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ILLINOIS COMMERCE COMMISSION
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ILLINOIS COMMERCE COMMISSION

Plymouth Rock Energy, LLC

Application for Certificate of
Service Authority under Section
19-110 of the Public Utilities Act

Docket No. 13-0522

APPLICATION

Plymouth Rock Energy, LLC ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a certificate of service authority pursuant to Section 19-110 of the Public Utilities Act ("Act"). In support of its application, Applicant states as follows:

General

1. Applicant's name and street address:

Plymouth Rock Energy, LLC
1074 Broadway
Woodmere, NY 11598

2. Related Information

Type of business entity (corporation, limited partnership, limited-liability company, etc.): **Limited Liability Company**

Jurisdiction in which and under whose laws business entity was created: **NY**

Other names under which Applicant does business: **N/A**

Federal Employer Identification Number: **EIN: 57-1212547**

Registered agent in Illinois:

Michelle Fair, Assistant Secretary
Business Filings Incorporated
600 South Second Street, Suite 103
Springfield, IL 32704

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ILLINOIS COMMERCE
COMMISSION

3. Does Applicant currently have other authority from the Commission to be an AGS? If yes, provide the Commission docket number under which current authority was granted and state whether Applicant requests that such current authority be consolidated with any authority granted pursuant to this application, under the docket number of this application.

Plymouth does not currently have authority from the Commission to be an AGS.

4. Contact persons for the following:

- a) Issues related to processing this application;
Robert Abraham, Consultant
1528 Walnut Street, 22nd Floor, Philadelphia, PA 19102
Phone: 267-592-3140, Fax: 215-875-9490,
Email: rabraham@ces-ltd.com
- b) Issues related to retail customers, including complaint resolution; and
Samuel Ritter, COO
1074 Broadway, Woodmere, NY 11598
Phone: 516-734-0408, Fax: 516-295-1417,
Email: sritter@plymouthenergy.com
- c) Technical issues, including scheduling of natural gas deliveries.
Samuel Ritter, COO
1074 Broadway, Woodmere, NY 11598
Phone: 516-734-0408, Fax: 516-295-1417,
Email: sritter@plymouthenergy.com

Identification should include each contact person's name, title, mailing address, telephone number, facsimile number, and e-mail address.

5. Applicant certifies that it will:

- a) Comply with all applicable Federal, State, regional and industry rules, practices, policies, procedures and tariffs for the use, operation, maintenance, safety, integrity, and reliability of the interstate natural gas system; [83 Ill. Adm. Code 551.20(a)] **yes**
- b) Provide service to residential or small commercial customers that are eligible to take service from an AGS; [551.20(b)] **yes**
- c) Comply with informational and reporting requirements that the Commission may by rule establish; [551.20(c)] and **yes**

- d) Comply with all other applicable laws and regulations and Commission rules and orders. [551.20(d)] **yes**
6. Applicant agrees to submit good faith schedules of natural gas deliveries in accordance with applicable tariffs. [551.20(a)] **yes**
7. Demonstration that Applicant is licensed to do business in the State of Illinois. [551.20(e)] **Please see Attachment 1**
8. Description of Applicant's business. [551.30(c)(1)]
Plymouth Rock Energy, LLC is a competitive gas supplier and is currently licensed in New York, New Jersey, Maryland and Ohio. Plymouth is actively serving customers in NY and NJ. Plymouth will soon have a competitive gas supplier application pending for approval in PA.
9. Identify the utility service territory (Note: Applicable territories for both residential and small commercial customers are Nicor, Peoples Gas, and North Shore Gas --- Ameren is currently limited to small commercial customers) in which Applicant seeks to be authorized to offer service and the types of services it intends to offer. [551.30(c)] **Plymouth plans to conduct business in Nicor and Peoples Gas service territories. Plymouth plans to serve commercial, industrial and residential customers as a competitive third party supplier, and will not be involved in generation.**
10. Description of the characteristics of the residential or small commercial customer group(s) Applicant proposes to serve. [551.30(c)(2)] **Plymouth plans to serve all residential customers, as well as all commercial and industrial customers without exception.**
11. Proof of notification to each utility of Applicant's intent to serve in the utility's service area is provided in Attachment 2. [551.30(c)(3)]
12. Applicant certifies compliance with all applicable terms and conditions required by Section 19-115 of the Act. [551.30(d)(2)] **yes**
13. Applicant agrees to adopt and follow rules and procedures ensuring that authorizations received from customers, customer billing records, and requests for delivery service transmitted to utilities are retained for a period of not less than two calendar years after the calendar year in which they were created. [551.40(a)] **yes**
14. Applicant agrees to adopt and follow rules and procedures to preserve the confidentiality of its customer's data. [551.40(b)] **yes**

15. If Applicant seeks to serve customers within a geographic area that is smaller than a gas utility's service area, then Applicant must demonstrate that the designation of this smaller area does not violate any part of Section 19-115 of the Act. [551.70(a)] **N/A**
16. Applicant certifies that all marketing materials that make statements concerning prices, terms and conditions of service contain information that adequately disclose the prices, terms and conditions of the products or services that the Applicant is offering or selling to the customers. [551.70(b)(1)] **yes**
17. Applicant certifies that, prior to switching any customer from another supplier, the Applicant will provide the customer written information that adequately discloses, in plain language, the prices, terms and conditions of the products and services being offered and sold to the customer. [551.70(b)(2)] **yes**
18. (Applies to Residential Suppliers Only) Applicant certifies that it will provide to the residential customer accurate, timely, and itemized billing statements that describe the products and services provided to the customer and their prices and that specify the gas consumption amount and any service charges and taxes; and an additional statement, at least annually, that adequately discloses the average monthly prices, and the terms and conditions, of the products and services sold to the residential customer. [551.70(b)(3)] **yes**
19. Applicant certifies that it will provide refunds of any deposits with interest within 30 days after the date that the residential or small commercial customer changes gas suppliers or discontinues service if the customer has satisfied all of its outstanding financial obligations to the Applicant at an interest rate set by the Commission which shall be the same as that required of gas utilities. [551.70(b)(4)] **yes**
20. Applicant certifies that it will provide timely refunds of any undisputed overpayments upon oral or written request of the residential or small commercial customer. [551.70(b)(5)] **yes**
21. File procedures with the Commission that address the manner in which the Applicant will resolve disputed bills with its customers. These procedures should comply with the requirements of 551.70(b)(6). **Please see Attachment 3**
22. Applicant certifies that it will inform its customers how to contact the Commission to obtain consumer education materials provided pursuant to Section 19-125 of the Act. [551.70(b)(7)] **yes**

23. Applicant states whether it has been denied a natural gas supplier license in any state in the United States. [19-110(d)] **Plymouth has not been denied a natural gas supplier license in any state in the United States.**
24. Applicant states whether it has had a natural gas supplier license suspended or revoked by any state in the United States. [19-110(d)] **Plymouth has not had a natural gas supplier license suspended or revoked by any state in the United States.**
25. Applicant states where, if any, it has other natural gas supplier license applications are pending in the United States. [19-110(d)] **Plymouth has natural gas supplier license applications pending in PA.**
26. Applicant states whether it is the subject of any lawsuits filed in a court of law or formal complaints filed with a regulatory agency alleging fraud, deception or unfair marketing practices, or other similar allegations, identifying the name, case number, and jurisdiction of each such lawsuit or complaint. [19-110(d)] **Plymouth is not the subject of any lawsuit filed in court of law or formal complaints filed with a regulatory agency alleging fraud, deception or unfair marketing practices, or other similar allegations**
27. Applicant certifies its commitment of resources to the management of sales and marketing staff, through affirmative managerial policies, independent audits, technology, hands on field monitoring and training, and, in the case of any sales personnel or sales agents, within the State of Illinois, the applicant's managerial presence within the State. [19-110(e)(1)(C)] **yes**

Financial Qualifications

28. Applicant's required license or permit bond is provided in Attachment 4. [551.50(a)]
29. Required materials or certifications supporting Applicant's financial qualifications pursuant to Subsection 551.80 (a) **recent Dun & Bradstreet report is included as Attachment 5, demonstrating sufficient credit rating and PAYDEX score.**

Technical Qualifications

30. Applicant **will not** use natural gas transmission or distribution facilities that it owns, controls or operates in serving customers. Required materials supporting Applicant's technical qualifications are provided in Attachment 6. [551.90]

Managerial Qualifications

31. Required materials supporting Applicant's managerial qualifications are provided in Attachment 7. [551.100]

WHEREFORE, Applicant requests that the Commission grant its application for service authority to serve residential natural gas customers in [describe area for which certification is sought, as in paragraph 9 above].

Respectfully submitted,

Plymouth Rock Energy, LLC

By:  _____
Officer

Name of signatory
Plymouth Rock Energy, LLC
1074 Broadway
Woodmere, NY 11598

VERIFICATION

STATE OF NY)
COUNTY OF NASSAU) ss:

SHYAM PRASAD, being first duly sworn, deposes and says that she/he is
[the] [a(n)] UP-FINANCER [of][for] [Applicant]; that he/she has read the foregoing
Application of [Applicant], and all of the attachments accompanying and referred to
within the Application; and that the statements contained in the Application and the
attachments are true, correct and complete to the best of [her][his] knowledge,
information and belief.

Prasad
[Name of person verifying application]

Subscribed and sworn to before me
this 9th day of September, [year] 2013

Ira M. Scharaga
Notary Public

[Stamp of Notary]

IRA M. SCHARAGA
Notary Public, State of New York
No. 02SC4708172
Qualified in Nassau County
Commission Expires July 31, 2017