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State of Illinois
Illinois Commerce Commission

2011 OCT 26 A 9:48

Viridian Energy PA LLC

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CHIEF CLERK'S OFFICE

Supplemental Information

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Docket No. 11-0348

Certificate of Service Authority

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October 24, 2011

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Sent Via Federal Express

Report

Supplemental Information

On May 18, 2011 the Illinois Commerce Commission issued a Certificate of Authority to Viridian to operate as an Alternative Retail Electric Provider ("ARES") in the Commonwealth Edison Company territory. Viridian hereby provides the following supplemental information relevant to its Certificate of Authority.

1. **Where, if any, are other electric supplier license applications pending in the United States.**

Response:

Viridian Energy MD LLC, an affiliate of the Viridian PA LLC, currently has an electric supplier license application pending with the state in New Hampshire. Viridian PA LLC does not have any other electric supplier license application pending in the United States.

2. **Is the ARES subject to any lawsuits filed in a court of law or formal complaints filed with a regulatory agency alleging fraud, deception or unfair marketing practices, or other similar allegations? If so identify the name, case number, and jurisdiction of each such lawsuit or complaint. For the purposes of 83 Ill. Admin. Code 451.310(c)(3), formal complaints include only those complaints that seek a binding determination from a state or federal regulatory body**

Response:

On January 14, 2011, the Staff of the Maryland Public Service Commission ("Staff") filed a complaint against Viridian Energy PA, LLC ("Viridian Energy") alleging that Viridian Energy violated Maryland law by publishing two print advertisements that included misleading and deceptive content, and by describing its electricity product as a "no contract" obligation. The Maryland Public Service Commission ("Commission") on January 26, 2011 entered a show cause order directing Viridian Energy to show cause as to why its Maryland electricity license should not be revoked or suspended, and why Viridian Energy should not be required to pay a fine. Viridian Energy appeared before the Commission on February 9, 2011 and April 1, 2011 and, on March 29, 2011, submitted a joint recommendation with Staff to resolve the complaint that included modifications to the manner in which Viridian Energy trains its independent associates, improvements to the company's enrollment processes to protect customers, and a \$20,000

payment. Viridian has implemented the changes set forth in the joint recommendation pending a final order from the Commission. The Commission has not ruled and the matter is still pending.

3. 83 Ill. Adm. Code 451.330(b) provides that an applicant shall be deemed to possess sufficient technical capabilities to serve retail customers identified in Subpart D if it has at least ONE INDIVIDUAL on its staff with at least four years experience buying and selling power and energy in wholesale markets, AND ONE of the following:

- one year of scheduling experience working for an entity that is either a member of PJM or a market participant in MISO;
- has a system operator certificate from NERC; or
- has earned Certified Energy Procurement Professional status by the Association of Energy Engineers or equivalent certification.

Viridian has previously included background information on individuals that it intends to use to meet the technical requirements of Section 451.330. From the information provided it has been noted that it is not clear whether any of the individuals possess one year of scheduling experience working for an entity that is either a member of PJM or a market participant in MISO; a system operator certificate from NERC; or has earned Certified Energy Procurement Professional status by the Association of Energy Engineers or equivalent certification.

Response:

Viridian identifies Gary Zielanski as the individual on Viridian's staff with at least four years of experience buying and selling power and energy in wholesale markets and also has one year of scheduling experience working for an entity that is a member of PJM.

Employee	Company	Title	Dates	Job Description
Gary Zielanski	Viridian Energy	Power Supply Manager	Jan 2011 - present (10 Months)	Executes wholesale power procurement and hedging for the Load Serving Entity, including real time, day ahead and prompt month physical and financial markets
	Independent System Operator – New England (ISO-NE)	Manager, Settlements Project Group	May 2003 - Apr 2006 (3 years)	1) Oversaw the day-to-day market settlement of over 250 Participant accounts 2) Responsible for facilitating financial

				settlement for all power transactions and physical trades for power marketing companies portfolios
	The United Illuminating Company	Director, Power Marketing	Sep 1989 – Aug 2000 (11 years)	1) Traded power; responsible for 2,000 MW generation portfolio and power book. 2) Developed and maintained power and fuel forward curves 3) Coordinated operations, bidding and scheduling of generation plants

4. **83 Ill. Adm. Code 451.340 provides that an applicant shall be deemed to possess sufficient managerial capabilities to serve retail customers identified in Subpart D if, in management positions, it has:**

- **THREE OR MORE INDIVIDUALS with four or more years experience with enterprise financial and administration responsibilities including profit and loss responsibilities,**
- **THREE OR MORE INDIVIDUALS with four years experience buying and selling power and energy in wholesale markets, AND**
- **THREE OR MORE INDIVIDUALS with four years electric system operational experience.**

The applicant shall include by name and title the persons on its staff, and agents or contractors utilized. Viridian has provided information on individuals that it intends to use to meet the managerial requirements of Section 451.340. Please provide additional information to support Viridian has three or more individuals that have 4 years of electrical system operational experience.

Response:

Viridian intends to fulfill the managerial requirement of “three or more individuals with four years electric system operational experience” in *83 Ill. Adm. Code 451.340* with the following four employees:

- 1) Rachel Baier, Director of Pricing
- 2) Michael Fallquist, CEO
- 3) Rafi Jacobs, Director of Business Development
- 4) Gary Zielanski, Power Supply Manager

Employee	Company	Title	Dates	Job Description	Satisfies Requirement of "three or more individuals with four years electric system operational experience"
Rachel Baier	Viridian Energy	Director of Pricing	August 2011 thru Present (3 months)	Co-manages team of power schedulers and traders responsible for power scheduling and procurement in seven Northeast and Mid-Atlantic States. Viridian Energy is a member of PJM and a market Participant of MISO	Ms. Baier has in depth operational familiarity and expertise with most major electric systems in the US, stemming from over 8 years of administering power operations across multiple regional transmission operators.
	Gateway Energy Services, Inc.	Director of Pricing and Product Development	August 2003 thru August 2011 (8 years 1 month)	Managed team of power schedulers and traders responsible for power scheduling and procurement in five Northeast and Mid-Atlantic States. Gateway Energy Services, Inc. is a member of PJM.	
Michael Fallquist	Viridian Energy	CEO	Mar 2009-present (2 years, 8 months)	1)Oversees wholesale power procurement and hedging for the Load Serving Entity, including real time, day ahead and prompt month physical and financial markets. 2)Manages, and is directly responsible for all financial matters, including companywide profit/loss goals.	Mr. Fallquist has direct operational familiarity and expertise with most major electric systems. In his capacity as CEO of Viridian Energy and COO of Commerce Energy, he was directly responsible for electricity operations which provided service to retail customers in ISO New England, New York ISO, PJM Interconnection, MISO, California ISO and the Electric Reliability Council of Texas. Prior to these roles, Mr.
	Commerce Energy, Inc.	COO	Mar 2008-Jan 2009 (10 months)	1) Responsible for the day-to-day business operations of a publicly listed retail natural gas and electricity provider with more than 150,000 customers across 10 states and 24 local markets, including energy procurement activities. 2) Direct management responsibility for more than 250 FTEs across 4 offices including	

				functional management of sales & marketing, energy supply, pricing, operations, human resources, customer care and quality assurance	Fallquist was involved with electric systems in various capacities at Macquarie Cook Energy. First, he assisted with the set up of Macquarie Cook Energy's electricity trading and marketing operation in Houston, Texas. Second, he was involved in the evaluation of several power assets (wind generation, retail electricity business) that were potential acquisition targets.
	Macquarie Cook Energy	Senior Manager	Jan 2006- Mar 2008 (2 years, 3 months)	<ol style="list-style-type: none"> 1) Assisted with the establishment of power trading & marketing group based in Houston, Texas. This group was involved in physical and financial power transactions. 2) Traded financial natural gas to support / hedge physical natural gas exposure. 3) Evaluated potential acquisitions in the power and natural gas industries. 4) Traded and marketing physical coal. 	
Rafi Jacobs	Viridian Energy	Director of Business Development	Feb 2010-present (1 year, 9 months)	Works in wholesale power procurement and hedging for the Load Serving Entity, including real time, day ahead and prompt month physical and financial markets	Mr. Jacobs has the necessary electric system operational experience. As an Associate in the Energy Risk Management Group at Towers Watson, he worked with several multinational utilities to develop and integrate their wind farms with the regional transmission operators. As the Director of Business Development at Viridian Energy, he has been responsible for initiating and maintaining he companies relationships with the electricity systems and regional transmission operators.
	Towers Watson	Associate, Energy Risk Management Group	June 2007- Feb 2010 (2 yrs, 8 months)	Facilitated all aspects of the development of wind power farms throughout the U.S, including connecting the site to the regional transmission operators. Advised on, and executed all hedged transactions of specific Mid-Atlantic and Mid-West wind power farms.	

Gary Zielanski	Viridian Energy	Power Supply Manager	Jan 2011 - present (10 Months)	Executes wholesale power procurement and hedging for the Load Serving Entity, including real time, day ahead and prompt month physical and financial markets	Mr. Zielanski has in depth operational familiarity and expertise with most major electric systems in the US, stemming from over 14 years of administering power operations across multiple regional transmission operators. Additionally, he was responsible for daily market operations for all participants in the Independent System Operator of New England.
	Independent System Operator – New England (ISO-NE)	Manager, Settlements Project Group	May 2003 - Apr 2006 (3 years)	1) Traded power; responsible for 2,000 MW generation portfolio and power book. 2) Developed and maintained power and fuel forward curves 3) Coordinated operations, bidding and scheduling of generation plants	
	The United Illuminating Company	Director, Power Marketing	Sep 1989 – Aug 2000 (11 years)	1) Oversees wholesale power procurement and hedging for the Load Serving Entity, including real time, day ahead and prompt month physical and financial markets 2) Manages, and is directly responsible for all financial matters, including company wide profit/loss goals.	

5. Applicant must certify that it will maintain sufficient managerial resources pursuant to Section 451.340(d).

Response:

Viridian hereby certifies that it maintains sufficient managerial resources and abilities to provide the service for which it has a certificate of authority pursuant to Section 451.340(d).

Respectfully submitted,

Viridian Energy PA LLC

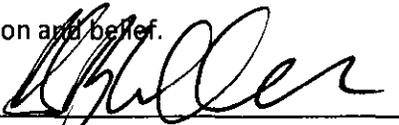
By: 
Roop Bhullar
CFO

Viridian Energy PA LLC

VERIFICATION

STATE OF CT)) ss:
COUNTY OF Fairfield

Roop Bhullar, being first duly sworn, deposes and says that he is the CFO of Viridian Energy PA LLC; that he has read the foregoing Supplemental Information submission of Viridian Energy PA LLC, and that the statements contained in the Supplemental Information submission are true, correct and complete to the best of his knowledge, information and belief.



Roop Bhullar

Subscribed and sworn to before me
this 25th day of Oct, [year]. 2011



Notary Public

My Commission Expires April 30, 2012
[Stamp of Notary]