

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

Patriot Energy Group, Inc.

Application for Licensure of
Agents, Brokers, and Consultants
under Section 16-115C
of the Public Utilities Act.

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Docket No. 10-0665

APPLICATION

Patriot Energy Group, Inc. ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a license pursuant to Section 16-115C of the Public Utilities Act ("Act"). In support of its application, Applicant states as follows:

GENERAL [454.40, 454.50, 454.100]

1. Applicant's name and street address.

**Patriot Energy Group, Inc.
10 Tower Office Park, Suite 317
Woburn, MA 01801**

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ILLINOIS COMMERCE COMMISSION
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2. Related Information:
 - Type of business entity: **Corporation**
 - Jurisdiction in which and under whose laws business entity was created: **Massachusetts**
 - Other names under which Applicant does business (D/B/A): **N/A**
3. Contact Persons for the following:
 - a) issues related to processing this application and

**David Reinfeld, COO
10 Tower Office Park, Suite 317**

**Woburn, MA 01801
P: 781-376-1888
dreinfeld@patriotenergygroup.com**

- b) issues related to complaint resolution [454.130]

**Louis Frate, CEO
10 Tower Office Park, Suite 317
Woburn, MA 01801
P: 781-376-1888
lfrate@patriotenergygroup.com**

Provide each contact person's name, title, mailing address, telephone number, and e-mail address for a) and b).

4. Description of Applicant's business. [454.40(c)(1)]

Patriot Energy Group, Inc. provides electric and natural gas brokerage services to commercial and industrial customers in deregulated markets. Patriot Energy Group, Inc. doesn't take title to the commodity, doesn't provide billing services, doesn't initiate any switching with the distribution companies, doesn't invoice the customer, and gets paid directly from licensed suppliers who serve the customer.

5. A statement in support of application, supporting documents, and schedules containing information showing that the applicant meets the requirements of Section 16-115C of the Act. [454.40(d)(1)]

A Verification Statement is attached as Appendix A.

6. The name, address, telephone number, any facsimile number and any e-mail address of the agent registered with the Illinois Secretary of State. This information shall be kept current and any change regarding the licensee shall be reported within 15 days after the change occurs. The required information shall be filed with the Chief Clerk of the Commission at its Springfield office. [454.40(c)(3)]

**InCorp Services, Inc.
2501 Chatham Road, Suite 110
Springfield, IL 62704**

7. A statement to disclose whether the Applicant is licensed as an agent, broker or consultant in any other jurisdictions, similar to the licensure required under

Section 16-115C of the Illinois Public Utilities Act. Additionally, the Applicant is directed to disclose whether it has had any complaints filed against it for its provision of any services in the electric or gas industry in this or any other jurisdiction. If yes, the Applicant is directed to include in its response the nature of the complaint, the jurisdiction, and the ultimate resolution.

Patriot Energy Group, Inc. is licensed to provide the following services in the following areas:

Connecticut, Electric Broker/Aggregator, Docket No. 06-12-35
Maine, Electric Broker, Docket No. 2007-515
Massachusetts, Electric Supplier, License No. CS-048
Massachusetts, Gas Broker, License No. RA-027
New Jersey, Energy Agent, License Nos. EA-0078
New Jersey, Private Aggregator, License Nos. PA-0072
New Hampshire, Electric Broker, License No. DM-09-047
New Hampshire, Gas Broker, License No. DM-09-145
Ohio, Electric Broker, Case No. 09-0741-EL-AGG
Pennsylvania, Electric Broker, Docket No. A-2009-2128946
Pennsylvania, Gas Broker, Docket No. A-2009-2130754
Texas, Electric Broker, Registration No. 80265

No complaints have been filed against Patriot Energy Group, Inc. in any jurisdiction.

8. Applicant certifies that it:
- a) is licensed to do business in the State of Illinois and is in compliance with all other applicable laws, regulations and Commission rules and orders; [454.40(c)(2)]
 - b) Shall comply with all terms and conditions required by Section 16-115C of the Act; [454.40(d)(2)]
 - c) shall ensure any person who acts on behalf of the entity will comply with all sections of Part 454 applicable to the function or functions to be performed; [454.40(d)(3)]
 - d) Shall remain in compliance with the provisions of the Act and Part 454; [454.50]
 - e) Shall ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created; and [454.100(a)]

- f) shall preserve the confidentiality of its customers' data. [454.100(b)]

MANAGERIAL LICENSING QUALIFICATIONS [Section 454.60]

9. Applicant meets the managerial qualifications set forth in Part 454.60, as demonstrated in **Attachment B**. **Attachment B** includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). **Attachment B** includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a). [Attachment should include narrative and/or resume of key personnel showing clearly how the managerial experience requirement is being met.]

TECHNICAL LICENSING QUALIFICATIONS [Section 454.70]

10. Applicant meets the technical qualifications set forth in Part 454.70, as demonstrated in **Attachment C**. **Attachment C** includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a). [The attachment shall identify the persons who are being used to meet each of the requirements of Part 454.70. Attachment should include narrative and/or resumes of key personnel showing clearly how the technical experience requirement is being met.]

FINANCIAL LICENSING REQUIREMENTS [SECTION 454.80]

11. Applicant is required to execute and maintain a license or permit bond in the name of the People of the State of Illinois issued by a qualifying surety or insurance company authorized to transact business in the State of Illinois. The amount of the bond shall equal \$5,000. [Attach the license or permit bond required by Part 454.80(a) and proof that the surety meets the definition of "qualifying surety" as set forth in Part 454.10.]

[Department of the Treasury's Listing of Approved Sureties (Department Circular 570) (<http://www.fms.treas.gov/c570/c570.html>)]

CODE OF CONDUCT [SECTION 454.90]

12. Applicant certifies that it shall:
- a) Disclose in plain language in writing the nature of the services offered by the ABC;
 - b) Disclose in plain language in writing to all persons it solicits the total anticipated remuneration to be paid to it by any third party over the period of the proposed underlying customer contract. Any such disclosure must be made prior to entering into the contract and signed by the customer;

Form for ABC Applicants

- c) Not hold itself out as independent or unaffiliated with any RES, or both, or use words calculated to give that impression, unless the person or entity offering service under this Section 16-115C of the Act has no contractual relationship with any RES or its affiliates regarding retail electric service in Illinois;
- d) Not utilize false, misleading, materially inaccurate, defamatory, or otherwise deceptive language or materials in the soliciting or providing of its services;
- e) Maintain copies of all marketing materials disseminated to third parties for a period of not less than three years;
- f) Maintain copies of all disclosure statements required in subsections (a) and (b) for a period of not less than three years;
- g) Not present electricity pricing information in a manner that favors one supplier over another, unless a valid pricing comparison is made utilizing all relevant costs and terms; and
- h) Comply with the requirements of Sections 2EE, 2FF, 2GG, and 2HH of the Consumer Fraud and Deceptive Business Practices Act [815 ILCS 505/2EE, 2FF, 2GG, and 2HH].

REPORTING REQUIREMENTS [SECTION 454.110]

- 13. Applicant agrees to submit reports in accordance with annual reporting requirements. [454.110]

WHEREFORE, Applicant requests that the Commission grant its application for licensure as an agent, broker, or consultant to engage in the procurement or sale of retail electricity supply for third parties.

Respectfully submitted,

Patriot Energy Group, Inc.

By: 

Officer

VERIFICATION – Attachment A

STATE OF MASSACHUSETTS
COUNTY OF MIDDLESEX

David Reinfeld, being first duly sworn, deposes and says that he is the
COO of **Patriot Energy Group, Inc.** that he has read the foregoing
Application of **Patriot Energy Group, Inc.** and all of the attachments accompanying
and referred to within the Application; and that the statements contained in the
Application and the attachments are true, correct and complete to the best of his
knowledge, information and belief.


Name:

Subscribed and sworn to before me
this 18th day of November, 2010.

Keira Christensen
Notary Public

[Stamp of Notary]

