

ILLINOIS COMMERCE COMMISSION

2011 OCT 28 P 3:17

TMGES, Inc. :
:
Application for Licensure of :
Agents, Brokers, and Consultants :
under Section 16-115C :
of the Public Utilities Act. :

Docket No.

11-0700

CHIEF CLERK'S OFFICE

APPLICATION

TMGES, Inc. ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a license pursuant to Section 16-115C of the Public Utilities Act ("Act"). In support of its application, Applicant states as follows:

GENERAL [454.40, 454.50, 454.100]

1. Applicant's name and street address.

TMGES, Inc.
1601 S Indiana Ave Suite 304
Chicago, IL, 60616

2. Related Information:

- Type of business entity: Corporation
- Jurisdiction in which and under whose laws business entity was created: Illinois
- Other names under which Applicant does business (D/B/A): TMG Energy Source

3. Contact Persons for the following:

- a) issues related to processing this application and
Merrill Mangalasseril, Chief Executive Officer, 1601 S Indiana Ave Suite 304, Chicago, IL, 630.677.7499, merrill.mangalasseril@gmail.com
- b) issues related to complaint resolution [454.130]
Merrill Mangalasseril, Chief Executive Officer, 1601 S Indiana Ave Suite 304, Chicago, IL, 630.677.7499, merrill.mangalasseril@gmail.com

4. Description of Applicant's business. [454.40(c)(1)]:

TMGES, Inc. is in the business of providing energy procurement solutions to commercial and residential clients in Illinois. TMGES, Inc. is an unbiased

consultant who conducts Requests For Proposals (RFPs) for commercial clients who are looking to hedge their energy requirements for their businesses and their homes.

5. TMGES, Inc. hereby certifies through this application and attachments listed as exhibits A – E that it meets the requirements set forth in. Exhibit E, specifically, is the licensed certificate allowing TMGES, Inc., to conduct business in Illinois. 16-115C of the Act. [454.40(d)(1)]
6. The name, address, telephone number, any facsimile number and any e-mail address of the agent registered with the Illinois Secretary of State:

Merrill Mangalasseril, Chief Executive officer, TMGES, Inc., 1601 S Indiana Ave Suite 304, Chicago, IL, 60616, O: 630.677.7499, F: 888.281.3749, E: merrill.mangalasseril@gmail.com
7. At the moment, TMGES, Inc. is not licensed as a gas and electric agent, broker, or consultant in any other jurisdiction.
8. Applicant certifies that it:
 - a) is licensed to do business in the State of Illinois and is in compliance with all other applicable laws, regulations and Commission rules and orders; See attachment Exhibit D.
 - b) shall comply with all terms and conditions required by Section 16-115C of the Act;
 - c) shall ensure any person who acts on behalf of the entity will comply with all sections of Part 454 applicable to the function or functions to be performed;
 - d) shall remain in compliance with the provisions of the Act and Part 454;
 - e) shall ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created; and
 - f) shall preserve the confidentiality of its customers' data. =

MANAGERIAL LICENSING QUALIFICATIONS [Section 454.60]

9. Applicant meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment Exhibit A – C.. Attachment Exhibit A – B includes a corporate organizational chart for two separate organizations and identifies myself as the person who is being used to meet the requirements of Part 454.60(a). My role is highlighted in yellow. Attachment Exhibit C includes my

resume detailing my managerial background and experiences that clearly show how the managerial experience requirements of Part 454.60(a) are being met.

TECHNICAL LICENSING QUALIFICATIONS [Section 454.70]

10. Applicant meets the technical qualifications set forth in Part 454.70, as demonstrated in Attachment Exhibit C. Attachment Exhibit C includes my resume containing technical occupational background information highlighting my technical background and my previous technological responsibilities which will help ensure that TMGES, Inc. maintains a consistent form of communication with both our suppliers and clients and have the technological experience to track financials for my company.

FINANCIAL LICENSING REQUIREMENTS [SECTION 454.80]

11. Applicant is required to execute and maintain a license or permit bond in the name of the People of the State of Illinois issued by a qualifying surety or insurance company authorized to transact business in the State of Illinois. The amount of the bond shall equal \$5,000. Please refer to Exhibit D, Surety Bond Certificate

CODE OF CONDUCT [SECTION 454.90]

12. Applicant certifies that it shall:
 - a) Disclose in plain language in writing the nature of the services offered by the ABC; TMGES, Inc., will competitively shop for more cost effective electric and natural gas rates for our clients. TMGES, Inc., will work with multiple Retail Energy Providers (REPs preapproved by the Illinois Commerce Commission) and request contracted price, terms, and conditions for our clients on behalf of our clients' energy requirements. Depending on the size of the client, and the number of hours spent by TMGES, Inc., to work on hedging for our client, TMGES, Inc., will assess an appropriate margin, which will be disclosed to all of our clients. Amongst the REPs, TMGES, Inc., will keep the margin exactly the same to ensure we maintain an unbiased approach. When offers from REPs come back, we will confirm with our clients that the contracted price, company margin, terms, and conditions are in line with their wants and risk tolerance and then advise our clients on how to complete an enrollment with the specific REP.
 - b) Disclose in plain language in writing to all persons it solicits the total anticipated remuneration to be paid to it by any third party over the period

Form for ABC Applicants

of the proposed underlying customer contract. Any such disclosure must be made prior to entering into the contract and signed by the customer;

- c) Not hold itself out as independent or unaffiliated with any RES, or both, or use words calculated to give that impression, unless the person or entity offering service under this Section 16-115C of the Act has no contractual relationship with any RES or its affiliates regarding retail electric service in Illinois;
- d) Not utilize false, misleading, materially inaccurate, defamatory, or otherwise deceptive language or materials in the soliciting or providing of its services;
- e) Maintain copies of all marketing materials disseminated to third parties for a period of not less than three years;
- f) Maintain copies of all disclosure statements required in subsections (a) and (b) for a period of not less than three years;
- g) Not present electricity pricing information in a manner that favors one supplier over another, unless a valid pricing comparison is made utilizing all relevant costs and terms; and
- h) Comply with the requirements of Sections 2EE, 2FF, 2GG, and 2HH of the Consumer Fraud and Deceptive Business Practices Act [815 ILCS 505/2EE, 2FF, 2GG, and 2HH].

REPORTING REQUIREMENTS [SECTION 454.110]

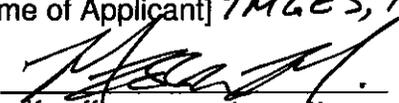
- 13. Applicant agrees to submit reports in accordance with annual reporting requirements. [454.110]

Form for ABC Applicants

WHEREFORE, Applicant requests that the Commission grant its application for licensure as an agent, broker, or consultant to engage in the procurement or sale of retail electricity supply for third parties.

Respectfully submitted,

[Name of Applicant] *TMGES, INC.*

By: 
[Specify officer, agent, or attorney]

VERIFICATION

STATE OF _____)
COUNTY OF _____)

I, Merrill Mangalasseril, being first duly sworn, deposes and says that he is the Chief Executive Officer for TMGES, Inc., that he has read the foregoing Application of TMGES, Inc., and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of his knowledge, information and belief.


Merrill Mangalasseril – Chief Executive Officer

Subscribed and sworn to before me
this 26th day of October, 2011.


Notary Public

[Stamp of Notary]

