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Johnson Controls, Inc.	:	
Application for Licensure of Agents, Brokers, and Consultants under Section 16-115C of the Public Utilities Act.	:	Docket No. 10-0179

APPLICATION

Johnson Controls, Inc. ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a license pursuant to Section 16-115C of the Public Utilities Act ("Act"). In support of its application, Applicant states as follows:

GENERAL [454.40, 454.50, 454.100]

1. Applicant's name and street address.

   Johnson Controls, Inc.
   3007 Malmo Drive
   Arlington Heights, IL 6005

2. Related Information:

   - Type of business entity:
     Corporation
   - Jurisdiction in which and under whose laws business entity was created:
     State of Wisconsin
   - Other names under which Applicant does business (D/B/A):
     None

3. Contact Persons for the following:
   a) issues related to processing this application and

   Scott Allen, Lead Performance Assurance Engineer
   Johnson Controls, Inc.
   1201Hampton Lane
   Mundelein, IL 60060
   847-393-4117
   Scott.R.Allen@jci.com

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b) issues related to complaint resolution [454.130]

Doug Carlson, Performance Assurance Manager
Johnson Controls, Inc.
3007 Malmo Drive
Arlington Heights, IL 6005
630-978-5102
Douglas.R.Carlson@jci.com

4. Description of Applicant's business. [454.40(c)(1)]

Johnson Controls, Inc. provide solutions for operating buildings effectively and efficiently to increase a building's energy efficiency and operational performance.

Our products and services include HVAC&R equipment and control systems, industrial and commercial refrigeration, fire and security systems and service for commercial, industrial and residential buildings. Our team of over 10,000 front-line service providers offers service capabilities that extend beyond maintaining control systems and mechanical equipment. And for global corporations, we operate and manage entire facility portfolios to improve business operations, benefit people and lower costs.

Within the State of Illinois, Johnson Controls has been involved with both Natural Gas and Electric Power consulting from the onset of deregulation of both markets. Johnson Controls has been helping our customers, not only saving units of energy, but also on the cost per unit of energy. This completes both sides of the Energy Cost Equation and provides maximum benefit for our customers.

5. A statement in support of application, supporting documents, and schedules containing information showing that the applicant meets the requirements of Section 16-115C of the Act. [454.40(d)(1)]

As part of the energy consulting services that Johnson Controls provides within the State of Illinois, may provide utility supply consulting services for our clients. The majority of our clients have an aggregated billing demand of greater than 1,500 kW, however some of our clients aggregate demand falls below the threshold, thus requiring Johnson controls, Inc. to be licensed.

6. The name, address, telephone number, any facsimile number and any e-mail address of the agent registered with the Illinois Secretary of State. This information shall be kept current and any change regarding the licensee shall be reported within 15 days after the change occurs. The required information shall be filed with the Chief Clerk of the Commission at its Springfield office. [454.40(c)(3)]

   The C T Corporation System
   208 S. Lasalle Street
   Chicago, IL 60604

7. Applicant certifies that it:
   a) is licensed to do business in the State of Illinois and is in compliance with all other applicable laws, regulations and Commission rules and orders; [454.40(c)(2)]

   b) shall comply with all terms and conditions required by Section 16-115C of the Act; [454.40(d)(2)]

   c) shall ensure any person who acts on behalf of the entity will comply with all sections of Part 454 applicable to the function or functions to be performed; [454.40(d)(3)]

   d) shall remain in compliance with the provisions of the Act and Part 454; [454.50]

   e) shall ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created; and [454.100(a)]

   f) shall preserve the confidentiality of its customers' data. [454.100(b)]

MANAGERIAL LICENSING QUALIFICATIONS [Section 454.60]

8. Applicant meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment **A**. Attachment **A** includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment **A** includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

TECHNICAL LICENSING QUALIFICATIONS [Section 454.70]

9. Applicant meets the technical qualifications set forth in Part 454.70, as demonstrated in Attachment **B**. Attachment **B** includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

FINANCIAL LICENSING REQUIREMENTS [SECTION 454.80]

10. Applicant meets the financial qualifications set forth in Part 454.80, as demonstrated in Attachment **C**. Attachment **C** includes a copy of the executed license or permit bond in the name of the People of the State of Illinois issued by a qualifying surety or insurance company authorized to transact business in the State of Illinois. The amount of the bond shall equal $5,000.

CODE OF CONDUCT [SECTION 454.90]

11. Applicant certifies that it shall:

    a) Disclose in plain language in writing the nature of the services offered by the ABC;

    b) Disclose in plain language in writing to all persons it solicits the total anticipated remuneration to be paid to it by any third party over the period of the proposed underlying customer contract. Any such disclosure must be made prior to entering into the contract and signed by the customer;

    c) Not hold itself out as independent or unaffiliated with any RES, or both, or use words calculated to give that impression, unless the person or entity offering service under this Section 16-115C of the Act has no contractual relationship with any RES or its affiliates regarding retail electric service in Illinois;

    d) Not utilize false, misleading, materially inaccurate, defamatory, or otherwise deceptive language or materials in the soliciting or providing of its services;

    e) Maintain copies of all marketing materials disseminated to third parties for a period of not less than three years;

    f) Maintain copies of all disclosure statements required in subsections (a) and (b) for a period of not less than three years;

    g) Not present electricity pricing information in a manner that favors one supplier over another, unless a valid pricing comparison is made utilizing all relevant costs and terms; and

    h) Comply with the requirements of Sections 2EE, 2FF, 2GG, and 2HH of the Consumer Fraud and Deceptive Business Practices Act [815 ILCS 505/2EE, 2FF, 2GG, and 2HH].

REPORTING REQUIREMENTS [SECTION 454.110]

12. Applicant agrees to submit reports in accordance with annual reporting requirements. [454.110]

WHEREFORE, Applicant requests that the Commission grant its application for licensure as an agent, broker, or consultant to engage in the procurement or sale of retail electricity supply for third parties.

Respectfully submitted,

Christopher Markert

By: _____

Central Region VP and GM, Solutions NA

Attorney
Gus Koutsouvas
Senior Group Counsel
Johnson Controls, Inc.
507 E Michigan Street
Milwaukee, WI 53202
Phone: 414-524-4051
Fax: 414-524-5520
Gus.Koutsouvas@jci.com

VERIFICATION

STATE OF Illinois
COUNTY OF Cook

Christopher Markert, being first duly sworn, deposes and says that he is the **Central Region Solutions VP and GM** for **Johnson Controls Inc.**; that he has read the foregoing Application of **Johnson Controls, Inc.** and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of his knowledge, information and belief.

Christopher Markert
Vice president and General Manager

Subscribed and sworn to before me
this 4th day of March, 2010.

Notary Public

[Stamp of Notary]

"OFFICIAL SEAL"
LINDA L. HIRSCH
Notary Public, State of Illinois
My Commission Expires 06/23/10