OFFICIAL FILE
ILLINOIS COMMERCE COMMISSION

STATE OF ILLINOIS

ORIGINAL

ILLINOIS COMMERCE COMMISSION

Trinity Energy Services, LLC	:	
	:	
Application for Licensure of Agents, Brokers, and Consultants Under Section 16-115C of the Public Utilities Act.	:	Docket No. 11-0702

ILLINOIS COMMERCE COMMISSION
2011 DEC -8 A 10: 35
CHIEF CLERK'S OFFICE

Elizabeth Rolando
Chief Clerk
Illinois Commerce Commission
527 E. Capital Avenue
Springfield, IL 62701

RESPONSE TO NOTICE OF ADMINISTRATIVE LAW JUDGE'S RULING

Dear Sir/Madam:

The Applicant is responding to the notice sent November 23, 2011 as follows:

Managerial Requirements:

Attachment A has been amended to include the duration (from month/year to month/year) for all occupational experiences and a corporate organizational chart as required by 83 Ill. Adm. Code 454.60(a) and (b).

Technical Requirements:

Attachment B has been added to include the duration (from month/year to month/year) for all occupational experiences as required by 83 Ill. Adm. Code 454.60(a) and (b).

Financial Requirements:

A Rider to the License or Permit Bond has been added with the following changes:

(1) The revised filed bond identifies the principal as "Trinity Energy Services, LLC."

(2) The revised filed bond identifies the obligee as "The People of the State of Illinois."

(3) The conditions on the obligation, of the revised filed bond provide:

THE CONDITION OF THE ABOVE OBLIGATION IS SUCH, That whereas, the Principal has been or is about to be granted a license or permit to do business to operate as an ABC (Agent, Broker, or Consultant) under 220 ILCS 5/16-115C and is required to execute this bond under Section 454.80 of 83 Illinois Administrative Code 454 by the Obligee.

Respectfully submitted,

Robert M. Ricobene

By: Robert M Ricobene
Robert M. Ricobene – Managing Member
Trinity Energy Services, LLC

Cc: John D. Albers, Administrative Law Judge, Illinois Commerce Commission
527 E. Capitol Ave., Springfield, IL 62701,
E-Mail: jalbers@icc.illinois.gov

Phil Hardas, ALJ's Assistant, Illinois Commerce Commission
527 E. Capitol Ave., Springfield, IL 62701
E-Mail: phardas@icc.illinois.gov

Greg Rockrohr, ALJ's Assistant, Illinois Commerce Commission
527 E. Capitol Ave. , Springfield, IL 62701
E-Mail: grockroh@icc.illinois.gov

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

Trinity Energy Services, LLC	:	
	:	
Application for Licensure of Agents, Brokers, and Consultants Under Section 16-115C of the Public Utilities Act.	:	Docket No. 11-0702

VERIFICATION

STATE OF Illinois
COUNTY OF DuPage

Robert M. Ricobene, being first duly sworn, deposes and says that he is a Managing Member of Trinity Energy Services, LLC (Applicant); that he has read the foregoing RESPONSE TO NOTICE OF ADMINISTRATIVE LAW JUDGE'S RULING of Trinity Energy Services, LLC, and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of his knowledge, information and belief.

Robert M. Ricobene

Subscribed and sworn to before me
this 2 day of December, 2011.

Notary Public

OFFICIAL SEAL
RACHEL SNYDER
Notary Public - Illinois
COOK COUNTY
Commission No. 707608
Expires - November 12, 2012

[Stamp of Notary]

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

Trinity Energy Services, LLC	:	
	:	
Application for Licensure of	:	Docket No. 11-0702
Agents, Brokers, and Consultants	:	
Under Section 16-115C	:	
of the Public Utilities Act.	:	

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing Response and License or Permit Bond was forwarded to all parties of record on this the 1st day of December 2011.

Via Email **jalbers@icc.illinois.gov**
John D. Albers
Administrative Law Judge
Illinois Commerce Commission
527 E. Capitol Ave.
Springfield, IL 62701,

Via Email **phardas@icc.illinois.gov**
Phil Hardas
ALJ's Assistant
Illinois Commerce Commission
527 E. Capitol Ave.
Springfield, IL 62701

Via Email **grockroh@icc.illinois.gov**
Greg Rockrohr
ALJ's Assistant
Illinois Commerce Commission
527 E. Capitol Ave.
Springfield, IL 62701

Via Facsimile **217-524-8928**
Elizabeth Rolando
Chief Clerk
Illinois Commerce Commission
527 E. Capitol Ave.
Springfield, IL 62701

Respectfully submitted,

Robert M. Ricobene

By: _____
Robert M. Ricobene – Managing Member
Trinity Energy Services, LLC

Attachment A

Managerial and Technical Background

ROBERT M. RICOBENE (over 25 years of Energy Related Experience)

Robert M. Ricobene is Founder and Managing Member of Trinity Energy Services, LLC. Ricobene has spent his entire professional career in the energy industry, primarily focused in the deregulated electricity industry. His over 25 years' experience in the energy industry has created an in-depth knowledge base in all aspects of wholesale and retail energy markets. The scope of Ricobene's experience and knowledge are illustrated throughout his professional career.

Senior Vice President • Glacial Energy 4/2009 to 8/2011: Overall sales responsibility of the Illinois retail energy business, which included: management of direct sales team, agent and broker channels, as well as affinity programs with trade associations.

General Manager • Pepco Energy Services 5/2007 to 4/2009: P&L responsibility of the Illinois retail energy business. Charged with increasing sales and expanding local customer base in the mid and large sized business sectors and re-building and trained of new sales and support teams.

Senior Manager of Midwest Sales and US Product Management • Direct Energy 3/2006 to 5/2007: Managed all aspects of business expansion into Illinois' energy market. Responsibilities included, developed financial plans, established pricing models, designed sales channel strategy, and integrated back-office operational processes into existing centralized processes. In parallel, hired and managed regional sales team and sales support teams. Promoted to Senior Manager of Products and Product Development (power and gas) for all markets throughout the US.

Principle • RMR Energy Consulting 3/2003 to 3/2006: Independent consultant to energy companies in the Midwest, focusing on growth strategies and new market entry. Services provided included: profitability analyses and pro forma financial statements, marketing plan development, new product design and launch, design and integration of new operational processes, competitor analyses, product pricing strategies, and energy training. Managed transition to regional transmission organizations and established corresponding pricing and billing models.

Director of Power Services • Nicor Energy, Inc. 5/2002 to 3/2003: Operational management of $125 million retail electric business in Midwest. Managed sales team, broker channel, and operational teams, as well as developed affinity agreements with several key customer associations in Michigan. Managed all electric products throughout US. Coordinated wholesale energy purchases and delivery, and oversight of daily energy forecasting.

Manager of Business & Governmental Affairs and Gas Supply Manager • Exelon Energy 10/2000 to 5/2002: Responsible for management of gas supply contracts valued at $200 Million and the financial hedging as per the risk management policy. Led back-office team to ensure cost effective forecasting, balancing, and procurement of natural gas.

Managed energy related regulatory and legislative activities in the Midwest and Western states. Consultant to five affiliated business units on impacts of regulatory activity and its impacts on strategic planning, new market entry and valuation of existing markets, design of new energy products, and pricing strategy. Managed integration of state and federal regulatory changes with business units.

Various Assignments • Commonwealth Edison 6/1984 to 10/2000:
Director of Electric Supplier Services: Managed start-up department designed to certify and educate retail electric suppliers on new deregulated energy marketplace. Managed and interpreted complex legal rulings, pricing tariffs and contracts. Interfaced with suppliers to resolve issues involving wholesale and retail electric markets as well as back-office processing issues. Chaired Illinois Commerce Commission team to develop and implement supplier education throughout the state.

Project Manager for Utility Deregulation: Chosen to design, develop, test and implement new operating processes and systems essential for the start-up of electric deregulation in Illinois and new retail energy suppliers. Managed cross-functional team of business experts, systems personnel, IT vendors and consultants and led the integration of new systems and processes into existing operations and legacy IS/IT systems.

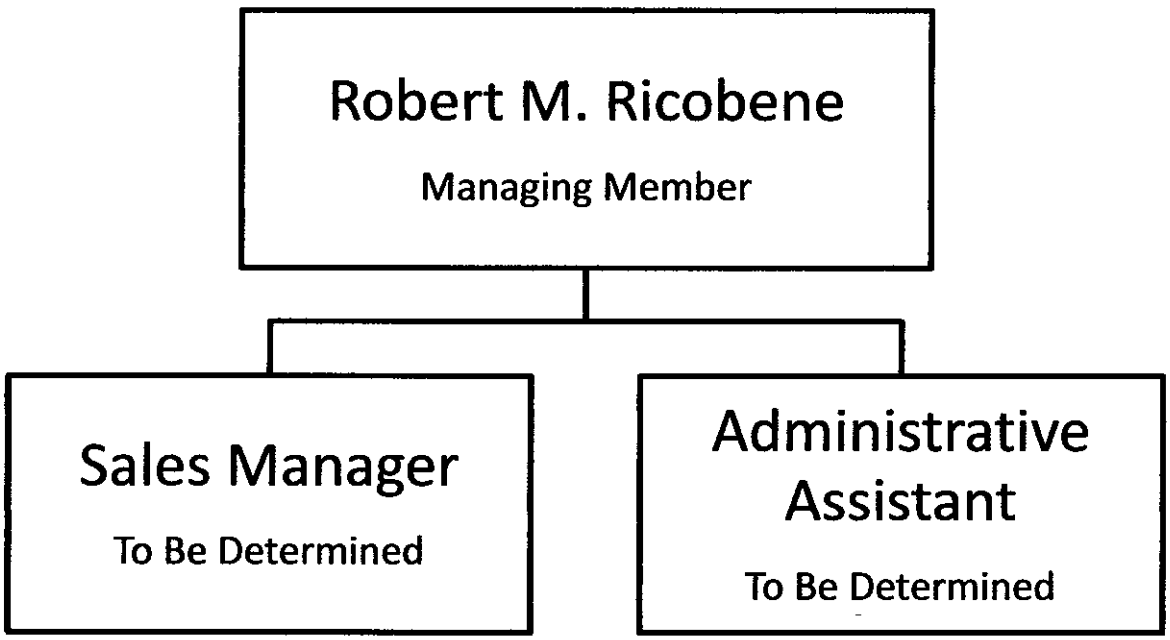
Co-Manager, California Business Development: Managed and evaluated business opportunities in California's retail energy market. Developed business and marketing plans, conducted customer interviews and sales, competitor research, set-up back-office operations, established procurement processes, and evaluated local rules and tariffs. This project was ComEd's first retail venture outside of Illinois.

Regional Sales Manager: Initial Sales Manager of newly formed regional sales organization developed to sell energy solutions and energy management systems to mid and large businesses.

Other Key Positions: Corporate Restructuring Team, Automation Project Manager, Rate and Tariff Coordinator, and Consulting Energy Engineer.

Ricobene earned a Bachelor of Science in Mechanical Engineering, Bradley University, Peoria Illinois, and is a Certified Energy Purchase Manager.

Trinity Energy Services, LLC
Organization Chart



Attachment B

Technical Background

ROBERT M. RICOBENE (over 25 years of Energy Related Experience)

Robert M. Ricobene is Founder and Managing Member of Trinity Energy Services, LLC. Ricobene has spent his entire professional career in the energy industry, primarily focused in the deregulated electricity industry. His over 25 years' experience in the energy industry has created an in-depth knowledge base in all aspects of wholesale and retail energy markets. The scope of Ricobene's experience and knowledge are illustrated throughout his professional career.

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Ricobene earned a Bachelor of Science in Mechanical Engineering, Bradley University, Peoria Illinois, and is a Certified Energy Purchase Manager.

NAS SURETY GROUP

NORTH AMERICAN SPECIALTY INSURANCE COMPANY
WASHINGTON INTERNATIONAL INSURANCE COMPANY

GENERAL POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS, THAT North American Specialty Insurance Company, a corporation duly organized and existing under laws of the State of New Hampshire, and having its principal office in the City of Manchester, New Hampshire, and Washington International Insurance Company, a corporation organized and existing under the laws of the State of New Hampshire and having its principal office in the City of Schaumburg, Illinois, each does hereby make, constitute and appoint:

CARL DOHN, JR., WILLIAM P. MAHER, KAREN DOHN,
JEFFREY S. MOORE, PATSY COLLAZO and GARY W. PETRIE

JOINTLY OR SEVERALLY

Its true and lawful Attorney(s)-in-Fact, to make, execute, seal and deliver, for and on its behalf and as its act and deed, bonds or other writings obligatory in the nature of a bond on behalf of each of said Companies, as surety, on contracts of suretyship as are or may be required or permitted by law, regulation, contract or otherwise, provided that no bond or undertaking or contract or suretyship executed under this authority shall exceed the amount of:

FIFTY MILLION ($50,000,000.00) DOLLARS

This Power of Attorney is granted and is signed by facsimile under and by the authority of the following Resolutions adopted by the Boards of Directors of both North American Specialty Insurance Company and Washington International Insurance Company at meetings duly called and held on the 24th of March, 2000:

"RESOLVED, that any two of the Presidents, any Managing Director, any Senior Vice President, any Vice President, any Assistant Vice President, the Secretary or any Assistant Secretary be, and each or any of them hereby is authorized to execute a Power of Attorney qualifying the attorney named in the given Power of Attorney to execute on behalf of the Company bonds, undertakings and all contracts of surety, and that each or any of them hereby is authorized to attest to the execution of any such Power of Attorney and to attach therein the seal of the Company; and it is

FURTHER RESOLVED, that the signature of such officers and the seal of the Company may be affixed to any such Power of Attorney or to any certificate relating thereto by facsimile, and any such Power of Attorney or certificate bearing such facsimile signatures or facsimile seal shall be binding upon the Company when so affixed and in the future with regard to any bond, undertaking or contract of surety to which it is attached."

NORTH AMERICAN SPECIALTY INSURANCE COMPANY CORPORATE SEAL 1973 NEW HAMPSHIRE

By _____
Steven P. Anderson, President & Chief Executive Officer of Washington International Insurance Company & Senior Vice President of North American Specialty Insurance Company

By _____
David M. Layman, Senior Vice President of Washington International Insurance Company & Vice President of North American Specialty Insurance Company

WASHINGTON INTERNATIONAL INSURANCE COMPANY CORPORATE SEAL NEW HAMPSHIRE

IN WITNESS WHEREOF, North American Specialty Insurance Company and Washington International Insurance Company have caused their official seals to be hereunto affixed, and these presents to be signed by their authorized officers this 13th day of September, 20 11.

North American Specialty Insurance Company
Washington International Insurance Company

State of Illinois
County of Cook ss:

On this 13th day of September, 20 11, before me, a Notary Public personally appeared Steven P. Anderson, President and CEO of Washington International Insurance Company and Senior Vice President of North American Specialty Insurance Company and David M. Layman, Senior Vice President of Washington International Insurance Company and Vice President of North American Specialty Insurance Company, personally known to me, who being by me duly sworn, acknowledged that they signed the above Power of Attorney as officers of and acknowledged said instrument to be the voluntary act and deed of their respective companies.

OFFICIAL SEAL
D JILL NELSON
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:08/03/12

D. Jill Nelson, Notary Public

I, James A. Carpenter, the duly elected Assistant Secretary of North American Specialty Insurance Company and Washington International Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney given by said North American Specialty Insurance Company and Washington International Insurance Company, which is still in full force and effect.

IN WITNESS WHEREOF, I have set my hand and affixed the seals of the Companies this 1 day of December 20 11.

James A. Carpenter, Vice President & Assistant Secretary of Washington International Insurance Company & North American Specialty Insurance Company

RIDER

TO BE ATTACHED TO AND FORM PART OF

LICENSE AND PERMIT - GENERAL FORM **NO. 9144415**
(Bond Type) *(Bond Number)*

IN FAVOR OF **The People Of The State Of Illinois**
(Obligee)

ON BEHALF OF **Trinity Energy Services, LLC**
(Principal)

EFFECTIVE **October 24, 2011**
(Original Effective Date)

IT IS AGREED THAT, in consideration of the original premium charged for this bond, and any additional premium that may be properly chargeble as a result of this rider.

The Surety, Washington International Insurance Company, **hereby gives its consent to change;**

User Defined Rider

(of) the attached bond FROM: User Defined Rider

TO: THE PRINCIPAL SHOULD SIMPLY BE IDENTIFIED AS "TRINITY ENERGY SERVICES, LLC"
THE OBLIGEE SHOULD SIMPLY BE "THE PEOPLE OF THE STATE OF ILLINOIS"
WITH REGARD TO CONDITIONS ON THE OBLIGATION, THE FILED BOND PROVIDES SHOULD BE REVISED IN THIS REGARD TO READ:

REASON: THE CONDITION OF THE ABOVE OBLIGATION IS SUCH, THAT WHEREAS, THE PRINCIPAL HAS BEEN OR IS ABOUT TO BE GRANTED A LICENSE OR PERMIT TO DO BUSINESS TO OPERATE AS AN ABC(AGENT, BROKER, OR CONSULTANT)UNDER 220 ILCS5/16-115C AND IS REQUIRED TO EXECUTE THIS BOND UNDER SECTION 454.80 OF 83 ILLINOIS ADMINISTRATIVE CODE 454 BY THE OBLIGEE

EFFECTIVE: October 24, 2011

PROVIDED, however that the attached bond shall be subject to all its agreements, limitations, and conditions except as herein expressly modified, and that the liability of the Surety under the attached bond and under the attached bond as changed by this rider shall not be cumulative.

SIGNED, AND SEALED this 1st **day of** December, 2011.

TRINITY ENERGY SERVICES, LLC
Principal

Washington International Insurance Company
Surety

Accepted By

William P. Maher, Attorney-in-fact

(STATE OF Illinois)
(COUNTY OF Cook)

On this 1st Day of December, 2011 before me came, William P. Maher, who executed the preceding instrument, to me personally known, and being by me duly sworn, said that he/she is the therein described and authorized ATTORNEY-IN-FACT of Washington International Insurance Company that the seal affixed to said instrument is the Corporate Seal of said Company.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.

Patsy Collazo
Notary Public

OFFICIAL SEAL
PATSY COLLAZO
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:04/21/12