. *</p> <p>AMC =</p> <p>RMSC = N/A</p> <p>RAMU =</p> <p>NCr =</p> | |

Issued: March 19, 2010

Effective: April 1, 2010

Issued by: Karla Olson Teasley
300 North Water Works Drive
Bellefonte, Illinois 62223

ILLINOIS-AMERICAN WATER COMPANY

APPLICABLE TO CUSTOMERS LOCATED IN
THE SERVICE AREA OF ROLLINS

ILL. C. C. No. 5
Tenth Revised Information Sheet No. 4
Canceling Ninth Revised Information Sheet No. 4
(Supplemental to ILL. C. C. No. 5, Sheet Nos. 60 through 64)

Purchased Sewage Treatment Surcharge Rider Information Sheet

| <u>MONTHLY SEWER CHARGE FORMULA</u> | | <u>CALCULATION</u> |
|---|--|--------------------|
| (if all customers are residential, multi-unit residential, or small commercial customers) | | |
| <p>SCm = $\frac{PST + R + O}{NC \times M}$</p> | | |
| <p>SCm = Monthly charge per residential customer or small commercial customer for purchased sewage treatment to be billed during the base period (multi-unit residential = SCM * .85) PST = Purchased Sewage Treatment (estimated cost from supplier for the base period) R = Utility-determined reconciliation component O = Commission-ordered adjustment component NC = Estimated monthly average number of customers for the base period M = Number of months in the base period</p> | <p>SCm = N/A PST = R = O = NC = M =</p> | |

| <u>MONTHLY SEWER CHARGE FORMULA</u> | | <u>CALCULATION</u> |
|---|---|--------------------|
| (if system serves one or more large commercial customers) | | |
| <p>SCbu = $\frac{PST + R + O}{BU}$</p> | | |
| <p>CRSCbu = $SCbu - AMC$</p> | | |
| <p>RMSC = $\frac{SCbu \times RAMU}{NCr}$</p> | | |
| <p>SCbu = Average charge for purchased sewage treatment per billing unit of water to be billed to residential and commercial customers during the base period PST = Estimated cost of purchased sewage treatment charges from the supplier for the base period R = Utility-determined reconciliation component O = Commission-ordered adjustment component BU = Number of billing units to be billed to customers during the base period CRSCbu = Large commercial rate sewage treatment charge for purchased sewage treatment per billing unit of water to be billed AMC = Billing adjustment for the large commercial minimum charge RMSC = Residential monthly sewage treatment charge (multi-unit residential monthly sewage treatment = RMSC x .85) RAMU = Total residential average estimated monthly water usage for the base period NCr = Number of residential and multi-unit residential customers (multi-unit residential customer is equal to .85 of one residential customer)</p> | <p>SCbu = \$1.01 per 1,000 gals. * PST = 11,431 * R = (531) * O = 0 * BU = 10,784 * CRSCbu = \$0.43 per 1,000 gals. * AMC = \$ 0.58 * RMSC = 13.53 * RAMU = 10,574 * NCr = 790 *</p> | |

Issued: March 19, 2010

Effective: April 1, 2010

Issued by: Karla Olson Teasley
300 North Water Works Drive
Belleville, Illinois 62223

ILLINOIS-AMERICAN WATER COMPANY
RESPONSE TO ILLINOIS OFFICE OF ATTORNEY GENERAL
DATA REQUEST NUMBER AG 1.14

| | |
|----------------------|--------------------------------------|
| Witness Responsible: | <u>Rich Kerckhove</u> |
| Title: | <u>Manager, Rates and Regulation</u> |
| Phone No.: | <u>314-996-2366</u> |
| Date Received: | <u>November 9, 2012</u> |
| Docket No.: | <u>10-0203</u> |

AG 1.14

Please explain how IAWC implemented the Commission's order in Docket 09-0151 to charge consumers in the Country Club District 2/3 of the excess flow charges, and what effect if any it has on this 2009 reconciliation.

RESPONSE

Excess flow charges were included in the 2008 Actual Cost of Sewage Treatment Purchased. The 2008 under collection of \$67,237 was amortized over the three years 2009 through 2011 (\$22,412 per year). The one-third that the Commission ordered should be borne by customers (\$14,800) will be refunded through rates when the Company files its next information sheets for the Country Club District.

Date Response Provided: December 7, 2012