

**ATTACHMENT A**

**AMEREX BROKERS LLC**

**APPLICATION FOR LICENSURE OF AGENTS, BROKERS, AND CONSULTANTS  
UNDER SECTION 16-115C OF THE ILLINOIS PUBLIC UTILITIES ACT**

**Registration of Amerex Brokers LLC to Transact in Illinois**



## OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

DECEMBER 03, 2009

0287983-2

C T CORPORATION SYSTEM  
208 SO LASALLE ST, SUITE 814  
CHICAGO, IL 60604-1101

RE AMEREX BROKERS LLC

DEAR SIR OR MADAM:

IT HAS BEEN OUR PLEASURE TO APPROVE YOUR REQUEST TO TRANSACT BUSINESS IN THE STATE OF ILLINOIS. ENCLOSED PLEASE FIND THE APPROVED APPLICATION FOR ADMISSION.

PLEASE NOTE! THE LIMITED LIABILITY COMPANY MUST FILE AN ANNUAL REPORT PRIOR TO THE FIRST DAY OF THIS MONTH OF QUALIFICATION NEXT YEAR. FAILURE TO TIMELY FILE WILL RESULT IN A \$300 PENALTY AND/OR REVOCATION. A PRE-PRINTED ANNUAL REPORT WILL BE MAILED TO THE REGISTERED AGENT AT THE ADDRESS ON OUR RECORDS APPROXIMATELY 45 DAYS BEFORE THE DUE DATE.

MANY OF OUR SERVICES ARE AVAILABLE AT OUR CONTINUOUSLY UPDATED WEBSITE. VISIT [WWW.CYBERDRIVEILLINOIS.COM](http://WWW.CYBERDRIVEILLINOIS.COM) TO VIEW THE STATUS OF THIS COMPANY, PURCHASE A CERTIFICATE OF GOOD STANDING, OR EVEN FILE THE ANNUAL REPORT REFERRED TO IN THE EARLIER PARAGRAPH.

SINCERELY YOURS,

A handwritten signature in cursive script that reads "Jesse White".

JESSE WHITE  
SECRETARY OF STATE  
DEPARTMENT OF BUSINESS SERVICES  
LIMITED LIABILITY DIVISION  
(217) 524-8008

JW:LLC

Form **LLC-45.5**  
 November 2006  
 Secretary of State Jesse White  
 Department of Business Services  
 Limited Liability Division  
 501 S. Second St., Rm. 351  
 Springfield, IL 62756  
 217-824-8006  
 www.cyberdriveillinois.com  
 Payment must be made by certified check, cashiers check, Illinois attorney's check, Illinois C.P.A.'s check or money order payable to Secretary of State

Illinois  
**Limited Liability Company Act**  
**Application for Admission**  
**to Transact Business**

**SUBMIT IN DUPLICATE**  
 Must be typewritten.

This space for use by Secretary of State.

Filing Fee: \$500  
 Penalty: \$  
 Approved: *pl*

FILE # 0287983-2  
 This space for use by Secretary of State.  
**FILED**  
 DEC 03 2009  
 JESSE WHITE  
 SECRETARY OF STATE

1. Limited Liability Company Name: Amerex Brokers LLC  
Must comply with Section 1-10 of LLC Act or item 2 below also applies.
2. Assumed Name: \_\_\_\_\_  
By electing this Assumed Name, the Limited Liability Company hereby agrees not to use its Company Name in the transaction of business in Illinois Form LLC-120 is attached
3. Jurisdiction of Organization: Delaware
4. Date of Organization: August 22, 2006
5. Period of Duration: Perpetual
6. Address, including County, of the Office required to be maintained in the jurisdiction of its organization or, if not required, of the Principal Place of Business: (P.O. Box alone or c/o is unacceptable.)  
1 Sugar Creek Center Blvd., Suite 700  
Number Street Suite #  
Sugar Land, Texas 77478 Fort Bend  
City/State ZIP Code County
7. Registered Agent: C T Corporation Systems  

First Name	Middle Name	Last Name
<u>208</u>	<u>South LaSalle Street</u>	<u>Suite 814</u>
<u>Chicago</u>	<u>Cook</u>	<u>Illinois 60604</u>
<u>City</u>	<u>County</u>	<u>ZIP Code</u>
8. If applicable, Date on which Company first conducted business in Illinois: \_\_\_\_\_

(continued on back)

LLC-45.5

9. Purpose(s) for which the Company is Organized and Proposes to Conduct Business in Illinois: (Include Business Code # from IRS Form 1065.) Business Code # 52 3120

To engage in any lawful act or activity for which a company may be organized under the Delaware Limited Liability Company Act and permitted under the Illinois Limited Liability Company Act.

10. The Limited Liability Company: (check one)

is managed by a manager or managers (List names and business addresses.)

has management vested in the member or members (List names and addresses.)

GFinet inc., 55 Water Street, New York, New York 10041

GFI Group Inc., 55 Water Street, New York, New York 10041

11. The Illinois Secretary of State is hereby appointed the agent of the Limited Liability Company for service of process under the circumstances set forth in subsection (b) of Section 1-50 of the Illinois Limited Liability Company Act.
12. This application is accompanied by a Certificate of Good Standing or Existence, as well as a copy of the Articles of Organization, as amended, duly authenticated within the last 60 days, by the officer of the state or country wherein the LLC is formed.
13. If the period of duration is a date certain and is not stated in the Articles of Organization from the domestic state, a copy of that page from the Operating Agreement stating the date also must be submitted.
14. The undersigned affirms, under penalties of perjury, having authority to sign hereto, that this application for admission to transact business is to the best of my knowledge and belief, true, correct and complete.

Dated 12/02/07 \_\_\_\_\_  
Month & Day Year

James A. Pears  
Signature (If a company, comply with Section 5-45 of ILCA)

James A. Pears, CFO  
Name and Title (type or print)

GFI Group Inc.  
If applicant is a Company or other Entity, state Name of Company and indicate whether it is a member or manager of the LLC. Please refer to Sections 178.20 of the Administrative Rules.