

**STATE OF ILLINOIS**

**ILLINOIS COMMERCE COMMISSION**

Union Pacific Railroad Company, the City of :  
Rockford, Osceola Township in Stark County, :  
Hahnaman Township in Whiteside County, the City :  
of DeKalb, the County of Peoria, the County of :  
Grundy, the City of Nokomis, and the State of :  
Illinois, Department of Transportation. :

T10-0066

Stipulated Agreement regarding improving public :  
safety at the following highway-rail grade crossings :  
of the Company's track(s) in Illinois: 6<sup>th</sup> Avenue, :  
AAR/DOT #174 431X, milepost 91.79 in Rockford; :  
1700N(TR 9), AAR/DOT #175 683C, milepost 41.41 :  
near Buda; Osage Rd (TR 1), AAR/DOT #175 :  
730H, milepost 15.01 near Normandy; 7<sup>th</sup> Street, :  
AAR/DOT #175 760A, milepost 28.32 in DeKalb; :  
Reservoir Blvd., AAR/DOT #175 892K, milepost :  
75.76 near Peoria; Reed Road, AAR/DOT #290 :  
476W, milepost 59.70 near Coal City; Braceville :  
Rd., AAR/DOT #290 478K, milepost 61.71 in :  
Braceville; Maple Street, AAR/DOT #542 144B, :  
milepost 217.98 in Nokomis. :

**ORDER**

By the Commission:

**PROCEDURAL HISTORY**

On February 17, 2010, February 22, 2010, February 22, 2010, February 27, 2010, March 15, 2010, March 15, 2010, March 26, 2010, April 9, 2010, April 19, 2010 and April 26, 2010 the Staff of the Illinois Commerce Commission ("Commission"), City of Nokomis, City of DeKalb, Osceola Township, Grundy County, Illinois Department of Transportation ("Department" or "IDOT"), Union Pacific Railroad Company ("Company"), Hahnaman Township, Peoria County and City of Rockford respectively, executed Stipulated Agreement 1462 according to the provisions of Section 18c-7401 of the Illinois Commercial Transportation Law. The Stipulated Agreement, which is appended hereto, provides for crossing surface renewals at the following highway grade crossings of the Company's track(s) in Illinois:

<u>Roadway</u>	<u>AAR/DOT</u>	<u>Milepost</u>	<u>Location</u>
6 <sup>th</sup> Avenue	174 431X	91.79	Rockford
1700N(TR 9)	175 683C	41.41	Buda (near)
Osage Rd (TR 1)	175 730H	15.01	Normandy (near)
7 <sup>th</sup> Street	175 760A	28.32	DeKalb
Reservoir Blvd.	175 892K	75.76	Peoria (near)
Reed Road	290 476W	59.70	Coal City (near)
Braceville Rd.	290 478K	61.71	Braceville
Maple Street	542 144B	217.98	Nokomis

Stipulated Agreement 1462 contains estimates of cost to accomplish the improvements and a division of cost among the parties and the Grade Crossing Protection Fund ("GCPF") of the Motor Fuel Tax Law.

### **FINDINGS AND ORDERING PARAGRAPHS**

The Commission, having given due consideration to the Stipulated Agreement, finds that:

- (1) The Commission has jurisdiction over the parties and the subject matter of this proceeding;
- (2) The physical characteristics of the subject highway-railroad grade crossings, rail and highway volumes and speeds are indicated on Exhibits A1-A8 of the Stipulated Agreement appended hereto;
- (3) The parties agree that in the interest of public safety the improvements as set forth in Section 2 of the Stipulated Agreement, appended hereto should be performed;
- (4) The cost for the proposed improvements should be divided among the parties and the Grade Crossing Protection Fund of the Motor Fuel Tax Law, in accordance with the Law;
- (5) It is fair and reasonable that the Secretary of the Illinois Department of Transportation through the GCPF of the Motor Fuel Tax Law and Union Pacific Railroad Company should be directed to bear their respective portions of the actual cost of the proposed improvements as set forth in Section 5 of the Stipulated Agreement appended hereto;
- (6) 625 ILCS 5/18c-1701 and 1704 require each "person," as defined by Section 18c-1104, to comply with every regulation or order of the Commission. These sections further provide that any person who fails to comply with a Commission regulation or order shall forfeit to the state not more than \$1,000 for each such failure, with each day's continuance of the violation being considered a separate offense. While the Commission

expects all parties to comply with this Order in all matters addressed herein and in a timely manner, the Commission advises that any failure to comply may result in the assessment of such sanctions;

- (7) Any person making a Request for an Extension of Time up to 30 days to complete a project ordered by the Commission must file a request with the Director of Processing and Information no later than 14 days in advance of the scheduled deadline. An Administrative Law Judge will consider and decide the request;
- (8) Any person making a Request for an Extension of Time that exceeds 30 days must file a Petition for Supplemental Order with the Director of Processing and Information no later than 21 days in advance of the scheduled deadline. The Commission will decide Petitions for Supplemental Orders;
- (9) Requests for Extension of Time and Petitions for Supplemental Orders must include the reason(s) the additional time is needed to complete the work and the time within which the project will be completed. Prior to submitting a Request for Extension of Time or a Petition for Supplemental Order, the person must notify the Commission's Rail Safety Program Administrator that it is unable to complete the project within the ordered timeframe;
- (10) The Commission or its Administrative Law Judge reserves the right to deny Petitions for Supplemental Orders and Requests for Extension of Time, if the reason(s) supporting the request is (are) insufficient or where it appears the person has not made a good faith effort to complete the project within the allotted time. Failure of the Commission or Administrative Law Judge to act on a pleading prior to the deadline means the originally ordered completion date remains in effect;

IT IS THEREFORE ORDERED that the terms of Stipulated Agreement 1462, executed by Staff of the Commission, City of Nokomis, City of DeKalb, Osceola Township, Grundy County, Illinois Department of Transportation, Union Pacific Railroad Company, Hahnman Township, Peoria County and City of Rockford on February 17, 2010, February 22, 2010, February 22, 2010, February 27, 2010, March 15, 2010, March 15, 2010, March 26, 2010, April 9, 2010, April 19, 2010 and April 26, 2010 respectively, be and they are hereby accepted and required to be met by the aforesaid parties.

IT IS FURTHER ORDERED that Union Pacific Railroad Company be, and it is hereby required and directed to proceed immediately in performing the improvements as set forth in Section 2 of the Stipulated Agreement, appended hereto, and shall complete the work within nine (9) months from the date of this Order.

IT IS FURTHER ORDERED that Union Pacific Railroad Company shall prepare and submit a copy of a detailed traffic detour plan and proposed project schedule to the City of Rockford, Osceola Township, Hahnaman Township, the City of DeKalb, the County of Peoria, the County of Grundy and the City of Nokomis for approval. Submission of the detour plan and project schedule information shall be no later than sixty (60) calendar days prior to the scheduled date for the commencement of construction activities.

IT IS FURTHER ORDERED that the City of Rockford, Osceola Township, Hahnaman Township, the City of DeKalb, the County of Peoria, the County of Grundy and the City of Nokomis shall provide notice (via phone, electronic mail, standard mail, or fax) to the Commission within fifteen (15) calendar days after it has received the Union Pacific Railroad Company traffic detour plan and proposed project schedule. The notice shall indicate roadway authority approval or disapproval of their respective traffic detour plan and project schedule for the proposed improvements.

IT IS FURTHER ORDERED that the cost of making the improvements hereinbefore required shall be divided among the parties and the Grade Crossing Protection Fund of the Motor Fuel Tax Law as set forth in Section 5 of the Stipulated Agreement, appended hereto.

IT IS FURTHER ORDERED that all bills for expenditures authorized for reimbursement from the Grade Crossing Protection Fund shall be submitted to the Fiscal Control Unit, Bureau of Local Roads and Streets, Illinois Department of Transportation, 2300 S. Dirksen Parkway, Springfield, IL 62764. The Department shall send a copy of all invoices to the Director of Processing and Information, Transportation Bureau of the Commission. All bills shall be submitted to the Department no later than twelve (12) months from the completion date specified in this Commission Order. The final bill for expenditures shall be clearly marked "Final Bill". All bills shall meet the minimum documentation requirements set forth in Section 8 of the Stipulated Agreement, appended hereto. The Department shall not obligate any assistance from the Grade Crossing Protection Fund for the cost of proposed improvements set forth in Section 2 of the Stipulated Agreement, appended hereto, without prior approval by the Commission. The Commission shall, at the end of the 12<sup>th</sup> month from the completion date specified in this or any Supplemental Order, conduct a review to determine if any unused assistance from the Grade Crossing Protection Fund should be de-obligated. Upon completion of the review, the Commission shall notify the Department to de-obligate all residual funds accountable for installation costs for this project. Notification may be by regular mail, electronic mail, fax, or phone.

IT IS FURTHER ORDERED that Union Pacific Railroad Company, at three (3) month intervals from the date of this Commission Order, shall submit a Project Status Report, attached as Exhibit 1 of the Stipulated Agreement, with the Director of Processing and Information, Transportation Bureau of the Commission, stating the progress it has made toward completion of its work herein required. The Project Status Report shall include the Commission Order Number, the Order date, the project completion date as noted in the Order, crossing information (Inventory Numbers and Railroad Mileposts), type of improvement, and the name, title, mailing address, phone

number, facsimile number and electronic mailing address of the Company Project Manager.

IT IS FURTHER ORDERED that Union Pacific Railroad Company, within five (5) days of the completion of the work herein required of it, shall submit completely updated United States Department of Transportation Inventory Forms (6180.71), to the Federal Railroad Administration, the Chief of Data Services at the Illinois Department of Transportation, and the Director of Processing and Information, Transportation Bureau of the Commission.

IT IS FURTHER ORDERED that Union Pacific Railroad Company be, and it is hereby required and directed to submit a Project Status Report, to the Director of Processing and Information, Transportation Bureau of the Commission, stating that the work herein required of it has been completed. Said Report shall be submitted within five (5) days after the project completion date.

IT IS FURTHER ORDERED that any person making a Request for an Extension of Time up to 30 days to complete a project ordered by the Commission must file a request with the Director of Processing and Information no later than 14 days in advance of the scheduled deadline. An Administrative Law Judge will consider and decide the request.

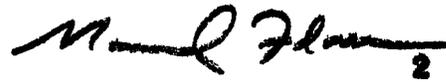
IT IS FURTHER ORDERED that any person making a Request for an Extension of Time that exceeds 30 days must file a Petition for Supplemental Order with the Director of Processing and Information no later than 21 days in advance of the scheduled deadline. The Commission will decide Petitions for Supplemental Orders.

IT IS FURTHER ORDERED that Requests for Extension of Time and Petitions for Supplemental Orders must include the reason(s) the additional time is needed to complete the work and the time within which the project will be completed. Prior to submitting a Request for Extension of Time or a Petition for Supplemental Order, the person must notify the Commission's Rail Safety Program Administrator that it is unable to complete the project within the ordered timeframe.

IT IS FURTHER ORDERED that the Commission or its Administrative Law Judge reserves the right to deny Petitions for Supplemental Orders and Requests for Extension of Time, if the reason(s) supporting the request is (are) insufficient or where it appears the person has not made a good faith effort to complete the project within the allotted time. Failure of the Commission or Administrative Law Judge to act on a pleading prior to the deadline means the originally ordered completion date remains in effect.

IT IS FURTHER ORDERED that, subject to Sections 18c-2201 and 18c-2206 of the Law, this is the final decision subject to the Administrative Review Law.

By Order of the Commission this 2<sup>nd</sup> day of June 2010.

A handwritten signature in black ink, appearing to read "Manuel Flores" with a subscript "2" at the end.

MANUEL FLORES  
ACTING CHAIRMAN

JUDGE
SECTION CHIEF <i>mes</i>
ORDERS SUPERVISOR