

Certifications:

Safeguard Energy LLC Certifies that it

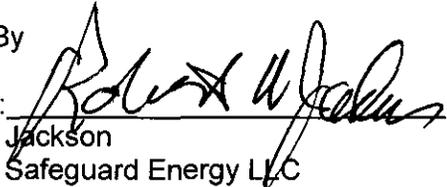
- a) is licensed to do business in the State of Illinois and is in compliance with all other applicable laws, regulations and Commission rules and orders; [454.40(c)(2)]
- b) shall comply with all terms and conditions required by Section 16-115C of the Act; [454.40(d)(2)]
- c) shall ensure any person who acts on behalf of the entity will comply with all sections of Part 454 applicable to the function or functions to be performed; [454.40(d)(3)]
- d) shall remain in compliance with the provisions of the Act and Part 454; [454.50]
- e) shall ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created; and [454.100(a)]
- f) shall preserve the confidentiality of its customers' data. [454.100(b)]

Safeguard Energy LLC certifies that it shall:

- a) Disclose in plain language in writing the nature of the services offered
- b) Prior to the customer signing a contract, disclose that they are not employed by the electric utility operating in the applicable service territory;
- c) Disclose in plain language in writing to all persons it solicits the total price per kilowatt-hour, and the total anticipated cost, inclusive of all fees or commissions received by the licensee, to be paid by the customer over the period of the proposed underlying customer contract. In the event a person or entity required to be licensed pursuant to this Part uses an electric supply usage estimate for a particular customer, the person or entity required to be licensed pursuant to this Part must use the same electric supply usage estimate for all service offers it presents to that particular customer;
- d) Any disclosure required by subsection (c) must be made prior to entering into the contract and signed by the customer;
- e) Disclose if applicable, to all customers, prior to the customer signing a contract the fact that they will be receiving compensation from the supplier;
- f) Not hold itself out as independent or unaffiliated with any RES, or both, or use words calculated to give that impression, unless the person or entity offering service under Section 16-115C of the Act has no contractual relationship with any RES or its affiliates regarding retail electric service in Illinois;

- g) Not utilize false, misleading, materially inaccurate, defamatory or otherwise deceptive language or materials in the soliciting or providing of its services;
- h) Maintain copies of all marketing materials disseminated to third parties for a period of not less than three years;
- i) Maintain copies of all disclosure statements required in subsections (a) and (b) for a period of not less than three years;
- j) Not present electricity pricing information in a manner that favors one supplier over another, unless a valid pricing comparison is made utilizing all relevant costs and terms; and
- k) Comply with the requirements of Sections 2EE, 2FF, 2GG and 2HH of the Consumer Fraud and Deceptive Business Practices Act [815 ILCS 505/2EE, 2FF, 2GG and 2HH].

Certified By

Signature: 

Robert A. Jackson

President Safeguard Energy LLC

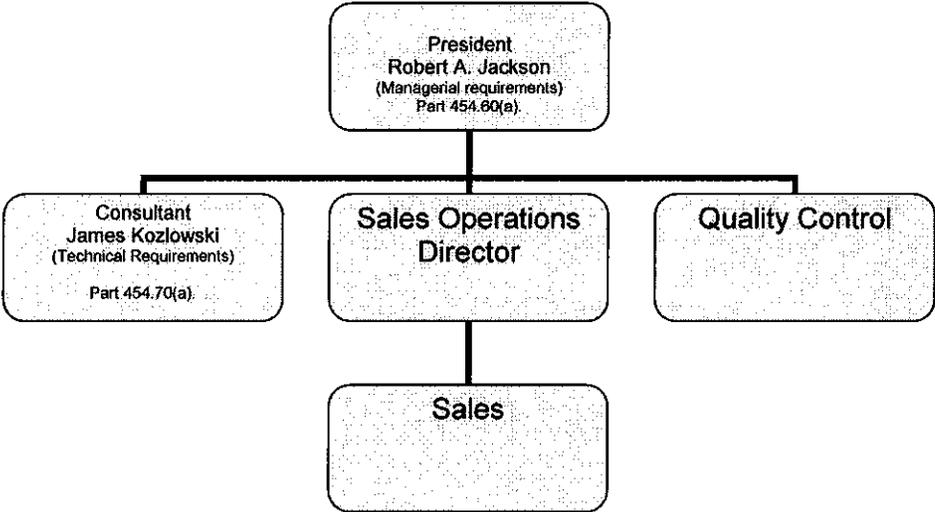
Date: 8/15/2012

Addendum A
Safeguard Energy LLC Application
MANAGERIAL LICENSING QUALIFICATIONS [Section 454.60

The following resume outlines the managerial Licensing Qualifications of Safeguard Energy LLC

Robert A. Jackson
Relevant years of Management experience: 21

- **2002 to Present: *Owner, Manager and President of PST Sales and Marketing***
Since 2002, Robert A. Jackson has been an owner, manager and leader of a Business-to-Business lead generation company currently employing 50 people and serving manufacturers, technology companies and business information publishers across the United States, fulfilling the licensing requirement of one year's business management experience.
- **1991 to 2001: *RSM McGladrey***
For 10 years prior to that he held various business leadership positions at RSM McGladrey, an accounting and consulting firm. Those positions included managing regional and national sales teams, and leading a Chicago area business software unit.



Addendum B
Safeguard Energy LLC Application
TECHNICAL LICENSING QUALIFICATIONS [Section 454.70

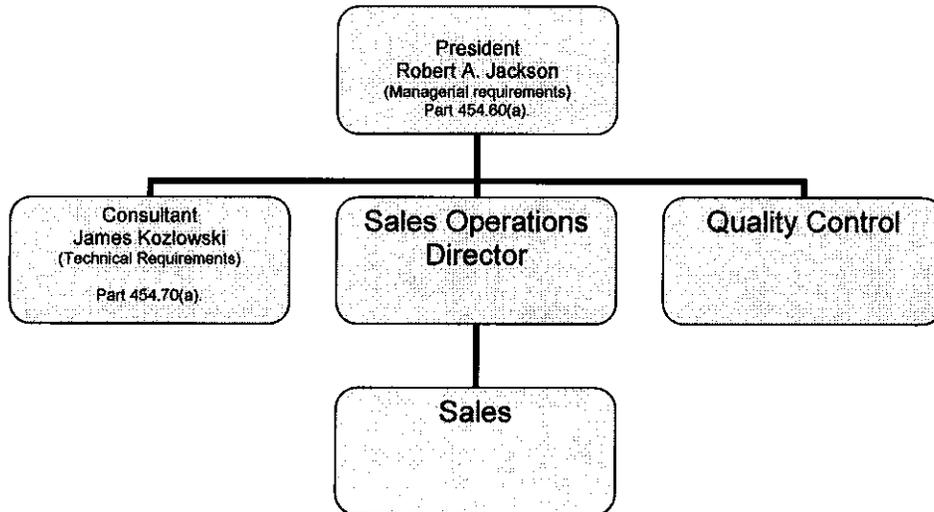
The following resume outlines the Technical Licensing Qualifications of Safeguard Energy LLC.

Relevant years of energy experience: 40

James Kozlowski – Energy Consultant

- **Over 40 years experience** in the energy industry, both on the supply and marketing/sales. Experience also included optimization of various energy assets for both LDC's and industrials.
- Marketing and sales management positions were held with El Paso Natural Gas, Louisville Gas & Electric, Madison Gas & Electric and Enron over a 30 year span.

Last position held for over 9 years (2002-2012) as retail sales manager for the Midwest for Spark Energy LP covering both electricity and natural gas. Electricity included sales behind ComEd in Illinois but also included sales in 5 other states.



Illinois



Western Surety Company

LICENSE BOND FOR RETAIL ELECTRICITY
SUPPLY AGENTS, BROKERS AND CONSULTANTS
(Ill. Admin. Code tit. 83, § 454.80)

Effective Date: August 7th, 2012

Bond No. 61431724

KNOW ALL PERSONS BY THESE PRESENTS:

That we, Safeguard Engery LLC

....., as Principal(s),
and WESTERN SURETY COMPANY, a corporation authorized to do surety business in the State of Illinois,
as Surety, are held and firmly bound unto THE PEOPLE OF THE STATE OF ILLINOIS, as Obligee, in the
sum of not to exceed FIVE THOUSAND AND NO/100 DOLLARS (\$5,000.00), for the payment of which well
and truly to be made, we bind ourselves and our legal representatives, firmly by these presents.

THE CONDITION of the above obligation is such that WHEREAS the Principal(s) has been or is about to be
granted a license to do business to operate as an ABC (Agent, Broker, or Consultant) under 220 ILCS
5/16-115C, and is required to execute this bond pursuant to 83 Illinois Administrative Code Part 454.80.

NOW, THEREFORE, if the Principal(s) shall fully and faithfully perform all the duties and obligations as an
ABC, then this obligation to be void; otherwise to remain in full force and effect. PROVIDED, this bond is
continuous and may be cancelled by the Surety as to future acts of the Principal(s) by giving thirty (30) days
notice in writing to the Obligee at 527 East Capitol Avenue, Springfield, Illinois 62701, and the Surety shall
be relieved of any further liability under this bond thirty (30) days after such notice is sent by certified mail.
Regardless of the number of years this bond shall continue in force, the number of claims made against this
bond, and the number of premiums which shall be payable or paid, the Surety's total limit of liability shall
not be cumulative from year to year or period to period, and in no event shall the Surety's total liability for
all claims exceed the amount set forth above. Any revision of the bond amount shall not be cumulative.

Dated this 6th day of August, 2012.

Safeguard Engery LLC, Principal

By *[Signature]*

....., Principal

By

WESTERN SURETY COMPANY, Surety

By *[Signature]*
Paul T. Bruffett, Vice President

Illinois



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Dated this 6th day of August, 2012

Safeguard Engery LLC Principal

By Robert A. Johnson
_____, Principal

By _____

WESTERN SURETY COMPANY, Surety

By Paul T. Bruffat
Paul T. Bruffat, Vice President

Western Surety Company

POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS:

That WESTERN SURETY COMPANY, a corporation organized and existing under the laws of the State of South Dakota, and authorized and licensed to do business in the States of Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming, and the United States of America, does hereby make, constitute and appoint

Paul T. Bruflat of Sioux Falls
State of South Dakota, its regularly elected Senior Vice President
as Attorney-in-Fact, with full power and authority hereby conferred upon him to sign, execute, acknowledge and deliver for and on its behalf as Surety and as its act and deed, the following bond:

One Retail Electric Agents, Brokers and Consultants

bond with bond number 61431724

for Safeguard Engery LLC

as Principal in the penalty amount not to exceed: \$ 5,000.00

Western Surety Company further certifies that the following is a true and exact copy of Section 7 of the by-laws of Western Surety Company duly adopted and now in force, to-wit:

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, any Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys-in-Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.

In Witness Whereof, the said WESTERN SURETY COMPANY has caused these presents to be executed by its Senior Vice President with the corporate seal affixed this 6th day of August, 2012

ATTEST

L. Nelson

L. Nelson, Assistant Secretary

WESTERN SURETY COMPANY

By

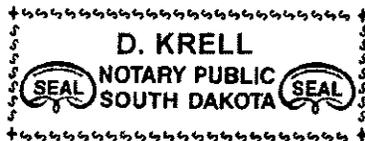
Paul T. Bruflat

Paul T. Bruflat, Senior Vice President

STATE OF SOUTH DAKOTA }
COUNTY OF MINNEHAHA } ss

On this 6th day of August, 2012, before me, a Notary Public, personally appeared Paul T. Bruflat and L. Nelson

who, being by me duly sworn, acknowledged that they signed the above Power of Attorney as Senior Vice President and Assistant Secretary, respectively, of the said WESTERN SURETY COMPANY, and acknowledged said instrument to be the voluntary act and deed of said Corporation.



My Commission Expires November 30, 2012

D. Krell

Notary Public

