

Attachment 6 – Technical Qualifications

Plymouth Rock Energy, LLC

Plymouth Rock Energy, LLC meets the technical qualifications set for in part 551.90 as demonstrated through the experience of its operational team and executive staff. Summaries and resumes that demonstrate that experience are included in this attachment.

Plymouth maintains the following phone number, fax number and address.

Telephone # 855-327-6937, 516-734-0408
Fax # 516-295-1417
Address 1074 Broadway
Woodmere, NY 11598

Name and Position	Duration of Experience	Parts Satisfied
Adam Sokol, President	15 years in energy industry including 7 years as President of Plymouth Rock Energy, LLC	4+ years natural gas sales experience, 2+ years working with rules and practices established by NAESB
Sam Ritter, COO	10 years in energy industry with experience in management, operations, sales, trading, and marketing	4+ years natural gas sales experience, 2+ years working with rules and practices established by NAESB

Adam Sokol:

Adam Sokol is the President of Plymouth Rock Energy, LLC and has over 15 years experience in the energy industry. Prior to co-founding Plymouth Rock Energy, LLC in 2004, Adam served, and continues to serve, as the Senior Vice President of Plymouth Rock Fuel Corp. Plymouth Rock Fuel Corp is responsible for delivering heating oil to residential and commercial customers in the New York metropolitan area.

As President of Plymouth Rock Energy, LLC, Adam is responsible for providing strategic guidance and vision to grow the business. This includes overseeing all aspects of the business, including sales and marketing, pricing, buying and selling natural gas and power in the wholesale energy market, ensuring the proper risk management of the company's portfolio, financing, operational and IT functions, and regulatory and statutory compliance. Adam is also involved in the acquisition of businesses that will allow Plymouth to continue to grow.

Since starting Plymouth Rock Energy, LLC, Adam has overseen growth at a compounded annual rate of 110% in revenue over an 8 year period. As a result of this continued growth, Adam has recruited a team of knowledgeable and experienced managers and staff to help oversee the day to day operations of the company.

Sam Ritter:

Sam Ritter:

Sam Ritter is in his third year as Chief Operating Officer for Plymouth Rock Energy, LLC. As a member of the executive team, he is involved in the day to day management and growth of natural gas and electricity sectors of the business. He is responsible for managing activity in existing markets, and overseeing entry into new ones. As Plymouth has continued to grow, Sam has helped manage the expansion of the existing internal infrastructure with the departments of finance, risk, supply, technology and operations. He has established revenue and budget forecasts for the promotion of short term and long term, tactical and strategic planning expectations for the overall business.

Prior to joining Plymouth, Sam was involved in natural gas trading and marketing at RBS Sempra Commodities. In this role Sam was on the natural gas trading desk, where he managed the position of customer flow business. The customer book consisted of ESCO's, power plants, municipalities and large industrial clients utilizing cash and forward physical and financial markets to manage their demand side needs.

Sam holds a Masters in Science from the Columbia University, Fu Foundation School of Engineering, and has a strong understanding of the complexities of the energy markets. His expertise has been valuable as Plymouth has continued to grow and expand to new markets.

Adam Sokol

Plymouth Rock Energy, LLC (September 2004 to Current)

Plymouth Rock Energy, LLC is an independently owned retail energy provider serving natural gas and electricity to commercial and residential customers.

President

Co-founder of the company in 2004, and has since maintained overall responsibility for the daily management and operation of the company. Responsibilities include:

- Providing strategic guidance and vision to grow the business, leading to a Compounded Annual Growth Rate of 110% in net sales between 2004 and 2011.
- Developing marketing and sales initiatives. The result is the continued expansion into new markets, such as New Jersey, Pennsylvania, and Ohio.
- Maintaining the profitability and growth of the business. This includes review and oversight of all financial information related to the company, including profit and loss responsibilities.
- Managing the wholesale portfolio, including the buying and selling of natural gas and power in the wholesale energy market.
- Ensuring and reviewing of proper risk management policies of the portfolio.
- Ensuring compliance with regulatory and statutory agencies.

Plymouth Rock Fuel Corp (June 1997 to Current)

Plymouth Rock Fuel Corp is a family owned business, delivering heating oil to residential and commercial customers in the NY metropolitan area since 1948.

Vice - President

Oversee the operational and financial aspects of the business. Responsibilities include:

- Daily management of the business.
- Maintaining the profitability and growth of the business. This includes review of all financial information related to the company.
- Managing the wholesale portfolio, including the buying and selling of heating oil in the wholesale energy market, as well as entering into supply arrangement with various wholesale entities.
- Ensuring and reviewing proper risk management policies of the portfolio.
- Ensuring compliance with regulatory and statutory agencies.

Samuel N. Ritter

264 Van Nostrand Avenue, Englewood, New Jersey 07631

p: 917-715-9711

e: samuelritter@gmail.com

Education: **Columbia University, Fu Foundation School of Engineering**, New York, NY
Master of Science, December 2002, Operations Research Engineering – GPA: 3.61
Yeshiva University, Yeshiva College, New York, NY
Bachelor of Arts, January 2000, Major: Physics, Minor: Mathematics

Passed the SOA/CAS Actuary Exam I - May 2003

Work Experience:

Plymouth Rock Energy
Chief Operating Officer

Woodmere, New York
January 2011 - Present

As a member of the executive team, involved in the day to day management and growth of a New York based natural gas and electricity ESCO.

Key Responsibilities include:

- Expansion and development of the electricity business within the current natural gas footprint as well as into neighboring territories.
- Expansion of the existing internal infrastructure within the departments of finance, risk, supply, technology and operations.
- Establish revenue and budget forecasts for the promotion of short term and long term, tactical and strategic planning expectations for the overall business

RBS Sempra Commodities
Natural Gas Trading & Marketing

Stamford, Connecticut
October 2009 – December 2010

Sat on the natural gas trading desk at RBS Sempra Commodities where I managed a book and position of customer flow business. Customer book consisted of retail energy service companies (ESCO's), power plants, municipalities and large industrial clients utilizing cash and forward physical and financial markets to manage their demand side needs.

- Active in physical and paper markets: NYMEX, basis, index in the Northeast, Southeast, Gulf and Midwest.
- Liaise between customers and regional market making desks/electronic exchanges.
- Assist with and create client relationships and improve operational work flow for the trading and marketing side of business.
- Analyze, review and respond to supply and AMA RFP's
- Strong knowledge and experience with the structured finance/supply/guarantee arrangement commonly found between high credit rated trading institutions and ESCO's

Senior Business Analyst

July 2008 – September 2009

Managed 3 enterprise-wide project implementation initiatives. Day-to-day tasks included business owner interviews and investigation, execution plan documentation, modification testing and end-user training

Management Information – Revenue Reporting

- Designed and implemented revenue capture and reporting business process within time and resource budget

Interest Rate and FX Risk

- Integrated non-trading book IR and FX exposure into risk and hedging reporting., IR and FX Forward curve validation

Deal Tracker Workflow

- Primary business analyst on corporate rollout of Deal Tracker application
- Liaise between end-users and development team on enhancements and functionality

GasLimit, Inc.
Co-Founder

Monsey, New York
May 2007 – July 2008

GasLimit was launched as a “proof of concept” looking to provide fuel price risk management services to small and medium size vehicle fleets. The company's niche was to perform as mass market counterparty for fuel consumers in need of medium to long term retail fuel price guarantees for budgeting and/or cash flow planning purposes. The resulting price risk would then be hedged by purchasing offsetting, financially settled, refined fuel products in bulk from energy traders and brokers similar to the deregulated retail natural gas and electricity model.

- Managed all aspects of the venture – fund raising, website design and development, counterparty/trading relationships, market risk, sales and marketing
- Market test results could not justify the continuation of the venture and entity was wound down in July 2008

Gateway Energy Services Corp (formerly Econnergy Energy Company)

Senior Director, Trading, Pricing and Risk

Suffern, New York

July 2003 – May 2007

Managed the Trading, Pricing and Risk Group for a retail aggregator of natural gas and power (ESCO). The company served 250,000 residential and small commercial customers across 7 states in the Northeast United States with approximately \$500 million in annual revenue. Key responsibilities included planning and execution for physical commodity, financial hedging, retail rate construction, risk management and general middle office management; reported directly to the CEO and CFO.

Trading and Supply Management Tasks

- Oversaw planning and performed execution of all physical and financial commodity purchasing

Risk Management Tasks

- Hedging responsibilities using swaps, futures, options and other derivatives to cover retail book of business
- Re-engineered work flow processes for the mitigation of operational risks

General Tasks

- Coordinated the development of reporting and pricing tools

Computer Skills:

Microsoft Office, SQL, DB Structures, Programming Logic, energy trading platforms.
Elementary knowledge of: VBA (Excel), JAVA & C++

Professional Skills:

Swaps, Options, Derivatives, Bond Pricing, Calculus, Statistics, Simulation, VaR
Utility and Retail Energy (ESCO) commodity price risk, some FAS133

Interests and Hobbies:

Traveling, Music, Snowboarding, Reading, Tennis