

ILLINOIS COMMERCE COMMISSION

Oasis Power, LLC dba Oasis Energy :

Application for Certificate of  
Service Authority under Section  
16-115 of the Public Utilities Act. :

Docket No. 12-0420

APPLICATION

Oasis Energy ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a certificate of service authority pursuant to Section 16-115 of the Public Utilities Act ("Act"). In support of its application, Oasis Energy states as follows:

GENERAL 451.20, 451.30, 451.210, and 451.310

1. Applicant's name and street address: 451.20(c)(1)  
Oasis Power, LLC dba Oasis Energy  
11152 Westheimer, Suite 901  
Houston, TX 77042
2. Related Information:
  - Type of business entity: Limited Liability Corporation (LLC)
  - Jurisdiction in which and under whose laws business entity was created: Texas
  - Other names under which Applicant does business (D/B/A): Oasis Energy
  - Federal Employer Identification Number: 451.20(c)(2): 264071109
  - Registered Agent in Illinois:  
**NATIONAL REGISTERED AGENTS INC**  
**200 WEST ADAMS STREET**  
**CHICAGO, IL 60606-0000**
3. Contact Persons for the following:
  - a) Issues related to processing this application,  
**Amy Van Gelder**  
**Vice President**  
11152 Westheimer, Suite 901  
Houston, TX 77042  
Phone: 281-822-8702  
Fax: 866-352-8507  
[amyvg@oasisenergy.com](mailto:amyvg@oasisenergy.com)

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- b) Issues related to retail customers, including complaint resolution, and  
**Elley Marquez**  
**Customer Service Manager**  
11152 Westheimer, Suite 901  
Houston, TX 77042  
Phone: 281-822-8705  
Fax: 866-632-8635  
[emarquez@oasisenergy.com](mailto:emarquez@oasisenergy.com)
- c) Technical issues, including scheduling of generation, transmission, and distribution, and issues arising from relationships with other providers of electric services.

**Michael D. Osowski**  
**President**  
11152 Westheimer, Suite 901  
Houston, TX 77042  
Phone: 281-822-8701  
Fax: 866-352-8507  
[mosowski@oasisenergy.com](mailto:mosowski@oasisenergy.com)

Provide each contact person's name, title, mailing address, telephone number, facsimile number, and e-mail address.

4. Applicant agrees to accept service by electronic means. 451.30(e)
5. Oasis Energy is licensed to do business in the State of Illinois, as demonstrated in **Attachment A** 451.20(d)(1)
6. Oasis Energy will publish notice of its application for certification in the Official State Newspaper within 10 days following its filing of the application for certification. The applicant will file proof of publication with the Clerk of the Commission within 5 days after publication. 451.30(a)

**Oasis Energy intends to comply with the requirements of Section 451.30(a) by publishing notice of its application in the Official State Newspaper and providing proof of publication of said notice to the Commission under separate cover.**

7. Applicant's employees that will be installing, operating, and maintaining generation, transmission, or distribution facilities within the State of Illinois, as well as any entity with which the Applicant has contracted to perform those functions, have the requisite knowledge, skills, and competence to perform those functions in a safe and responsible manner in order to provide safe and reliable service in accordance with the criteria stated in Section 16-128(a) of the Act [220 ILCS 5/16-128(a)], as demonstrated in Attachment \_\_. 451.20(d)(2)

**Oasis Energy certifies that it has no intent to install, operate, or maintain any generation, transmission or distribution facilities.**

8. Description of Applicant's business. Applicant is not affiliated with an Illinois utility.

**Oasis Energy is not affiliated with an Illinois utility. Oasis Energy will market retail electricity as an alternative retail electric supplier in Illinois. Oasis Energy will supply electricity under a contract to retail customers which is consistent with its ARES certificate of service.**

**Oasis Energy, headquartered in Houston, Texas, since 2009, supplies electricity and natural gas to residential and non-residential customers in New York, Maryland, and Pennsylvania.**

9. Geographic area in which Applicant seeks to be authorized to offer service (if less than entire State, provide detailed description) and the types of services it intends to offer. 451.30(c)

**451.30(c)(1) - Oasis Energy supplies electricity to its retail customers.**

**451.30(c)(2) - Oasis Energy is seeking to offer retail electricity to both residential and non-residential customers in the Commonwealth Edison service territory.**

10. Identification of the Part 451 subpart (Subpart B, C, D, or E) under which the applicant is filing. Description of the characteristics of customer group(s) Applicant proposes to serve. 451.30(c) (2)

**Oasis Energy is filing this application under Part 451 Subpart D, to serve all retail customers, including residential and non-residential customers.**

**Oasis Energy certifies that it does not seek to serve customers within a geographic area that is smaller than an electric utility's service area. Oasis Energy further certifies that it will not deny service to a customer or group of customers nor establish any differences as to prices, terms, conditions, services, products, facilities, or in any other respect, whereby such denial or differences are based upon race, gender or income nor deny service to a customer or group of customers based on locality nor establish any unreasonable difference as to prices, terms, conditions, services, products, or facilities as between localities.**

**451.310(c)(1)**

**Oasis Energy has not been denied an electric supplier license in any state in the United States.**

451.310(c)(2)

**Oasis Energy has not had an electric supplier license suspended or revoked in any state in the United States.**

451.310(c)(3)

**Oasis Energy does not have other electric supplier licenses pending in the United States.**

451.310(c)(3)

**Oasis Energy is not the subject of any lawsuits or formal complaints which seek a binding determination from a state or federal regulatory body.**

11. Proof of notification to each utility of Oasis Energy's intent to serve in the utility's service area is provided in **Attachment B**. 451.30(c)(3)
12. **Applicant certifies that it:**
  - a) will comply with all applicable Federal, State, regional and industry rules, practices, policies, procedures and tariffs for the use, operation, maintenance, safety, integrity, and reliability of the interconnected electric transmission system; 451.20(a)
  - b) will comply with informational and reporting requirements that the Commission may by rule establish; 451.20(b)
  - c) complies with all other applicable laws and regulations and Commission rules and orders; 451.20(e)
  - d) complies with all terms and conditions required by Sections 16-115A(a), (b), and (f), 16-119, 16-123, 16-125(b) and (c), 16-127, and 16-128(a) of the Act, to the extent those Sections have application to the services being offered by the alternative retail electric supplier; 451.30(d)(2) and
  - e) complies with all terms and conditions required by Section 16-115A(c) of the Act. 451.310(a)
13. Oasis Energy agrees to submit good faith schedules of transmission and energy in accordance with applicable tariffs. 451.20(a)
14. Oasis Energy certifies that it will provide for review by Staff on a confidential and proprietary basis data related to contracts for the purchase and sale of electric power and energy; 451.20(b)
15. Oasis Energy certifies the procurement of renewable energy resources as required by Section 16-115D and subsection (d) of Section 16-115 of the Act, or shall certify that Section 16-115D and subsection (d) of Section 16-115 of the Act do not apply to it pursuant to subsection (h) of Section 16-115D of the Act. 451.20(f).
16. Oasis Energy certifies electricity will be sourced from clean coal facilities, as required by Section 16-115(d)(5) of the Act. 451.20(g)

ARES Applicant: Oasis Energy

17. Oasis Energy agrees to adopt and follow rules and procedures ensuring that authorizations received from customers, customer billing records, and requests for delivery service transmitted to utilities are retained for a period of not less than two calendar years after the calendar year in which they were created. 451.40(a)
18. Oasis Energy agrees to adopt and follow rules and procedures to preserve the confidentiality of its customer's data. 451.40(b)
19. **Oasis Energy does not have and has never been given authority from the Commission to be an ARES.**
20. A statement to disclose whether it is licensed as an alternative retail electric supplier in any other jurisdictions, similar to the licensure required under Section 16-115 of the Illinois Public Utilities Act. Additionally, the Applicant is directed to disclose whether it has had any complaints filed against it for its provision of any services in the electric or gas industry in this or any other jurisdiction. If yes, the Applicant is directed to include in its response the nature of the complaint, the jurisdiction, and the ultimate resolution.

**Oasis Energy is licensed and authorized to supply electricity and natural gas to residential and commercial customers in New York, Maryland, and Pennsylvania. ATTACHMENT C includes license numbers for each market for which Oasis Energy is approved as a retail electricity supplier.**

**Oasis Energy is in good standing with respect to each jurisdiction's dispute resolution process. Oasis Energy has no recorded complaints which have escalated to require binding determination from a regulatory body. See ATTACHMENT D for the nature, jurisdiction, and resolution of the complaints filed against Oasis Energy.**

LICENSE OR PERMIT BOND 451.50

21. Applicant is required to execute and maintain a copy of the license or permit bond in the name of the People of the State of Illinois issued by a qualifying surety or insurance company authorized to transact business in the State of Illinois. The amount of the bond shall equal the amount specified in Part 451.50(a) for the appropriate group of customers the Applicant seeks certification to serve.

**Oasis Energy certifies that it has paid for the cost of the bond included with this Application as part of Attachment E.**

FINANCIAL QUALIFICATIONS 451.320

22. Oasis Energy meets the financial criteria set forth in Part 451.320(a)(3), as demonstrated in **Attachment F**.

23. Applicant will not provide electric power and energy with property, plant and equipment that it owns, controls, or operates.

**Oasis Energy certifies that it will not provide electric power and energy with property, plant, and equipment that it owns, controls, or operates and will contact the commission if and before it ever decides to do so.**

TECHNICAL QUALIFICATIONS 451.330

24. Oasis Energy will not use electric generation, transmission or distribution facilities that it owns, controls or operates in serving customers.

Oasis Energy meets the requirements of **Part 451.330** as demonstrated in **Attachment G**.

The following individuals on Oasis Energy's staff have experience buying and selling power and energy in wholesale markets: Michael D. Osowski, Amy D. Van Gelder

The following individuals on Oasis Energy's staff have experience working for an entity that is either a member of PJM, a market participant in the Midwest ISO, or has a system operator certificate from NERC, or has earned Certified Energy Procurement Professional status by the Association of Energy Engineers (or equivalent certification): Michael D. Osowski, Amy D. Van Gelder, John D. Lupo

Oasis Energy agrees to maintain the following telephone number, fax number, and address where Oasis Energy's staff can be directly reached at all times. Oasis Energy understands that maintenance of an answering service or machine, pager, or similar message-taking procedure does not satisfy this requirement. 451.330(c)

Telephone # **832-444-8620**

Fax # **866-352-8507**

Address **10375 Richmond, Suite 1440 Houston, Texas 77042**

MANAGERIAL QUALIFICATIONS 451.340

25. Oasis Energy meets the managerial qualifications set forth in Part 451.340, as demonstrated in **Attachment H**. **Attachment H** also includes an exhibit containing a corporate organizational chart and identifying the persons or agents who are being used to meet each of the requirements of Part 451.340(b).

The following individuals in management positions on Oasis Energy's staff have four years experience with enterprise financial and administration responsibilities including profit and loss responsibilities: Michael D. Osowski, Amy D. Van Gelder, John D. Lupo, and Kevin Grewal

The following three individuals in management positions on Oasis Energy's staff have four years experience buying and selling power and energy in wholesale markets and four years electric system operational experience: Michael D. Osowski, Amy D. Van Gelder, and John D. Lupo

26. Applicant is not relying on one or more agents or contractors to meet the technical and managerial requirements of Part 451.330 and 451.340.

FINANCIAL QUALIFICATIONS FOR SINGLE BILLING SERVICE 451.510

27. **Oasis Energy intends to provide single billing services.** A demonstration that Oasis Energy meets one of the four creditworthiness standards as required in Part 451.510 is set forth in **Attachment I**.

GENERAL PROVISIONS FOR APPLICANTS FILING UNDER SUBPART D 451.310

28. Oasis Energy has not been denied an electric supplier license in any state in the United States.
29. Oasis Energy has not had an electric supplier license suspended or revoked by any state in the United States.
30. Oasis Energy does not have other electric supplier license applications pending in the United States:
31. Oasis Energy is not the subject of any lawsuits that were filed in a court of law or formal complaints that were filed with a regulatory agency alleging fraud, deception or unfair marketing practices, or other similar allegations. 451.310(c)(4)
32. Oasis Energy certifies that its marketing materials which make statements concerning prices, terms and conditions of service contain information that adequately discloses the prices, terms and conditions of the products or services that the Applicant is offering or selling to the customer. 451.310(d)(1)

33. Oasis Energy certifies that before any customer is switched from another supplier, Oasis Energy will give the customer written information that adequately discloses, in plain language, the prices, terms and conditions of the products and services being offered and sold to the customer. 451.310(d)(2)
34. Oasis Energy certifies it will provide documentation to the Commission and to customers that substantiates any claims made by the Applicant regarding the technologies and fuel types used to generate the electricity offered or sold to customers. 451.310(d)(3)
35. Oasis Energy certifies it will provide to the customer itemized billing statements that describe the products and services provided to the customer and their prices, and provide an additional statement, at least annually, that adequately discloses the average monthly prices, and the terms and conditions, of the products and services sold to the customer. 451.310(d)(4)
36. Oasis Energy certifies it will include materials comprising the consumer education program (pursuant to Section 16-117 of the Act [220 ILCS 5/16-117]) with all initial mailings to potential residential and small commercial retail customers and before executing any agreements or contracts with such customers, and that Applicant will provide these materials at no charge to residential and small commercial retail customers upon request. 451.310(e) and 451.310(f)
37. Oasis Energy certifies it will provide to residential and small commercial retail customers on a semiannual basis information on how to obtain a list of alternative retail electric suppliers that have been found in the last 3 years by the Commission (pursuant to Section 10-108 of the Act [220 ILCS 5/10-108]) to have failed to provide service in accordance with the terms of their contracts (pursuant to Section 16-117(g)(4)(C) of the Act). 451.310(g)
38. Oasis Energy certifies it will maintain sufficient managerial resources and abilities to provide the service for which it has a certificate of authority. 451.340(d)

WHEREFORE, Oasis Energy requests that the Commission grant its application for service authority to serve residential and non-residential retail customers in Commonwealth Edison service territory.

Respectfully submitted,

Oasis Power, LLC dba Oasis Energy

By



Amy Van Gelder, Vice President

VERIFICATION

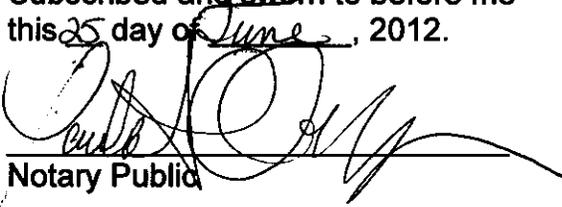
STATE OF TEXAS) )  
COUNTY OF HARRIS) ss:

Michael D. Osowski, being first duly sworn, deposes and says that he is the President of Oasis Energy; that he has read the foregoing Application of Oasis Energy, and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of his knowledge, information and belief.

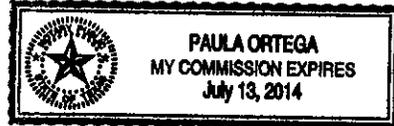


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Michael D. Osowski, President

Subscribed and sworn to before me  
this 25 day of June, 2012.



\_\_\_\_\_  
Notary Public





**OASIS POWER, LLC dba  
OASIS ENERGY**

**LIST OF ATTACHMENTS**

<b>ID</b>	<b>Description</b>	<b>IL Code Part</b>
A	Illinois Approval to Transact Business	451.20(d)(1)
B	Proof of Notification to Serve in Com Ed's Service Territory	451.30(c)(3)
C	Licensed Jurisdictions	16-115 of the Illinois Public Utilities Act.
D	Informal Regulatory Complaints	16-115 of the Illinois Public Utilities Act.
E	Permit Bond	451.50
F	Financial Qualifications	451.320(a)(3)
G	Technical Qualifications	451.330
H	Managerial Qualifications	451.340 / 451.340(b)
I	Financial Qualifications under Subpart F	451.510(a)