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ILLINOIS COMMERCE COMMISSION

CHIEF CLERK'S OFFICE

**Options Consulting Services, LLC**  
**Doing business as**  
**Options Energy Consulting, LLC**  
**7300 International Drive**  
**Holland, OH 43528**

Application for Licensure of  
Agents, Brokers, and Consultants  
Under Section 16-115C  
of the Public Utilities Act.

Docket No. *11-6040*

APPLICATION - *Amended*

Options Energy Consulting, LLC ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a license pursuant to Section 16-115C of the Public Utilities Act ("Act"). In support of its application, Applicant states as follows:

GENERAL [454.40, 454.50, 454.100]

1. Applicant's name and street address. **Options Consulting Services, LLC d/b/a Options Energy Consulting LLC, 7300 International Dr. Holland, OH 43528**
2. Related Information:
  - Type of business entity: [corporation, LLP, LLC, etc.] **Options Energy is an LLC**
  - Jurisdiction in which and under whose laws business entity was created: **Ohio**
  - Other names under which Applicant does business (D/B/A): **N/A**
3. Contact Persons for the following:
  - a) issues related to processing this application and
  - b) issues related to complaint resolution [454.130]
 Provide each contact person's name, title, mailing address, telephone number, and e-mail address for a) and b). **Douglas Teskey, President and CEO, 7300 International Dr. Holland, OH 43528. 419-794-1404**

4. Description of Applicant's business. [454.40(c)(1)] **Options Energy Consulting, LLC is a marketing company. We are "consultants" who market for Choice Energy Suppliers.**
5. A statement in support of application, supporting documents, and schedules containing information showing that the applicant meets the requirements of Section 16-115C of the Act. [454.40(d)(1)] **We meet the requirements noted in the aforementioned section.**
6. The name, address, telephone number, any facsimile number and any e-mail address of the agent registered with the Illinois Secretary of State. This information shall be kept current and any change regarding the licensee shall be reported within 15 days after the change occurs. The required information shall be filed with the Chief Clerk of the Commission at its Springfield office. [454.40(c)(3)] **Incorp Services, Inc., 2360 Corporate Circle, Suite 400 Henderson, NV 89074. 702-866-2500**
7. A statement to disclose whether the Applicant is licensed as an agent, broker or consultant in any other jurisdictions, similar to the licensure required under Section 16-115C of the Illinois Public Utilities Act. Additionally, the Applicant is directed to disclose whether it has had any complaints filed against it for its provision of any services in the electric or gas industry in this or any other jurisdiction. If yes, the Applicant is directed to include in its response the nature of the complaint, the jurisdiction, and the ultimate resolution. **Options Energy Consulting currently is licensed to market for Choice Suppliers in Natural Gas and Electricity and does business in the states of Ohio, Michigan, California and Pennsylvania. Options Energy has not had any complaints filed against it.**
8. Applicant certifies that it:
  - a) is licensed to do business in the State of Illinois and is in compliance with all other applicable laws, regulations and Commission rules and orders; [454.40(c)(2)] **Options Consulting Services, LLC d/b/a Options Energy Consulting, LLC is in agreement with Licensed Choice Suppliers in the state of Illinois to do business as a marketing company. Please see the attached file#3476421 document LLC-1.20**
  - b) shall comply with all terms and conditions required by Section 16-115C of the Act; [454.40(d)(2)] **Options Energy Consulting, LLC shall comply with all terms and conditions required by the referenced section.**
  - c) shall ensure any person who acts on behalf of the entity will comply with all sections of Part 454 applicable to the function or functions to be performed; [454.40(d)(3)] **Any and all employees of Options Energy Consulting, LLC shall comply with all sections of Part 454 applicable to the function or functions to be performed.**

- d) shall remain in compliance with the provisions of the Act and Part 454; [454.50] **Options Energy Consulting, LLC shall remain in compliance with the provisions of the Act and Part 454.**
- e) shall ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created; and [454.100(a)] **Options Energy Consulting, LLC shall keep all authorizations received from customers and all other applicable records for a period no less than three years after the date that it was created.**
- f) shall preserve the confidentiality of its customers' data. [454.100(b)] **Options Energy Consulting, LLC shall preserve the confidentiality of its customer's data.**

MANAGERIAL LICENSING QUALIFICATIONS [Section 454.60]

- 9. Applicant meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment B. Attachment B includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment \_ includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a). [Attachment should include narrative and/or resume of key personnel showing clearly how the managerial experience requirement is being met.] **Please see the attached.**

**REVISION: Please see Attachment B revised**

TECHNICAL LICENSING QUALIFICATIONS [Section 454.70]

- 10. Applicant meets the technical qualifications set forth in Part 454.70, as demonstrated in Attachment A. Attachment A includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a). [The attachment shall identify the persons who are being used to meet each of the requirements of Part 454.70. Attachment should include narrative and/or resumes of key personnel showing clearly how the technical experience requirement is being met.]

**REVISION: Please see Attachment A revised**

FINANCIAL LICENSING REQUIREMENTS [SECTION 454.80]

- 11. Applicant is required to execute and maintain a license or permit bond in the name of the People of the State of Illinois issued by a qualifying surety or insurance company authorized to transact business in the State of Illinois. The amount of the bond shall equal \$5,000. [Attach the license or permit bond required by Part 454.80(a) and proof that the surety meets the definition of "qualifying surety" as set forth in Part 454.10.]

[Department of the Treasury's Listing of Approved Sureties (Department Circular 570) (<http://www.fms.treas.gov/c570/c570.html>)]

**Please find enclosed our bond for \$5,000 as required.**

CODE OF CONDUCT [SECTION 454.90]

12. Applicant certifies that it shall:

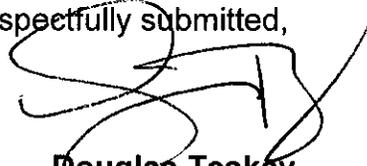
- a) Disclose in plain language in writing the nature of the services offered by the ABC; **Options Energy Consulting, LLC will disclose in plain language in writing the nature of services offered by the ABC. Options Energy is to market for the Licensed Choice Suppliers, in which we have an agreement with, bringing them business and bringing awareness to the community.**
- b) Disclose in plain language in writing to all persons it solicits the total anticipated remuneration to be paid to it by any third party over the period of the proposed underlying customer contract. Any such disclosure must be made prior to entering into the contract and signed by the customer; **Options Energy Consulting, LLC agrees to this.**
- c) Not hold itself out as independent or unaffiliated with any RES, or both, or use words calculated to give that impression, unless the person or entity offering service under this Section 16-115C of the Act has no contractual relationship with any RES or its affiliates regarding retail electric service in Illinois; **Options Energy Consulting, LLC agrees to this.**
- d) Not utilize false, misleading, materially inaccurate, defamatory, or otherwise deceptive language or materials in the soliciting or providing of its services; **Options Energy Consulting, LLC agrees to this.**
- e) Maintain copies of all marketing materials disseminated to third parties for a period of not less than three years; **Options Energy Consulting, LLC agrees to this.**
- f) Maintain copies of all disclosure statements required in subsections (a) and (b) for a period of not less than three years; **Options Energy Consulting, LLC agrees to this.**
- g) Not present electricity pricing information in a manner that favors one supplier over another, unless a valid pricing comparison is made utilizing all relevant costs and terms; **Options Energy Consulting, LLC agrees to this and**
- h) Comply with the requirements of Sections 2EE, 2FF, 2GG, and 2HH of the Consumer Fraud and Deceptive Business Practices Act [815 ILCS 505/2EE, 2FF, 2GG, and 2HH]. **Options Energy Consulting, LLC agrees to this.**

REPORTING REQUIREMENTS [SECTION 454.110]

13. Applicant agrees to submit reports in accordance with annual reporting requirements. [454.110] **Options Energy Consulting, LLC agrees to submit reports in accordance with annual reporting requirements.**

WHEREFORE, Applicant requests that the Commission grant its application for licensure as an agent, broker, or consultant to engage in the procurement or sale of retail electricity supply for third parties.

Respectfully submitted,

  
By: **Douglas Teskey,**  
**President and CEO**

Name of Attorney **Joseph J. Solomon**

Attorney's Firm or Company Name **Jones & Solomon Attorneys at Law**

Address of Attorney **Two Maritime Plaza, Third Floor, Toledo, OH 43604**

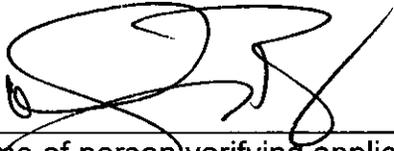
Attorney's Telephone Number **419-246-2022**

Attorney's Fax and E-mail (optional) **419-243-8953**

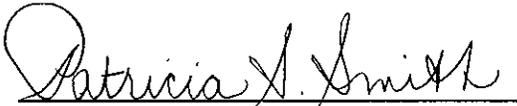
VERIFICATION

STATE OF OHIO )  
COUNTY OF LUCAS )

DOUGLAS TESKEY, being first duly sworn, deposes and says that she/he is [the] [a(n)] PRESIDENT [of][for] [Applicant]; that he/she has read the foregoing Application of [Applicant], and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of [her][his] knowledge, information and belief.

  
\_\_\_\_\_  
[Name of person verifying application]

Subscribed and sworn to before me  
this 22 day of FEBRUARY, 2011.

  
\_\_\_\_\_  
Notary Public



**PATRICIA S. SMITH**  
Notary Public, State of Ohio  
My Commission Expires  
February 16, 2014