

ORIGINAL

OFFICIAL FILE
ILLINOIS COMMERCE COMMISSION
ROCKWELL UTILITIES, LLC
201 Juniper Circle, Streamwood, IL 60107

ILLINOIS COMMERCE
COMMISSION

2012 OCT - 11 P 12: 52

September 7, 2012

CHIEF CLERK'S OFFICE

Ms. Elizabeth Rolando
Chief Clerk
527 E. Capitol Ave.
Springfield, IL 62701

Ms. Mary Selvaggio
Accounting Manager
527 E. Capitol Ave.
Springfield, IL 62701

Report
06-0522+
06-0523(c)

Re: Financial Information for the Six Months Ended June 30, 2012

Dear Madam:

Pursuant to the Order entered in Dockets 06-5022 and 06-0523 (Consol.) herewith please find the actual financial information for Rockwell Utilities, LLC for the six month period ending June 30, 2012, including:

- o Comparative Balance Sheet, which includes aggregate plant investment and contributions in aid of construction.
- o Comparative Statement of Income, which includes revenues, and direct and allocated expenses.
- o Schedule of Other Information, which includes number of customers, status of construction projects, and services provided by Kirk to Rockwell and the related charges.

Sincerely,

Rockwell Utilities, LLC



Michael S. Albach

UTILITY NAME				Date of Report	
Rockwell Utilities, LLC				June 30, 2012	
COMPARATIVE BALANCE SHEET					
Acct. No. (a)	ACCOUNT NAME (b)	REF. PAGE (c)	June 30, 2012 (d)	June 30, 2011 (e)	
UTILITY PLANT					
101-106	Utility Plant	11F	\$8,252,841	\$8,243,866	
108-110	Less: Accumulated Depreciation and Amortization	11F	(1,625,619)	(1,484,486)	
	Net Plant		\$6,627,222	\$6,759,380	
114-115	Utility Plant Acquisition Adjustments(net)	11F	(380,209)	(406,430)	
116	Other Utility Plant Adjustment				
	Net Utility Plant		\$6,247,013	\$6,352,950	
OTHER PROPERTY AND INVESTMENTS					
121	Non-utility Property				
122	Less: Accumulated Depreciation and Amortization				
	Net Non-utility Plant		\$0	\$0	
123	Investment in Associated Companies				
124	Utility Investments				
125	Other Investments				
126-127	Special Funds				
	Total Other Property & Investments		\$0	\$0	
CURRENT AND ACCRUED ASSETS					
131	Cash		\$252,235	\$135,728	
132	Special Deposits				
133	Other Special Deposits				
134	Working Funds				
135	Temporary Cash Investments				
141-144	Accounts & Notes Receivable, Less Accumulated Provision For Uncollectible Accounts		39,346	38,921	
145	Accounts Receivable from Associated Companies	12F			
146	Notes Receivable from Associated Companies	12F			
151-153	Materials and Supplies	12F			
161	Stores Expenses				
162	Prepayments	12F	1,616	2,265	
171	Accrued Interest and Dividends Receivable				
172	Rents Receivable				
173	Accrued Utility Revenues				
174	Miscellaneous Current and Accrued Assets				
	Total Current and Accrued Assets		\$293,197	\$176,914	
DEFERRED DEBITS					
181	Unamortized Debt Discount & Expense	13F			
182	Extraordinary Property Losses	13F			
183	Preliminary Survey & Investigation Charges		0	0	
184	Clearing Accounts				
185	Temporary Facilities				
186	Miscellaneous Deferred Debits	12F			
187	Research & Development Expenditures				
190	Accumulated Deferred Income Taxes				
	Total Deferred Debits		\$0	\$0	
	TOTAL ASSETS AND OTHER DEBITS		\$6,540,210	\$6,529,864	

UTILITY NAME Rockwell Utilities, LLC	Date of Report June 30, 2012
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COMPARATIVE BALANCE SHEET

Acct. No. (a)	ACCOUNT NAME (b)	REF. PAGE (c)	June 30, 2012 (d)	June 30, 2011 (e)
EQUITY CAPITAL				
201	Common Stock Issued	14F		
204	Preferred Stock Issued	14F		
202,205	Capital Stock Subscribed			
203,206	Capital Stock Liability for Conversion			
207	Premium on Capital Stock			
209	Reduction in Par or Stated Value of Capital Stock			
210	Gain on Resale or Cancellation of Reacquired Capital Stock			
211	Other Paid-In Capital			
212	Discount on Capital Stock			
213	Capital Stock Expense			
214,215	Retained Earnings	14F	1,765,306	1,058,743
216	Reacquired Capital Stock			
218	Proprietary Capital(Proprietorship & Partnership Only)			
	Total Equity Capital		\$1,765,306	\$1,058,743
LONG-TERM DEBT				
221	Bonds			
222	Reacquired Bonds			
223	Advances from Associated Companies			
224	Long-Term Debt			
	Total Long-Term Debt		\$0	\$0
CURRENT AND ACCRUED LIABILITIES				
231	Accounts Payable		\$27,179	\$32,010
232	Notes Payable	13F		
233	Accounts Payable to Associated Companies	14F		
234	Notes Payable to Associated Companies	13F	2,647,959	1,588,114
235	Customer Deposits		4,013	4,286
236	Accrued Taxes		13,489	7,682
237	Accrued Interest			
238	Accrued Dividends			
239	Matured Long-Term Debt			
240	Matured Interest			
241	Miscellaneous Current and Accrued Liabilities		\$0	\$1,710,000
	Total Current and Accrued Liabilities		\$2,692,640	\$3,342,092
DEFERRED CREDITS				
251	Unamortized Premium on Debt	13F		
252	Advances for Construction	15F		
253	Other Deferred Credits			
255	Accumulated Deferred Investment Tax Credits			
	Total Deferred Credits		\$0	\$0

UTILITY NAME Rockwell Utilities, LLC	Date of Report June 30, 2012
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COMPARATIVE BALANCE SHEET

Acct. No. (a)	ACCOUNT NAME (b)	REF. PAGE (c)	June 30, 2012 (d)	June 30, 2011 (e)
	OPERATING RESERVES			
261	Property Insurance Reserve			
262	Injuries and Damages Reserve			
263	Pensions and Benefits Reserve			
265	Miscellaneous Operating Reserves			
	Total Operating Reserves		\$0	\$0
	CONTRIBUTIONS IN AID OF CONSTRUCTION			
271	Contributions In Aid of Construction	16F	\$2,338,298	\$2,338,298
272	Accumulated Amortization of Contributions In Aid of Construction		(256,034)	(209,269)
	Total Net C.I.A.C.		\$2,082,264	\$2,129,029
	ACCUMULATED DEFERRED INCOME TAXES			
281	Accumulated Deferred Income Taxes- Accelerated Depreciation			
282	Accumulated Deferred Income Taxes- Liberalized Depreciation			
283	Accumulated Deferred Income Taxes-Other		\$0	
	Total Accumulated Deferred Income Taxes		\$0	\$0
	TOTAL EQUITY CAPITAL AND LIABILITIES		\$6,540,210	\$6,529,864

\$0

NOTES TO THE BALANCE SHEET

This space is provided for important notes regarding the balance sheet.

UTILITY NAME				Date of Report
Rockwell Utilities, LLC				June 30, 2012
COMPARATIVE STATEMENT OF INCOME FOR THE SIX MONTH PERIOD				
Acct. No. (a)	ACCOUNT NAME (b)	REF. PAGE (c)	Current Six Months (d)	Six Months Ended 30-Jun-11 (e)
UTILITY OPERATING INCOME				
400	Operating Revenues	17W.23S	183,759	171,938
401	Operating Expenses	18Wa,24Sa	147,626	110,898
403	Depreciation Expenses	19W,25S	47,208	47,081
406	Amortization of Utility Plant Acquisition Adjustment		(13,111)	(13,111)
407.X	Amortization Expense			
408.1X	Taxes Other Than Income		\$13,337	\$17,106
409.1X	Income Taxes			
410.10	Deferred Federal Income Taxes			
410.1X	Deferred State Income Taxes			
411.10	Provision for Deferred Income Taxes			
412.10	Investment Tax Credits Deferred to Future Periods			
412.11	Investment Tax Credits Restored to Operating Income			
	Utility Operating Expenses		\$195,060	\$161,974
	Utility Operating Income		(\$11,301)	\$9,964
413	Income From Utility Plant Leased to Others			
414	Gains (Losses) From Disposition of Utility Property			
	Total Utility Operating Income		(\$11,301)	\$9,964
OTHER INCOME AND DEDUCTIONS				
415	Revenues from Merchandising, Jobbing & Contract Deductions			
416	Costs & Expenses of Merchandising, Jobbing & Contract Work			
419	Interest and Dividend Income			
420	Allowance for Funds Used During Construction			
421	Non-utility Income		25,000	128
426	Miscellaneous Non-utility Expenses			
	Total Other Income and Deductions		\$25,000	\$128
TAXES APPLICABLE TO OTHER INCOME				
408.20	Taxes Other Than Income			
409.20	Income Taxes			
410.20	Provision for Deferred Income Taxes			
411.20	Provision for Deferred Income Taxes-Credits			
412.20	Investment Tax Credits-Net			
412.30	Investment Tax Credits Restored to Operating Income			
	Total Taxes Applicable to Other Income		\$0	\$0
INTEREST EXPENSE				
427.X	Interest Expense		26,924	28,662
428	Amortization of Debt Discount & Expense	13F		
429	Amortization of Premium on Debt	13F		
	Total Interest Expense		\$26,924	\$28,662
EXTRAORDINARY ITEMS				
433	Extraordinary Income			
434	Extraordinary Deductions			
409.30	Income Taxes, Extraordinary Items			
	Total Extraordinary Items		\$0	\$0
	NET INCOME		(\$13,225)	(\$18,570)

Number of Customers:

Residential	199
Commercial	0
Multi-Family **	1
	<u>200</u>

** The 1 multi-family customer is an apartment complex with 497 units.

Status of Construction Projects: NONE

Schedule of Services Provided by Kirk to Rockwell:

	Jan	Feb	Mar	Apr	May	Jun
Accounts Payable / Receivable	-	-	-	-	-	-
Customer Billing	-	-	-	-	-	-
Office / Site Management	-	-	NONE	-	-	-
Additional Maintenance Work	-	-	-	-	-	-
Office Overhead Charge	-	-	-	-	-	-
Disbursements - Postage	-	-	-	-	-	-
Totals	-	-	-	-	-	-