

Oak Grove Energy Consultants, LLC:

Application for Licensure of :
Agents, Brokers, and Consultants :
under Section 16-115C :
of the Public Utilities Act. :

Docket No. 13-0243

APPLICATION

Oak Grove Energy Consultants, LLC ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a license pursuant to Section 16-115C of the Public Utilities Act ("Act") and 83. Ill. Adm. Code 454 ("Part 454"). In support of its application, Applicant states as follows:

GENERAL

1. Applicant's name and street address:

Oak Grove Energy Consultants, LLC
5285 Millennium Ct.
Edwardsville, IL 62025

2. Related Information:

- Type of business entity: LLC
- Jurisdiction in which and under whose laws business entity was created: Illinois
- Other names under which Applicant does business (D/B/A): N/A

3. Contact Persons for the following:

a) issues related to processing this application:

Joshua Kirbach
President
5285 Millennium Ct.
Edwardsville, IL 62025
618-593-8328
josh@oakgroveenergyconsultants.com

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b) issues related to complaint resolution:

Joshua Kirbach
President
5285 Millennium Ct.
Edwardsville, IL 62025
618-593-8328
josh@oakgroveenergyconsultants.com

4. Applicant's business is the procurement or sale of retail electricity supply for third parties.
5. Applicant certifies that it meets all requirements set out in Section 16-115C of the Act.
6. Applicant certifies that the following is its agent registered with the Illinois Secretary of State. Applicant shall keep this information current and any change regarding the licensee shall be reported within 15 days after the change occurs. This information shall be filed with the Chief Clerk of the Commission at its Springfield office.

Joshua Kirbach
President
5285 Millennium Ct.
Edwardsville, IL 62025
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7. Applicant does not provide agent, broker, or consultant services similar to the terms set out by Section 16-115C of the Act in any other jurisdiction. Additionally, no complaints exist against Applicant concerning its provision of any services in the electric or gas industry in this or any other jurisdiction.
8. Applicant certifies that it:
 - a) is licensed to do business in the State of Illinois and is in compliance with all other applicable laws, regulations and Commission rules and orders;
 - b) shall comply with all terms and conditions required by Section 16-115C of the Act;
 - c) shall ensure any person who acts on behalf of the entity will comply with all sections of Part 454 applicable to the function or functions to be performed;
 - d) shall remain in compliance with the provisions of the Act and Part 454;
 - e) shall ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created; and

- f) shall preserve the confidentiality of its customers' data.

MANAGERIAL LICENSING QUALIFICATIONS

9. Applicant meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment 1 & 2. Attachment 1 includes an exhibit containing a corporate organizational chart and identifies the persons who meet the requirements of Part 454.60(a). Attachment 2 contains occupational background information on the persons who meet the requirements of Part 454.60(a).

TECHNICAL LICENSING QUALIFICATIONS

10. Applicant meets the technical qualifications set forth in Part 454.70, as demonstrated in Attachment 3. Attachment 3 contains occupational background information on the person who meets the requirements of Part 454.70(a).

FINANCIAL LICENSING REQUIREMENTS

11. Applicant has executed and maintains a license or permit bond, in the amount of \$5,000, in the name of the People of the State of Illinois, issued by State Farm Fire and Casualty Company, a qualifying insurance company authorized to transact business in the State of Illinois. See Attachment 4.

CODE OF CONDUCT

12. Applicant certifies that it shall:
- a) Disclose in plain language in writing the nature of the services offered by the ABC;
 - b) Prior to the customer signing a contract, disclose that they are not employed by the electric utility operating in the applicable service territory;
 - c) Disclose in plain language in writing to all persons it solicits the total price per kilowatt-hour, and the total anticipated cost, inclusive of all fees or commissions received by the licensee, to be paid by the customer over the period of the proposed underlying customer contract. In the event a person or entity required to be licensed pursuant to this Part uses an electric supply usage estimate for a particular customer, the person or entity required to be licensed pursuant to this Part must use the same electric supply usage estimate for all service offers it presents to that particular customer;
 - d) Any disclosure required by subsection (c) must be made prior to entering into the contract and signed by the customer;
 - e) Disclose if applicable, to all customers, prior to the customer signing a contract the fact that they will be receiving compensation from the supplier;

- f) Not hold itself out as independent or unaffiliated with any RES, or both, or use words calculated to give that impression, unless the person or entity offering service under Section 16-115C of the Act has no contractual relationship with any RES or its affiliates regarding retail electric service in Illinois;
- g) Not utilize false, misleading, materially inaccurate, defamatory or otherwise deceptive language or materials in the soliciting or providing of its services;
- h) Maintain copies of all marketing materials disseminated to third parties for a period of not less than three years;
- i) Maintain copies of all disclosure statements required in subsections (a) and (b) for a period of not less than three years;
- j) Not present electricity pricing information in a manner that favors one supplier over another, unless a valid pricing comparison is made utilizing all relevant costs and terms; and
- k) Comply with the requirements of Sections 2EE, 2FF, 2GG and 2HH of the Consumer Fraud and Deceptive Business Practices Act.

REPORTING REQUIREMENTS

13. Applicant agrees to submit reports in accordance with annual reporting requirements as outlined by Part 454.110.

WHEREFORE, Applicant requests that the Commission grant its application for licensure as an agent, broker, or consultant to engage in the procurement or sale of retail electricity supply for third parties.

Respectfully submitted,

Oak Grove Energy Consultants, LLC

A handwritten signature in black ink, appearing to read 'J. Kirbach', is written over a horizontal line.

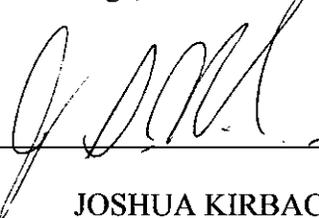
By: Joshua Kirbach, President

VERIFICATION

STATE OF ILLINOIS

COUNTY OF MADISON

Joshua Kirbach, being first duly sworn, deposes and says that he is the President of Oak Grove Energy Consultants, LLC; that he has read the foregoing Application of Oak Grove Energy Consultants, LLC, and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of his knowledge, information and belief.

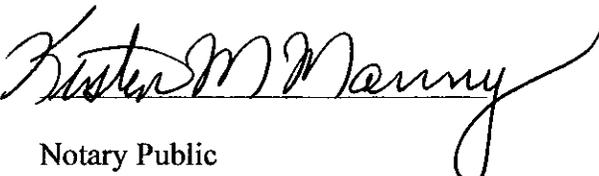


JOSHUA KIRBACH

To be completed by a Notary Public

Subscribed and sworn to before me

this 18 day of MARCH, 2013



Notary Public

[Stamp of Notary]

