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ILLINOIS COMMERCE COMMISSION

CHIEF CLERK'S OFFICE

GLOBAL ENERGY MARKET SERVICES, LLC :

Application for Licensure of
Agents, Brokers, and Consultants
under Section 16-115C
of the Public Utilities Act. :

Docket No.

11-0245 ORIGINAL

APPLICATION

Global Energy Market Services, LLC ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a license pursuant to Section 16-115C of the Public Utilities Act ("Act"). In support of its application, Applicant states as follows:

GENERAL [454.40, 454.50, 454.100]

1. Applicant's name and street address.

Global Energy Market Services, LLC
10200 Forest Green Blvd., Suite 601
Louisville, KY 40223

2. Related Information:

- Type of business entity: **Limited Liability Company**
- Jurisdiction in which and under whose laws business entity was created: **Delaware**
- Other names under which Applicant does business (D/B/A): n/a

3. Contact Persons for the following:

- a) issues related to processing this application

Elizabeth Ahrold
Counsel
10200 Forest Green Blvd., Suite 601
Louisville, KY 40223
(502) 214-9310
eahrold@fellowmccord.com

- b) issues related to complaint resolution [454.130]

Douglas Schaefer
Director, Operations
2790 Mossie Blvd, Suite 225
Monroeville, PA 15146
(412) 443-7398
dschaefer@gems-energy.com

4. Description of Applicant's business. [454.40(c)(1)]

Applicant is a broker of energy commodities, including natural gas and electricity.

In the State of Illinois, Applicant will offer retail energy supply brokerage services to industrial, commercial, municipal and residential energy users and also aggregation services to municipal and government users.

5. A statement in support of application (including supporting documents and schedules if necessary) certifying the applicant meets the requirements of Section 16-115C of the Act. [454.40(d)(1)]

Applicant certifies that it has read, understands and meets all the requirements of Section 16-115C of the Act.

6. The name, address, telephone number, any facsimile number and any e-mail address of the agent registered with the Illinois Secretary of State. This information shall be kept current and any change regarding the licensee shall be reported within 15 days after the change occurs. The required information shall be filed with the Chief Clerk of the Commission at its Springfield office. [454.40(c)(3)]

CT Corporation System
208 South LaSalle Street, Suite 814
Chicago, IL 60604

7. A statement to disclose whether the Applicant is licensed as an agent, broker or consultant in any other jurisdictions, similar to the licensure required under Section 16-115C of the Illinois Public Utilities Act. Additionally, the Applicant is directed to disclose whether it has had any complaints filed against it for its provision of any services in the electric or gas industry in this or any other jurisdiction. If yes, the Applicant is directed to include in its response the nature of the complaint, the jurisdiction, and the ultimate resolution.

Applicant is a Licensed Electric Generation Supplier in the State of Pennsylvania (License No. A-2010-2214106). (see Attachment A)

Applicant has an application pending in the State of Maryland to Supply Electric Generation Services.

8. Applicant certifies that it:

a) is licensed to do business in the State of Illinois and is in compliance with all other applicable laws, regulations and Commission rules and orders; [454.40(c)(2)]

Applicant has been granted authority to Transact Business in the State of Illinois. (see Attachment B – online record of Applicants registration with the Illinois Secretary of State)

b) shall comply with all terms and conditions required by Section 16-115C of the Act; [454.40(d)(2)]

Yes

c) shall ensure any person who acts on behalf of the entity will comply with all sections of Part 454 applicable to the function or functions to be performed; [454.40(d)(3)]

Yes

d) shall remain in compliance with the provisions of the Act and Part 454; [454.50]

Yes

e) shall ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created; and [454.100(a)]

Yes

f) shall preserve the confidentiality of its customers' data. [454.100(b)]

Yes

MANAGERIAL LICENSING QUALIFICATIONS [Section 454.60]

9. Applicant meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment C. Attachment C includes Exhibit 1 containing a corporate organizational chart and identifying the persons who are being used to

meet the requirements of Part 454.60(a). Attachment C includes Exhibit 2 containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

TECHNICAL LICENSING QUALIFICATIONS [Section 454.70]

10. Applicant meets the technical qualifications set forth in Part 454.70, as demonstrated in Attachment C. Attachment C includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

FINANCIAL LICENSING REQUIREMENTS [SECTION 454.80]

11. Applicant is required to execute and maintain a license or permit bond in the name of the People of the State of Illinois issued by a qualifying surety or insurance company authorized to transact business in the State of Illinois. The amount of the bond shall equal \$5,000.

See Attachment D – Bond

Bond was issued by Washington International Insurance Company, which is a qualifying surety as set forth in Part 454.10.

CODE OF CONDUCT [SECTION 454.90]

12. Applicant certifies that it shall:

- a) Disclose in plain language in writing the nature of the services offered by the ABC;

Yes

- b) Disclose in plain language in writing to all persons it solicits the total anticipated remuneration to be paid to it by any third party over the period of the proposed underlying customer contract. Any such disclosure must be made prior to entering into the contract and signed by the customer;

Yes

- c) Not hold itself out as independent or unaffiliated with any RES, or both, or use words calculated to give that impression, unless the person or entity offering service under this Section 16-115C of the Act has no contractual relationship with any RES or its affiliates regarding retail electric service in Illinois;

Yes

- d) Not utilize false, misleading, materially inaccurate, defamatory, or otherwise deceptive language or materials in the soliciting or providing of its services;

Yes

- e) Maintain copies of all marketing materials disseminated to third parties for a period of not less than three years;

Yes

- f) Maintain copies of all disclosure statements required in subsections (a) and (b) for a period of not less than three years;

Yes

- g) Not present electricity pricing information in a manner that favors one supplier over another, unless a valid pricing comparison is made utilizing all relevant costs and terms; and

Yes

- h) Comply with the requirements of Sections 2EE, 2FF, 2GG, and 2HH of the Consumer Fraud and Deceptive Business Practices Act [815 ILCS 505/2EE, 2FF, 2GG, and 2HH].

Yes

REPORTING REQUIREMENTS [SECTION 454.110]

- 13. Applicant agrees to submit reports in accordance with annual reporting requirements. [454.110]

WHEREFORE, Applicant requests that the Commission grant its application for licensure as an agent, broker, or consultant to engage in the procurement or sale of retail electricity supply for third parties.

Respectfully submitted,

GLOBAL ENERGY MARKET SERVICES, LLC

By: Peter C. Brown
Peter C. Brown, COO

VERIFICATION

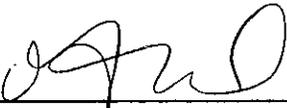
STATE OF Kentucky)
COUNTY OF Jefferson)

Peter C. Brown, being first duly sworn, deposes and says that he is the COO of Global Energy Market Services, LLC; that he has read the foregoing Application of Global Energy Market Services, LLC, and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of his knowledge, information and belief.



Peter C. Brown

Subscribed and sworn to before me
this 9th day of March, 2011.



Notary Public

