

March 4, 2010 2010 MAR -9 A 10:35

CHIEF CLERK'S OFFICE

Attention: Chief Clerk  
Illinois Commerce Commission  
527 East Capital Avenue  
Springfield, Illinois 62701

*RE: Administrative Law Judge Ruling Request for Miscellaneous Additional Requirements and Section 454.60 Managerial Licensing Qualifications for Amerex Brokers LLC's Application for Licensure of Agents, Brokers, and Consultants; Docket No. 10-0058*

Dear Sir or Madam:

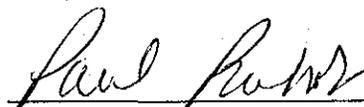
In response to the Notice of Administrative Law Judge's Ruling, Amerex Brokers LLC ("Amerex") shall provide the requested Miscellaneous Additional Requirements. In that regard, Amerex is currently licensed as a broker, consultant, or agent in the following jurisdictions:

- Maryland, Natural Gas License No. IR-01512; Electric Power License No. IR-1513
- Pennsylvania, Electric Power License No. A-2009-2113764
- Ohio, Natural Gas License No. 09-163G(1); Electric Power License No. 09-169E(1)

In addition, Amerex has not had any complaints filed against it for its provision of any services in the electric or gas industry in Illinois or in any other jurisdiction.

Finally, in response to the Section 454.60 Managerial Licensing Qualifications, Mr. Yassir Rashid of the Illinois Commerce Commission contacted Amerex back in February 2010 to request supplemental information to satisfy Item 8 of the Application for Licensure of Agents, Brokers and Consultants under Section 16-115C of the Public Utilities Act. As such, Amerex has already submitted the requested, verified organizational chart and it has been filed with the Chief Clerk of the Commission on February 22, 2010. Please find attached the internal organizational chart that identifies those individuals who will satisfy the managerial requirements of Section 454.60(a) and that has already been submitted. Please do not hesitate to contact us if you need any further information.

Very truly yours,



Paul Puchot, Senior Counsel

**VERIFICATION**

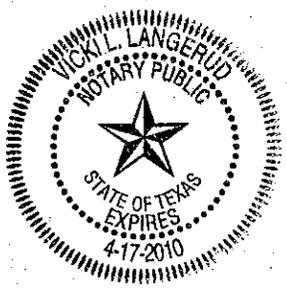
**STATE OF TEXAS                    )**  
**COUNTY OF FORT BEND            )**

Paul Puchot, being first duly sworn, deposes and says that he is the Senior Counsel and Senior Vice President of Amerex Brokers LLC; that he has reviewed the attached letter dated March 4, 2010 and submitted to the Chief Clerk of the Illinois Commerce Commission under Docket Number 10-0058 as a request for miscellaneous additional requirements. The attached letter discloses those jurisdictions where Amerex Brokers LLC is licensed as an agent, broker or consultant, and discloses whether any complaints have been filed against Amerex Brokers LLC for its provision of any services in the electric or gas industry in Illinois or in any other jurisdiction. Paul Puchot swears that the statements and information contained in the attached letter are true, correct and complete to the best of his knowledge, information and belief.

Paul Puchot  
Paul Puchot

Subscribed and sworn to before me  
this 4<sup>th</sup> day of March, 2010.

Vicki L. Langerud  
Notary Public



Amerex Brokers, LLC

### Notice of Administrative Law Judge's Ruling

Notice is hereby given that the Administrative Law Judge has completed a preliminary review of the application filed by Amerex Brokers, LLC ("Applicant") in Docket No. 10-0058, and hereby rules that a verified response, containing the information and clarifications described below, shall be filed with the Commission.

If filed electronically via the Commission's e-docket system, this verified response shall be filed with the Chief Clerk of the Commission on or before March 10, 2010. If the filing is made to the Chief Clerk by non-electronic means, then March 10, 2010 is a mailing date. Applicant shall also serve a copy of its response on the Administrative Law Judge (syoder@icc.illinois.gov) and on Mr. Steve Hickey of the Commission (shickey@icc.illinois.gov). For purposes of doing so, March 10, 2010 is a receipt or "in hand" date, not later than 5:00 P.M., by electronic mail, Fax (217-524-8928) or otherwise.

### Miscellaneous Additional Requirements

The Applicant is directed to disclose whether it is licensed as an agent, broker or consultant in any other jurisdictions, similar to the licensure required under Section 16-115C of the Illinois Public Utilities Act.

The Applicant is directed to disclose whether it has had any complaints filed against it for its provision of any services in the electric or gas industry in this or any other jurisdiction. If yes, the Applicant is directed to include in its response the nature of the complaint, the jurisdiction, and the ultimate resolution.

### Section 454.60 Managerial Licensing Qualifications

Subsection 454.60(c) states:

The applicant shall include in its application an exhibit containing a corporate organizational chart and indicating the position of persons indicated in subsection (a) of this Section.

While there was an organizational chart filed, It does not appear to satisfy the requirements of Section 454.60(c). Applicant is directed to submit an appropriate organizational chart that indicates the position(s) of the person(s) utilized to satisfy the requirements of 454.60(a).

