



# Financial Requirements Part 451.320 (D)

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Attachment E



#### **Section 451.320 Financial Qualifications under Subpart D**

To meet the financial requirements stated in the Administrative Code Section 451.320 under subpart D, I certify that HIKO Energy, LLC ("HIKO") is a member of PJM, Midwest ISO; and HIKO purchases 100% of its physical electric energy from PJM, Midwest ISO and NYISO for delivery to service territories. HIKO will purchase 100% of its physical electric energy from PJM and Midwest ISO for delivery to the utilities in Illinois.

Attached is proof of membership with PJM and Midwest ISO.

A handwritten signature in black ink, appearing to read "Shevy Simins". The signature is stylized with loops and is positioned above the printed name.

Shevy Simins

Regulatory Supervisor



PJM Interconnection  
Valley Forge Corporate Center  
955 Jefferson Avenue  
Norristown, PA 19403-2497

Audrey D. Williams  
Paralegal, Contract Administrator  
610.666.4651 | fax 610.666.8211  
willia@pjm.com

December 12, 2011

VIA EMAIL

Shevy Simins  
HIKO Energy  
12 College Road  
Suite 100  
Monsey, NY 10972

Dear Shevy Simins,

HIKO Energy became a PJM Member on March 3, 2011, and is known on the PJM system by its short name of "HIKOE", Org. ID 20,757 and is a member in good standing.

HIKO Energy signed the PJM Reliability Assurance Agreement on January 5, 2011.

Should you require anything further, please advise.

Sincerely,

A handwritten signature in black ink, appearing to read 'Audrey', with a large, sweeping flourish extending to the right.

Audrey D. Williams  
Paralegal / Contract Administrator



**MIDWEST INDEPENDENT TRANSMISSION SYSTEM OPERATOR, INC.**  
720 City Center Drive, Carmel, IN 46032

**Anastasia M. Heinzelman**  
**Senior Manager, Customer Services**  
**Office: 317-249-5432**  
**Fax: 317-249-5361**  
**Email address: [aheinzelman@misoenergy.org](mailto:aheinzelman@misoenergy.org)**

August 27, 2012

HIKO Energy, LLC  
12 College Road  
Monsey, NY 10952

Dear Ms. Simins:

Thank you for submitting your Market Participant Application. The processing of your Registration packet has been completed and the Midwest Independent Transmission System Operator, Inc. (MISO) is pleased to welcome you as a Market Participant. Please review your Market Participant obligations as outlined in Section 38.2.5 of the Tariff. This welcome packet includes the following:

- A counter-executed copy of the Market Participant Agreement, also known as Attachment W.
- A counter-executed copy of Section XI- XIV of the Market Participant Application, if applicable.

For additional information on the Midwest ISO and the Midwest ISO Market, please visit the following web address: [www.midwestiso.org](http://www.midwestiso.org). This website will provide you with the following resources:

[Educational/Training Material \(or contact \[training@midwestiso.org\]\(mailto:training@midwestiso.org\)\)](#)

[Business Practice Manuals](#)

[Local Security Administrator Policy](#)

[Local Security Administrator Guide](#)

[Midwest ISO Committee Meetings and Presentations](#)

[FTR Information](#)

[News](#)

[Notifications](#)

[Non-Disclosure and Confidentiality Agreements](#)

[Transmission and Market Settlements](#)

[FTP User Guide](#)

[Market Participant Obligations \(Section 38.2.5 of Tariff\)](#)

[Asset Registration Tool User Guide](#)

Further, the Portal, which is located at <https://markets.midwestiso.org/MISO>, provides Market Participants the ability to partake in market activities including but not limited to the submission of bids and offers, download FTR allocations, and access to settlement statements. As a Market Participant, you also have the ability to submit a Service Request or Dispute through the Portal. Service Requests and Disputes are managed daily by both the Customer Service and Market Quality Departments at the Midwest ISO.

Should you have any questions or concerns, please do not hesitate to contact the Midwest ISO's Client Relations Department at 1.866.296.6476, and press option 1. Questions or concerns regarding Information Technology (IT) are addressed 24 hours a day by contacting 1.866.296.6476, and press option 2.

Welcome to the Midwest ISO!

Regards,

A handwritten signature in black ink that reads "Anastasia M. Heinzelman". To the right of the signature, the initials "gks" are written in a smaller, less distinct hand.

Anastasia M. Heinzelman  
Senior Manager of Customer Services  
Midwest Independent Transmission System Operator, Inc