

2011 SEP -6 A 9:44

Global Energy Services, LLC

Application for Licensure of
Agents, Brokers, and Consultants
Under Section 16-115C
Of the Public Utilities Act.

Docket No.

11-0617

CHIEF CLERK'S OFFICE

APPLICATION

Global Energy Services, LLC ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a license pursuant to Section 16-115C of the Public Utilities Act ("Act"). In support of its application, Applicant states as follows:

GENERAL [454.40, 454.50, 454.100]

1. Applicant's name and street address.

Global Energy Services, LLC
1111 Western Row Road
Mason, OH, 45040

2. Related Information:

- Type of business entity: Limited Liability Company
- Jurisdiction in which and under whose laws business entity was created: State of Ohio
- Other names under which Applicant does business (D/B/A):
Adopted name for use in the State of Illinois is Global Energy Consultants

3. Contact Person for the following:

- a) issues related to processing this application and
- b) issues related to complaint resolution [454.130]

Ellen Hewitt, Business Coordinator, 1111 Western Row Road, Mason, Ohio, 45040, 513-701-0412, ehewitt@globalmc2.com.

4. Description of Applicant's business. [454.40(c)(1)]

Serve as Agent, Broker, Consultant for the procurement of electricity and natural gas for third parties.

5. A statement in support of application (including supporting documents and schedules if necessary) certifying the applicant meets the requirements of Section 16-115C of the Act. [454.40(d)(1)]

Global Energy Services, LLC (D/B/A in Illinois as Global Energy Consultants) meets the requirements of Section 16-115C of the Act. It maintains a strict policy to disclose to customers that they are not employed by the electric utility that operates in the applicable service territory, and that it will disclose in writing, using plain language, the total anticipated remuneration to be paid to it by any third party of the period of the proposed customer contract. It will also disclose to all customers, prior to signing of a contract that it will be receiving compensation from the supplier, and that it will not use false, misleading, materially inaccurate, defamatory, or otherwise deceptive language or material when soliciting or providing its services. GES will maintain copies of all marketing materials disseminated to third parties for a period of not less than 3 years, and it will file all required data no later than March of each year

6. The name, address, telephone number, any facsimile number and any e-mail address of the agent registered with the Illinois Secretary of State. This information shall be kept current and any change regarding the licensee shall be reported within 15 days after the change occurs. The required information shall be filed with the Chief Clerk of the Commission at its Springfield office. [454.40(c)(3)]

Our Registered Agent in the State of Illinois is:

C T Corporation System
208 South LaSalle Street, Suite 814
Chicago, IL 60604

7. A statement to disclose whether the Applicant is licensed as an agent, broker or consultant in any other jurisdictions, similar to the licensure required under Section 16-115C of the Illinois Public Utilities Act. Additionally, the Applicant is directed to disclose whether it has had any complaints filed against it for its provision of any services in the electric or gas industry in this or any other jurisdiction. If yes, the Applicant is directed to include in its response the nature of the complaint, the jurisdiction, and the ultimate resolution.

Global Energy Services, LLC (D/B/A in Illinois as Global Energy Consultants) does not currently hold any other licenses. An application is in process for the State of Ohio, however; all other jurisdictions that the company does business in do not require ABC Licenses at this time. As the company grows and expands in jurisdictions that require licensure, it will apply for those licenses accordingly. The company has not had any complaints filed against it for its provision of any services in the electric or gas industry in any jurisdiction.

8. Applicant certifies that it:
- a) is licensed to do business in the State of Illinois and is in compliance with all other applicable laws, regulations and Commission rules and orders; [454.40(c)(2)]
 - b) shall comply with all terms and conditions required by Section 16-115C of the Act; [454.40(d)(2)]
 - c) shall ensure any person who acts on behalf of the entity will comply with all sections of Part 454 applicable to the function or functions to be performed; [454.40(d)(3)]
 - d) shall remain in compliance with the provisions of the Act and Part 454; [454.50]
 - e) shall ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created; and [454.100(a)]
 - f) shall preserve the confidentiality of its customers' data. [454.100(b)]

MANAGERIAL LICENSING QUALIFICATIONS [Section 454.60]

9. Applicant meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment A. Attachment A includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment A includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a)

Please See Attachment "A" along with its affiliated documents

TECHNICAL LICENSING QUALIFICATIONS [Section 454.70]

10. Applicant meets the technical qualifications set forth in Part 454.70, as demonstrated in Attachment B. Attachment B includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

Please See Attachment "B" along with its affiliated documents

FINANCIAL LICENSING REQUIREMENTS [SECTION 454.80]

11. Applicant is required to execute and maintain a license or permit bond in the name of the People of the State of Illinois issued by a qualifying surety or insurance company authorized to transact business in the State of Illinois. The amount of the bond shall equal \$5,000.

Attached is a copy of Global Energy Services, LLC, Energy Agent, Broker or consultants Bond #41220659 issued on April 1, 2011 by the Platte River Insurance company.

CODE OF CONDUCT [SECTION 454.90]

12. Applicant certifies that it shall:

- a) Disclose in plain language in writing the nature of the services offered by the ABC;
- b) Disclose in plain language in writing to all persons it solicits the total anticipated remuneration to be paid to it by any third party over the period of the proposed underlying customer contract. Any such disclosure must be made prior to entering into the contract and signed by the customer;
- c) Not hold itself out as independent or unaffiliated with any RES, or both, or use words calculated to give that impression, unless the person or entity offering service under this Section 16-115C of the Act has no contractual relationship with any RES or its affiliates regarding retail electric service in Illinois;
- d) Not utilize false, misleading, materially inaccurate, defamatory, or otherwise deceptive language or materials in the soliciting or providing of its services;
- e) Maintain copies of all marketing materials disseminated to third parties for a period of not less than three years;
- f) Maintain copies of all disclosure statements required in subsections (a) and (b) for a period of not less than three years;
- g) Not present electricity pricing information in a manner that favors one supplier over another, unless a valid pricing comparison is made utilizing all relevant costs and terms; and
- h) Comply with the requirements of Sections 2EE, 2FF, 2GG, and 2HH of the Consumer Fraud and Deceptive Business Practices Act [815 ILCS 505/2EE, 2FF, 2GG, and 2HH].

REPORTING REQUIREMENTS [SECTION 454.110]

13. Applicant agrees to submit reports in accordance with annual reporting requirements. [454.110]

WHEREFORE, Applicant requests that the Commission grant its application for licensure as an agent, broker, or consultant to engage in the procurement or sale of retail electricity supply for third parties.

Respectfully submitted,

By 
Ramesh Malhotra, Officer

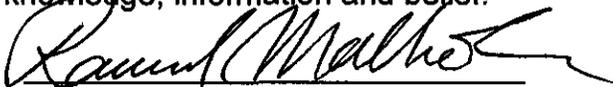
Attorney of Record:
Thomas P. Glass
Strauss & Troy
The Federal Reserve Building
150 E. Fourth Street
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(513) 621-2120
Attorney's Fax (513) 629-9426
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VERIFICATION

STATE OF Ohio

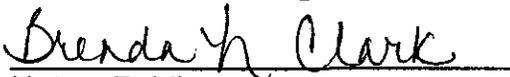
COUNTY OF Warren

Ramesh Malhotra, being first duly sworn, deposes and says that he is the President of Global Energy Services, LLC, (D/B/A in Illinois as Global Energy Consultants), that he has read the foregoing Application of Global Energy Services, LLC, and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of his knowledge, information and belief.


Ramesh Malhotra

Subscribed and sworn to before me

this 31st day of August, 2011.


Notary Public

[Stamp of Notary]



BRENDA N. CLARK, Notary Public
In and For the State of Ohio
My commission Expires, Feb. 3, 2014