

Johnson Controls, Inc.  
3007 Malmö Drive, Arlington Heights, IL 60005  
Tel 847 364 1500 Fax 847 364 1536



**OFFICIAL FILE**  
**ILLINOIS COMMERCE COMMISSION**

Elizabeth A. Rolando, Chief Clerk  
Illinois Commerce Commission  
527 East Capitol Avenue  
Springfield, IL 62701

10/21/10  
ILLINOIS  
COMMERCE COMMISSION  
2010 MAY -3 A 9:40  
CHIEF CLERK'S OFFICE

Re: Application for Licensure of :  
Agents, Brokers, and Consultants :  
under Section 16-115C :  
of the Public Utilities Act. :

Docket No. 10-0179

Ms. Rolando

In response to the request from the Illinois Commerce Commission for Miscellaneous Additional Requirements, please accept the following statements:

1. Johnson Controls, Inc. is not currently licensed as an agent, broker or consultant in any other jurisdiction, similar to the licensure required under Section 16-115C of the Illinois Public Utilities Act.
2. Johnson Controls, Inc. has not had any complaints filed against it for its provision of any services in the electric or gas industry in this or any other jurisdiction.

In response to the request from the Illinois Commerce Commission for Financial Licensing Requirements (Section 454.80), please accept the following statements:

1. The original license or permit bond in favor of the People of the State of Illinois is attached pursuant to Section 454.80.

In response to the request from the Illinois Commerce Commission for Technical Licensing Requirements (Subsection 454.70(a)), please accept the following statements:

1. The updated Attachment B is attached, providing information that shows that Applicant has the requisite employees with the required experience in the electric industry of at least one year.

Should The Illinois Commerce Commission require clarification on any point, please contact Johnson Controls, Inc. in the manner most convenient.

Sincerely,



R. Scott Allen  
Lead Performance Assurance Engineer  
Johnson Controls, Inc.



LICENSE OR PERMIT BOND

Liberty Mutual Surety  
1001 4th Avenue, Suite 1700  
Seattle, WA 98154

Bond 6679655

LICENSE OR PERMIT BOND

KNOW ALL BY THESE PRESENTS, That we, Johnson Controls, Inc.  
5757 North Green Bay Avenue, Milwaukee, WI 53209  
as Principal, and the Safeco Insurance Company of America, a Washington corporation,  
as Surety, are held and firmly bound unto People of the State of Illinois  
527 E. Capitol Avenue, Springfield, IL 62701, as Obligee,  
in the sum of Five Thousand Dollars and 00/100  
Dollars (\$5,000.00)  
for which sum, well and truly to be paid, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

Signed and sealed this 11th day of December, 2009.

THE CONDITION OF THIS OBLIGATION IS SUCH, That WHEREAS, the Principal has been or is about to be granted a license or permit to do business as Consultant by the Obligee.

NOW, Therefore, if the Principal well and truly comply with applicable local ordinances, and conduct business in conformity therewith, then this obligation to be void; otherwise to remain in full force and effect.

PROVIDED, HOWEVER; 1. This bond shall continue in force:

Until \_\_\_\_\_, \_\_\_\_\_, or until the date of expiration of any Continuation Certificate executed by the Surety

OR

Until canceled as herein provided.

2 This bond may be canceled by the Surety by the sending of notice in writing to the Obligee, stating when, not less than thirty days thereafter, liability hereunder shall terminate as to subsequent acts or omissions of the Principal.

Johnson Controls, Inc.  
Principal  
By Catherine B. Hutson  
Catherine B. Hutson Attorney-In-Fact



Safeco Insurance Company of America  
By Tracy K. Matthews  
Tracy K. Matthews Attorney-in-Fact

Johnson Controls, Inc.  
5757 N. Green Bay Avenue  
Milwaukee, WI 53209



**DELEGATION OF AUTHORITY**

The undersigned, President of Johnson Controls, Inc., a Wisconsin corporation (the "Company"), pursuant to the authority vested in him by a certain resolution adopted by the Board of Directors of the Company on January 23, 1980, hereby authorizes:

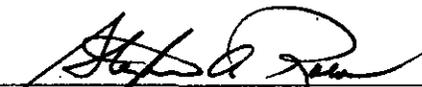
Catherine B. Hutson  
Hays Companies  
1200 N. Mayfair Road, Suite 100  
Milwaukee, WI 53226

to perform, on behalf of the Company, the acts described below:

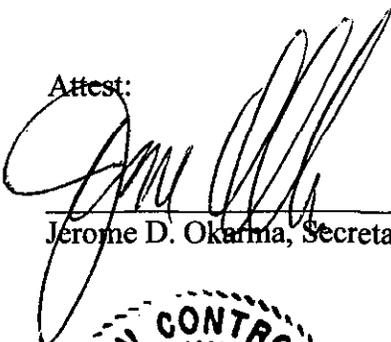
To execute, seal and deliver, as attorney-in-fact for the Company, surety bonds forwarded to Hays Companies by a Company authorized surety that do not exceed Two Million Dollars (\$2,000,000.00) that are necessary and proper in carrying on the business of the Company.

This authority shall remain in full force and effect for six (6) months from the date of issue.

Signed at Milwaukee, Wisconsin, this 11<sup>th</sup> day of December 2009

  
\_\_\_\_\_  
Stephen A. Roell, President

Attest:

  
\_\_\_\_\_  
Jerome D. Okarma, Secretary





POWER OF ATTORNEY

Safeco Insurance Company of America
General Insurance Company of America
1001 4th Avenue
Suite 1700
Seattle, WA 98154

No. 10618

KNOW ALL BY THESE PRESENTS:

That SAFECO INSURANCE COMPANY OF AMERICA and GENERAL INSURANCE COMPANY OF AMERICA, each a Washington corporation, does each hereby appoint

KATHLEEN A. CRARY; LUCY A. HANTZSCH; CATHY HUTSON; DANIEL J. KWIECINSKI; TRACY K. MATTHEWS; WENDY S. MILLER; DANIEL J. SAPIRO; LISA M. SLAKES; Milwaukee, Wisconsin

its true and lawful attorney(s)-in-fact, with full authority to execute on its behalf fidelity and surety bonds or undertakings and other documents of a similar character issued in the course of its business, and to bind the respective company thereby.

IN WITNESS WHEREOF, SAFECO INSURANCE COMPANY OF AMERICA and GENERAL INSURANCE COMPANY OF AMERICA have each executed and attested these presents

this 21st day of March, 2009

Dexter R. Legg (signature)

Timothy A. Mikolajewski (signature)

Dexter R. Legg, Secretary

Timothy A. Mikolajewski, Vice President

CERTIFICATE

Extract from the By-Laws of SAFECO INSURANCE COMPANY OF AMERICA and of GENERAL INSURANCE COMPANY OF AMERICA:

"Article V, Section 13. - FIDELITY AND SURETY BONDS ... the President, any Vice President, the Secretary, and any Assistant Vice President appointed for that purpose by the officer in charge of surety operations, shall each have authority to appoint individuals as attorneys-in-fact or under other appropriate titles with authority to execute on behalf of the company fidelity and surety bonds and other documents of similar character issued by the company in the course of its business...

Extract from a Resolution of the Board of Directors of SAFECO INSURANCE COMPANY OF AMERICA and of GENERAL INSURANCE COMPANY OF AMERICA adopted July 28, 1970.

"On any certificate executed by the Secretary or an assistant secretary of the Company setting out,

- (i) The provisions of Article V, Section 13 of the By-Laws, and
(ii) A copy of the power-of-attorney appointment, executed pursuant thereto, and
(iii) Certifying that said power-of-attorney appointment is in full force and effect,

the signature of the certifying officer may be by facsimile, and the seal of the Company may be a facsimile thereof."

I, Dexter R. Legg, Secretary of SAFECO INSURANCE COMPANY OF AMERICA and of GENERAL INSURANCE COMPANY OF AMERICA, do hereby certify that the foregoing extracts of the By-Laws and of a Resolution of the Board of Directors of these corporations, and of a Power of Attorney issued pursuant thereto, are true and correct, and that both the By-Laws, the Resolution and the Power of Attorney are still in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the facsimile seal of said corporation

this 11th day of December, 2009



Dexter R. Legg (signature)

Dexter R. Legg, Secretary

## Attachment B

### TECHNICAL LICENSING QUALIFICATIONS

Key Members of the technical team in charge of the energy consulting services in the state of Illinois consist of:

#### **R. Scott Allen, CEM**

Lead Performance Assurance Engineer

##### **Areas of expertise**

Broad-based experience in energy management consulting, technical sales, engineering assistance for industrial, commercial and institutional customers. Primary responsibilities include: Energy Engineering, Guarantee Management, Facility Consulting, Energy Calculations, Utility Bill Analysis, Carbon Management, Energy Star, Project Monitoring, and Energy Procurement for various Johnson Controls Clients

##### **Current Responsibilities**

Manage the Performance Assurance Team in Northern Illinois and the State of Wisconsin.

##### **Industry Experience**

**18 years of industry experience** (10 with Johnson Controls)

**Electric Industry Experience:** 9 years of electric supply contract consulting in Illinois deregulated market. Experience includes direct management of electric procurement for clients, RFP development/management/response analysis, and cogeneration system optimization. Current electric supply service customers include Higher Education, K-12 Schools, Commercial Real Estate and Healthcare markets.

#### **Rob Brown**

Lead Performance Assurance Engineer

##### **Areas of expertise**

Energy engineering, guarantee management, facility consulting, energy calculations, utility bill analysis, project monitoring, energy auditing, renewable energy, legislation, feasibility studies, and energy procurement rates and deregulation

##### **Current Responsibilities**

Manage the Performance Assurance Team in the Illinois, Missouri, and Iowa areas.

##### **Industry Experience**

**16 years of industry experience** (11 with Johnson Controls)

**Electric Industry Experience:** 10 years of electric supply contract consulting in Illinois deregulated market. Consulting Services utilize PPO, All in Fixed Pricing schemes, Time of Use Pricing schemes, Block Energy purchasing, Real Time pricing on Co-Generation System, Wind Turbine Power Production with Net Metering and Day ahead Electric pricing and consulting.