

[Form for ARES Applicants]

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION

Major Energy Electric Services LLC

Application for Certificate of
Service Authority under Section
16-115 of the Public Utilities Act.

Docket No.

12-0184

CHIEF CLERK'S OFFICE

2012 MAR - 9 1 A 10: 24

ILLINOIS COMMERCE
COMMISSION

APPLICATION

Major Energy Electric Services LLC] ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a certificate of service authority pursuant to Section 16-115 of the Public Utilities Act ("Act"). In support of its application, Applicant states as follows:

GENERAL [451.20, 451.30, 451.210, and 451.310]

1. Applicant's name and street address: [451.20(c)(1)]
Major Energy Electric Services, LLC
100 Dutch Hill Rd, Suite 310
Orangeburg NY 10962
2. Related Information:
 - Type of business entity: **LLC**
 - Jurisdiction in which and under whose laws business entity was created: **NY**
 - Federal Employer Identification Number: **26-1083006** [451.20(c)(2)]
 - Registered Agent in Illinois:
Vcorp Agent Services, Inc.
2920 W. Coyle Avenue
Chicago, IL 60645
3. Contact Persons for the following:
 - a) Issues related to processing this application:
Adam Small
In-House Counsel
100 Dutch Hill Rd, Suite 310
Orangeburg NY 10962
Tel: 347-274-0893

Fax: 718-228-2552
asmall@majorenergy.com

- b) Issues related to retail customers, including complaint resolution:

Asher Fried
100 Dutch Hill Rd, Suite 310
Orangeburg NY 10962
Tel: 1-888-625-6760, Ext. 3804
Fax: 718-228-2552
asherfried@majorenergy.com

Mark Berger
100 Dutch Hill Rd, Suite 310
Orangeburg NY 10962
Tel: 1-888-625-6760, Ext. 3832
Fax: 718-228-2552
mberger@majorenergy.com

- c) Technical issues, including scheduling of generation, transmission, and distribution, and issues arising from relationships with other providers of electric services.

Levi Moeller
VP of Supply
100 Dutch Hill Rd, Suite 310
Orangeburg NY 10962
Tel: 845-480-7421
Fax: 718-228-2552
lmoeller@majorenergy.com

4. Applicant agrees to accept service by electronic means. [451.30(e)]
5. Applicant is licensed to do business in the State of Illinois, as demonstrated in Attachment "A". [451.20(d)(1)]
6. Applicant will publish notice of its application for certification in the Official State Newspaper within 10 days following its filing of the application for certification. The applicant will file proof of publication with the Clerk of the Commission within 5 days after publication. [451.30(a)]
7. Applicant will not be installing, operating, and maintaining generation, transmission, or distribution facilities within the State of Illinois.. [451.20(d)(2)]
8. Description of Applicant's business: **Applicant is an energy service company. Applicant is not affiliated with an Illinois utility.** [451.30(c)(1)]
9. Geographic area in which Applicant seeks to be authorized to offer service and the types of services it intends to offer: **Applicant intends to offer power**

supply services within the **entire** service area of Commonwealth Edison Company. [451.30(c)]

10. Identification of the Part 451 subpart (Subpart B, C, D, or E) under which the applicant is filing. **Applicant proposes to serve customers in Subpart D.** [451.30(c)(2)]
11. Applicant notified the designated in-state agent for each affected utility that Applicant intends to serve customers in that utility's service area, as demonstrated in Attachment "B". [451.30(c)(3)]
12. Applicant certifies that it:
 - a) will comply with all applicable Federal, State, regional and industry rules, practices, policies, procedures and tariffs for the use, operation, maintenance, safety, integrity, and reliability of the interconnected electric transmission system; [451.20(a)]
 - b) will comply with informational and reporting requirements that the Commission may by rule establish; [451.20(b)]
 - c) complies with all other applicable laws and regulations and Commission rules and orders; [451.20(e)]
 - d) complies with all terms and conditions required by Sections 16-115A(a), (b), and (f), 16-119, 16-123, 16-125(b) and (c), 16-127, and 16-128(a) of the Act, to the extent those Sections have application to the services being offered by the alternative retail electric supplier; [451.30(d)(2)] and
 - e) Complies with all terms and conditions required by Section 16-115A(c) of the Act. [451.210 and 451.310(a)]
13. Applicant agrees to submit good faith schedules of transmission and energy in accordance with applicable tariffs. [451.20(a)]
14. Applicant certifies that it will provide for review by Staff on a confidential and proprietary basis data related to contracts for the purchase and sale of electric power and energy; [451.20(b)]
15. Applicant certifies it will procure renewable energy resources as required by Section 16-115D and subsection (d) of Section 16-115 of the Act, [451.20(f)].
16. Applicant certifies that it will source electricity from clean coal facilities, as required by Section 16-115(d)(5) of the Act. [451.20(g)]
17. Applicant agrees to adopt and follow rules and procedures ensuring that authorizations received from customers, customer billing records, and requests for delivery service transmitted to utilities are retained for a period of not less than two calendar years after the calendar year in which they were created. [451.40(a)]

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18. Applicant agrees to adopt and follow rules and procedures to preserve the confidentiality of its customer's data. [451.40(b)]
19. Applicant currently does not have authority from the Commission to be an ARES.
20. Major Energy Electric Services has similar licenses to that offered by Section 16-115 in New York and Maryland See Attachment "C". Applicant has never had any complaints filed against it for the provision of services in the electric or gas industry in any jurisdiction that has been elevated and/or decided by a Public Service Commission ("PSC") or a court. Complaints are occasionally directed to the PSC and all have been remedied and closed without any need for an outside decision. All door-to-door sales include a written contract as well as a phone recorded verification, which limits confusion and complaints by customers, as well as protects our interests.

LICENSE OR PERMIT BOND [451.50]

21. Please see attached Bond as attachment "D," in compliance with 451.50(a)

FINANCIAL QUALIFICATIONS [Clearly state 451.110, 451.220, or 451.320—Not applicable to Subpart E Applicants]

22. Applicant meets the financial criteria set forth in Part 451.320(a)(3), as demonstrated in Attachment "E". Applicant certifies it is a member of PJM and will purchase 100% of its physical electric energy from PJM for delivery to the service territories of Commonwealth Edison Company, the utility we will be seeking to enter.
23. Applicant will not provide electric power and energy with property, plant and equipment that it owns, controls, or operates.

TECHNICAL QUALIFICATIONS [Clearly state 451.120, 451.230, 451.330, or 451.420]

24. Applicant will not use electric generation, transmission or distribution facilities that it owns, controls or operates in serving customers. Applicant meets the requirements of Part 451.330 as demonstrated in Attachment "F".
 1. At least one individual on its staff with at least four years experience buying and selling power and energy in wholesale markets and one year of scheduling experience working for an entity that is a member of PJM – Saul Horowitz, CEO and Levi Moeller, VP Supply fulfill this requirement.
 2. Applicant agrees to maintain the following telephone number, fax number, and address where Applicant's staff can be directly reached at all times. Applicant understands that maintenance of an answering service or machine, pager, or similar message-taking procedure does not satisfy this requirement. [451.230(c), 451.330(c), 451.420(b)]

Telephone # 973-508-6525
Fax # 718-228-2552
Address 100 Dutch Hill Rd., Suite 310
Orangeburg NY 10962

MANAGERIAL QUALIFICATIONS [Clearly state 451.130 and 451.140, 451.240 and 451.250, 451.340 and 451.350, or 451.430]

25. Applicant meets the managerial qualifications set forth in 451.340, as demonstrated in Attachment "G". Attachment "H" includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet each of the requirements of Part 451.340(b).

1. Three or more individuals in management positions with four or more years experience with enterprise financial and administration responsibilities including profit and loss responsibilities, four years experience buying and selling power and energy in wholesale markets, and four years electric system operational experience – Saul Horowitz, CEO; Mark Wiederman, President, Asher Fried, Chairman; Levi Moeller, VP Supply;.

26. Applicant is not relying on one or more agents or contractors to meet the technical and managerial requirements of Part 451.330 and 451.340.

FINANCIAL QUALIFICATIONS FOR SINGLE BILLING SERVICE [451.510]

27. Applicant does not intend to provide single billing services.

GENERAL PROVISIONS FOR APPLICANTS FILING UNDER SUBPART D [451.310]

28. Applicant has not been denied an electric supplier license in any state in the United States. [451.310(c)(1)]
29. Applicant has not had an electric supplier license suspended or revoked by any state in the United States. [451.310(c)(2)]
30. Applicant does not have other electric supplier license applications pending in the United States: [451.310(c)(3)]
31. Applicant is not the subject of any lawsuits that were filed in a court of law or formal complaints that were filed with a regulatory agency alleging fraud, deception or unfair marketing practices, or other similar allegations. [451.310(c)(4)]

32. Applicant certifies that its marketing materials which make statements concerning prices, terms and conditions of service contain information that adequately discloses the prices, terms and conditions of the products or services that the Applicant is offering or selling to the customer. [451.310(c)(1)]
33. Applicant certifies that before any customer is switched from another supplier, Applicant will give the customer written information that adequately discloses, in plain language, the prices, terms and conditions of the products and services being offered and sold to the customer. [451.310(c)(2)]
34. Applicant certifies it will provide documentation to the Commission and to customers that substantiates any claims made by the Applicant regarding the technologies and fuel types used to generate the electricity offered or sold to customers. [451.310(c)(3)]
35. Applicant certifies it will provide to the customer itemized billing statements that describe the products and services provided to the customer and their prices, and provide an additional statement, at least annually, that adequately discloses the average monthly prices, and the terms and conditions, of the products and services sold to the customer. [451.310(c)(4)]
36. Applicant certifies it will include materials comprising the consumer education program (pursuant to Section 16-117 of the Act [220 ILCS 5/16-117]) with all initial mailings to potential residential and small commercial retail customers and before executing any agreements or contracts with such customers, and that Applicant will provide these materials at no charge to residential and small commercial retail customers upon request. [451.310(d) and 451.310(e)]
37. Applicant certifies it will provide to residential and small commercial retail customers on a semiannual basis information on how to obtain a list of alternative retail electric suppliers that have been found in the last 3 years by the Commission (pursuant to Section 10-108 of the Act [220 ILCS 5/10-108]) to have failed to provide service in accordance with the terms of their contracts (pursuant to Section 16-117(g)(4)(C) of the Act). [451.310(f)]
38. Applicant certifies it will maintain sufficient managerial resources and abilities to provide the service for which it has a certificate of authority. [451.340(d)]

WHEREFORE, Applicant requests that the Commission grant its application for service authority to serve retail customers in the PJM Service area.

Respectfully submitted,

Major Energy Electric Services, LLC

By: Adam Small
Adam Small

Adam Small
General Counsel
Major Energy
100 Dutch Hill Rd., Suite 310
Orangeburg NY 10962
P: 347-274-0893
F: 718-228-2552
asmall@majorenergy.com

VERIFICATION

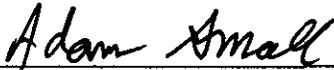
STATE OF NY)
COUNTY OF Rockland) ss:

Mark Wiederman, being first duly sworn, deposes and says that he is the President of Major Energy Electric Services, LLC; that he has read the foregoing Application of Major Energy Electric Services, LLC, and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of his knowledge, information and belief.



Mark Wiederman

Subscribed and sworn to before me
this 6th day of March, year 2012



Notary Public

[Stamp of Notary]

ADAM SMALL
Notary Public, State of New York
No. 02SM6211734
Qualified in Queens County
Commission Expires September 21, 2013