

## **ATTACHMENT A**

Copy of Iron Energy LLC's registration with the Illinois Secretary of State.

Copy of Iron Energy LLC's Assumed Name Filings

- Kona Energy
- Zone Energy

*See attached*



## OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

APRIL 27, 2012

0392197-2

CAPITOL CORPORATE SERVICES INC  
1315 W LAWRENCE AVE  
SPRINGFIELD, IL 62704-0000

RE IRON ENERGY LLC

DEAR SIR OR MADAM:

IT HAS BEEN OUR PLEASURE TO APPROVE YOUR REQUEST TO TRANSACT BUSINESS IN THE STATE OF ILLINOIS. ENCLOSED PLEASE FIND THE APPROVED APPLICATION FOR ADMISSION.

PLEASE NOTE! THE LIMITED LIABILITY COMPANY MUST FILE AN ANNUAL REPORT PRIOR TO THE FIRST DAY OF THIS MONTH OF QUALIFICATION NEXT YEAR. FAILURE TO TIMELY FILE WILL RESULT IN A \$300 PENALTY AND/OR REVOCATION. A PRE-PRINTED ANNUAL REPORT WILL BE MAILED TO THE REGISTERED AGENT AT THE ADDRESS ON OUR RECORDS APPROXIMATELY 45 DAYS BEFORE THE DUE DATE.

MANY OF OUR SERVICES ARE AVAILABLE AT OUR CONTINUOUSLY UPDATED WEBSITE. VISIT [WWW.CYBERDRIVEILLINOIS.COM](http://WWW.CYBERDRIVEILLINOIS.COM) TO VIEW THE STATUS OF THIS COMPANY, PURCHASE A CERTIFICATE OF GOOD STANDING, OR EVEN FILE THE ANNUAL REPORT REFERRED TO IN THE EARLIER PARAGRAPH.

SINCERELY YOURS,

A handwritten signature in cursive script that reads "Jesse White".

JESSE WHITE  
SECRETARY OF STATE  
DEPARTMENT OF BUSINESS SERVICES  
LIMITED LIABILITY DIVISION  
(217) 524-8008

Form **LLC-45.5**  
June 2010

Secretary of State  
Department of Business Services  
Limited Liability Division  
501 S. Second St., Rm. 351  
Springfield, IL 62756  
217-524-8008  
www.cyberdriveillinois.com

Payment must be made by certified check, cashier's check, Illinois attorney's check, C.P.A.'s check or money order payable to Secretary of State.

Illinois Limited Liability Company Act  
**Application for Admission to Transact Business**

**SUBMIT IN DUPLICATE**  
Type or Print Clearly.

This space for use by Secretary of State.

Filing Fee: \$500

Penalty: \$ - jd

Approved: jd

FILE #: 03921972  
This space for use by Secretary of State.

**FILED**

APR 27 2012

JESSE WHITE  
SECRETARY OF STATE

1. Limited Liability Company Name: Iron Energy LLC

2. Assumed Name: \_\_\_\_\_  
(This item is only applicable if the company name in item 1 is not available for use in Illinois, in which case form LLC 1.20 must be completed and submitted with this application.)

3. Jurisdiction of Organization: Texas

4. Date of Organization: September 26, 2011

5. Period of Duration: Perpetual  
(Enter Perpetual unless there is a Date of Dissolution provided in the agreement, in which case enter that date.)

6. Address of the Office required to be maintained in the jurisdiction of its organization or, if not required, of the Principal Place of Business: (P.O. Box alone or c/o is unacceptable.)

5000 Bee Caves Rd 106  
Number Street Suite #  
Austin, TX 78746  
City,State ZIP Code

7. Registered Agent: Capitol Corporate Services, Inc.  
First Name Middle Name Last Name

Registered Office: 1315 W Lawrence Ave  
(P.O. Box alone or c/o is unacceptable.) Number Street Suite #  
Springfield Illinois 62704  
City Zip Code

8. If applicable, Date on which Company first conducted business in Illinois: \_\_\_\_\_

(continued on back)

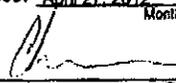
07921972  
04/27/12

LLC-45.5

9. Purpose(s) for which the Company is Organized and Proposes to Conduct Business in Illinois: \_\_\_\_\_  
Retail sale of energy, principally sell electricity and natural gas  
\_\_\_\_\_  
\_\_\_\_\_

10. The Limited Liability Company: (check one)  
a.  is managed by the manager(s) (List names and addresses.)  
David Luppino 5000 Bee Caves Rd, Suite 106 Austin, TX 78746  
Chad Gallun 5000 Bee Caves Rd, Suite 106 Austin, TX 78746  
Mika Elhaj 5000 Bee Caves Rd, Suite 106 Austin, TX 78746  
\_\_\_\_\_  
\_\_\_\_\_  
b.  has management vested in the members(s) (List names and addresses.)  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

- 11. The Illinois Secretary of State is hereby appointed the agent of the Limited Liability Company for service of process under circumstances set forth in subsection (b) of Section 1-50 of the Illinois Limited Liability Company Act.
- 12. This application is accompanied by a Certificate of Good Standing or Existence, duly authenticated within the last 60 days, by the officer of the state or county wherein the LLC is formed.
- 13. The undersigned affirms, under penalties of perjury, having authority to sign hereto, that this application for admission to transact business is to the best of my knowledge and belief, true, correct and complete.

Dated: April 27, 2012  
Month, Day, Year  
  
\_\_\_\_\_  
Signature

Chad Gallun, Manager  
Name and Title (type or print)

\_\_\_\_\_  
If applicant is signing for a Company or other Entity, state Name of Company and indicate whether it is a member or manager of the LLC.

LLC-45.5  
Item 12

Corporations Section  
P.O.Box 13697  
Austin, Texas 78711-3697



03921972  
04/27/12  
Hope Andrade  
Secretary of State

## Office of the Secretary of State

### Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Certificate of Formation for Iron Energy LLC (file number 801485990), a Domestic Limited Liability Company (LLC), was filed in this office on September 26, 2011.

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on April 27, 2012.



A handwritten signature in cursive script, appearing to read "Hope Andrade".

Hope Andrade  
Secretary of State

Phone: (512) 463-3555  
Prepared by: SOS-WEB

Come visit us on the internet at <http://www.sos.state.tx.us/>

Fax: (512) 463-3709  
TID: 10264

Dial: 7-1-1 for Relay Services  
Document: 419178680003

Form **LLC-1.20**  
May 2012

Secretary of State  
Department of Business Services  
Limited Liability Division  
501 S. Second St., Rm. 351  
Springfield, IL 62756  
217-524-6008  
www.cyberdriveillinois.com

Payment may be made by check payable to Secretary of State. If check is returned for any reason this filing will be void.

Illinois  
Limited Liability Company Act  
Application to Adopt, Change, Cancel  
or Renew an Assumed Name

**SUBMIT IN DUPLICATE**  
Type or Print Clearly.  
This space for use by Secretary of State.

Filing Fee (See Note): \$ 90.00  
Approved: [Signature]

FILE # 03921972  
This space for use by Secretary of State.

**FILED**  
**OCT 24 2012**  
**JESSE WHITE**  
**SECRETARY OF STATE**

1. Limited Liability Company Name: Iron Energy LLC
  
2. State or Country under the laws of which the company is organized: (check one)  
 Illinois (domestic)     Foreign (specify): Texas
  
3. Date organized (if an Illinois Limited Liability Company) or date authorized to transact business in Illinois (if a foreign Limited Liability Company): 4/27/2012
  
4. TO ADOPT:  
(a) The Limited Liability Company intends to adopt and transact business under the assumed name of: Kona Energy  
(b) The right to use the assumed name shall be effective from the date this application is filed by the Secretary of State until 4/1, 20 15, the first day of the company's anniversary month in the next year which is evenly divisible by five.
  
5. TO CHANGE:  
(a) The above-named Limited Liability Company intends to cease transacting business under the assumed name of: \_\_\_\_\_  
(b) and to commence transacting business under the new assumed name of: \_\_\_\_\_
  
6. TO CANCEL: The above-named Limited Liability Company intends to cease transacting business under the assumed name of: \_\_\_\_\_
  
7. TO RENEW:  
(a) The above-named Limited Liability Company intends to renew the assumed name of: \_\_\_\_\_  
(b) The right to use the assumed name shall be effective from the date this application is filed by the Secretary of State until \_\_\_\_\_, 20 \_\_\_\_\_, the first day of the company's anniversary month in the next year which is evenly divisible by five.

LLC-1.20

3. The undersigned affirms, under penalties of perjury, having authority to sign hereto, that this Application to Adopt, Change, Cancel or Renew an Assumed Name Is to the best of my knowledge and belief, true, correct and complete.

Dated: October 17 2012  
Month/Day Year

  
Signature

Chad Galun  
Name (type or print)

Manager  
If applicant is a company or other entity, state name of company  
and indicate whether it is a member or manager of the LLC.

- NOTE:
- a. An assumed name may be adopted in five-year increments. The right to use an assumed name shall be effective from the date of filing by the Secretary of State until the first day of the anniversary month of the Limited Liability Company that falls within the next calendar year evenly divisible by 5.
  - b. The filing fee to adopt an assumed name is \$150 for each year or part thereof ending in 0 or 5; \$120 for each year or part thereof ending in 1 or 6; \$90 for each year or part thereof ending in 2 or 7; \$60 for each year or part thereof ending in 3 or 8; or \$30 for each year or part thereof ending in 4 or 9.
  - c. The fee to change an assumed name is \$100.
  - d. The fee for canceling an assumed name is \$100.
  - e. The fee to renew an assumed name is \$150. An assumed name may be renewed 60 days prior to the expiration of the right to use the assumed name, for a period of five years, by making an election to do so at the time of filing the Annual Report and by paying the renewal fees as prescribed by this Act.
  - f. A penalty of \$100 will apply to any assumed name renewed on or after the first day of the company's anniversary month. If the assumed name is not renewed within the 60 days commencing with the first day of the company's anniversary month, the right to use the assumed name shall cease.

Form **LLC-1.20**  
May 2012

Secretary of State  
Department of Business Services  
Limited Liability Division  
501 S. Second St., Rm. 351  
Springfield, IL 62768  
217-624-8008  
www.cyberdriveillinois.com

Payment may be made by check payable to Secretary of State. If check is returned for any reason this filing will be void.

Illinois  
Limited Liability Company Act  
Application to Adopt, Change, Cancel  
or Renew an Assumed Name

**SUBMIT IN DUPLICATE**

Type or Print Clearly.

This space for use by Secretary of State.

Filing Fee (See Note): \$ 9000

Approved: [Signature]

FILE # 08921972

This space for use by Secretary of State.

**FILED**

**OCT 24 2012**

**JESSE WHITE**  
SECRETARY OF STATE

1. Limited Liability Company Name: Iron Energy LLC

2. State or Country under the laws of which the company is organized: (check one)

Illinois (domestic)  Foreign (specify): Texas

3. Date organized (if an Illinois Limited Liability Company) or date authorized to transact business in Illinois (if a foreign Limited Liability Company): 4/27/2012

4. TO ADOPT: (a) The Limited Liability Company intends to adopt and transact business under the assumed name of: Zone Energy  
(b) The right to use the assumed name shall be effective from the date this application is filed by the Secretary of State until 4/1 2015, the first day of the company's anniversary month in the next year which is evenly divisible by five.

5. TO CHANGE: (a) The above-named Limited Liability Company intends to cease transacting business under the assumed name of: \_\_\_\_\_  
(b) and to commence transacting business under the now assumed name of: \_\_\_\_\_

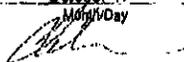
6. TO CANCEL: The above-named Limited Liability Company intends to cease transacting business under the assumed name of: \_\_\_\_\_

7. TO RENEW: (a) The above-named Limited Liability Company intends to renew the assumed name of: \_\_\_\_\_  
(b) The right to use the assumed name shall be effective from the date this application is filed by the Secretary of State until \_\_\_\_\_, 20\_\_\_\_, the first day of the company's anniversary month in the next year which is evenly divisible by five.

LLC-1.20

3. The undersigned affirms, under penalties of perjury, having authority to sign hereto, that this Application to Adopt, Change, Cancel or Renew an Assumed Name is to the best of my knowledge and belief, true, correct and complete.

Dated: October 17 2012  
Month/Day Year

  
Signature

Chad Gallun  
Name (type or print)

Manager  
If applicant is a company or other entity, state name of company and indicate whether it is a member or manager of the LLC.

- NOTE:**
- a. An assumed name may be adopted in five-year increments. The right to use an assumed name shall be effective from the date of filing by the Secretary of State until the first day of the anniversary month of the Limited Liability Company that falls within the next calendar year evenly divisible by 5.
  - b. The filing fee to adopt an assumed name is \$150 for each year or part thereof ending in 0 or 5; \$120 for each year or part thereof ending in 1 or 6; \$90 for each year or part thereof ending in 2 or 7; \$60 for each year or part thereof ending in 3 or 8; or \$30 for each year or part thereof ending in 4 or 9.
  - c. The fee to change an assumed name is \$100.
  - d. The fee for canceling an assumed name is \$100.
  - e. The fee to renew an assumed name is \$150. An assumed name may be renewed 60 days prior to the expiration of the right to use the assumed name, for a period of five years, by making an election to do so at the time of filing the Annual Report and by paying the renewal fees as proscribed by this Act.
  - f. A penalty of \$100 will apply to any assumed name renewed on or after the first day of the company's anniversary month. If the assumed name is not renewed within the 60 days commencing with the first day of the company's anniversary month, the right to use the assumed name shall cease.