

[Form for ABC Applicants]

[The Form for ABC Applicants has been prepared by the Staff of the Illinois Commerce Commission. It is intended to be a source of information and a template that may be of use to entities petitioning the Illinois Commerce Commission to be licensed as an Agent, Broker, and Consultant ("ABC") under Section 16-115C of the Public Utilities Act ("Act") [220 ILCS 5/16-115C] and 83 Ill. Adm. Code 454 ("Part 454"), Licensure of Agents, Brokers, and Consultants. The Form is presented in a generic format and should be individually tailored by each ABC applicant before submitting to the Illinois Commerce Commission. The Form is not intended to constitute legal advice regarding compliance with Section 16-115C of the Act, 83 Ill. Adm. Code 454, or any applicable case law.]

STATE OF ILLINOIS  
ILLINOIS COMMERCE COMMISSION

CHIEF CLERK'S OFFICE  
2009 DEC 23 A 10:28  
ILLINOIS COMMERCE COMMISSION

**Midwest Energy Inc.**

Application for Licensure of  
Agents, Brokers, and Consultants  
under Section 16-115C  
of the Public Utilities Act.

Docket No.

09-0666

APPLICATION

**Midwest Energy Inc.** ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a license pursuant to Section 16-115C of the Public Utilities Act ("Act"). In support of its application, Applicant states as follows:

GENERAL [454.40, 454.50, 454.100]

1. Applicant's name and street address:

**Midwest Energy Inc., 485 S. Frontage Rd., Suite 303, Burr Ridge, IL**

2. Related Information:

- Type of business entity: **Corporation**
- Jurisdiction in which and under whose laws business entity was created: **Illinois**
- Other names under which Applicant does business (D/B/A): **NA**

3. Contact Persons for the following:

- a) issues related to processing this application and
- b) issues related to complaint resolution [454.130]

Provide each contact person's name, title, mailing address, telephone number, and e-mail address for a) and b).

**For a):**

**Mark Beci – Principal Owner  
485 S. Frontage Rd., Suite 303, Burr Ridge, IL  
630-887-8881  
[mbeci@midwestenergyinc.com](mailto:mbeci@midwestenergyinc.com)**

**For b):**

**Mark Beci – Principal Owner  
485 S. Frontage Rd., Suite 303, Burr Ridge, IL  
630-887-8881  
[mbeci@midwestenergyinc.com](mailto:mbeci@midwestenergyinc.com)**

**Nick Schaff – Principal Owner  
485 S. Frontage Rd., Suite 303, Burr Ridge, IL  
630-887-8881  
[nschaff@midwestenergyinc.com](mailto:nschaff@midwestenergyinc.com)**

- 4. **Description of Applicant's business. [454.40(c)(1)] – Midwest Energy Inc. provides Energy (Electricity & Natural Gas) Supply and Management Services to commercial and industrial customers.**
- 5. **A statement in support of application, supporting documents, and schedules containing information showing that the applicant meets the requirements of Section 16-115C of the Act. [454.40(d)(1)] - Midwest Energy Inc. has been incorporated in the State of Illinois since September 2001. Midwest Energy Inc's key personnel have been in the energy industry since the inception of electricity deregulation. We have included Attachment A (exhibits 1 & 2) to support our Managerial Qualifications, Attachment B to support our Technical Application and have also included our Permit Bond to support the Financial Requirements. We have also included our Filed Articles of Incorporation with the State of Illinois. Midwest Energy Inc. certifies that it is licensed to do business in the State of Illinois and agrees that it will comply with all Terms and Conditions, Codes of Conduct, and Filing Requirements of this Act.**
- 6. **The name, address, telephone number, any facsimile number and any e-mail address of the agent registered with the Illinois Secretary of State. This information shall be kept current and any change regarding the licensee shall be reported within 15 days after the change occurs. The required information shall**

be filed with the Chief Clerk of the Commission at its Springfield office.  
[454.40(c)(3)]:

**Goldstine, Skrodzki, Russian, Nemeč and Hoff, Ltd.**

**The Prairie Building, 835 McClintock Drive, Second Floor**

**Burr Ridge, IL 60527**

**Tel (630) 655-6000**

**Fax (630) 655-9808**

**Ken Nemeč knemeč@gsrnh.com Eric Wilen ewilen@gsrnh.com**

7. Applicant certifies that it:

- a) is licensed to do business in the State of Illinois and is in compliance with all other applicable laws, regulations and Commission rules and orders; [454.40(c)(2)]
- b) shall comply with all terms and conditions required by Section 16-115C of the Act; [454.40(d)(2)]
- c) shall ensure any person who acts on behalf of the entity will comply with all sections of Part 454 applicable to the function or functions to be performed; [454.40(d)(3)]
- d) shall remain in compliance with the provisions of the Act and Part 454; [454.50]
- e) shall ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created; and [454.100(a)]
- f) shall preserve the confidentiality of its customers' data. [454.100(b)]

MANAGERIAL LICENSING QUALIFICATIONS [Section 454.60]

8. Applicant meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment A. Attachment A includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment A includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a). [Attachment should include narrative and/or resume of key personnel showing clearly how the managerial experience requirement is being met.]

TECHNICAL LICENSING QUALIFICATIONS [Section 454.70]

9. Applicant meets the technical qualifications set forth in Part 454.70, as demonstrated in Attachment B. Attachment B includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a). [The attachment shall identify the persons who are being used to meet each of the requirements of Part 454.70. Attachment should include narrative and/or resumes of key personnel showing clearly how the technical experience requirement is being met.]

FINANCIAL LICENSING REQUIREMENTS [SECTION 454.80]

10. Applicant is required to execute and maintain a license or permit bond in the name of the People of the State of Illinois issued by a qualifying surety or insurance company authorized to transact business in the State of Illinois. The amount of the bond shall equal \$5,000. [Attach the license or permit bond required by Part 454.80(a) and proof that the surety meets the definition of "qualifying surety" as set forth in Part 454.10.]

[Department of the Treasury's Listing of Approved Sureties (Department Circular 570) (<http://www.fms.treas.gov/c570/c570.html>)]

CODE OF CONDUCT [SECTION 454.90]

11. Applicant certifies that it shall:
  - a) Disclose in plain language in writing the nature of the services offered by the ABC;
  - b) Disclose in plain language in writing to all persons it solicits the total anticipated remuneration to be paid to it by any third party over the period of the proposed underlying customer contract. Any such disclosure must be made prior to entering into the contract and signed by the customer;
  - c) Not hold itself out as independent or unaffiliated with any RES, or both, or use words calculated to give that impression, unless the person or entity

offering service under this Section 16-115C of the Act has no contractual relationship with any RES or its affiliates regarding retail electric service in Illinois;

- d) Not utilize false, misleading, materially inaccurate, defamatory, or otherwise deceptive language or materials in the soliciting or providing of its services;
- e) Maintain copies of all marketing materials disseminated to third parties for a period of not less than three years;
- f) Maintain copies of all disclosure statements required in subsections (a) and (b) for a period of not less than three years;
- g) Not present electricity pricing information in a manner that favors one supplier over another, unless a valid pricing comparison is made utilizing all relevant costs and terms; and
- h) Comply with the requirements of Sections 2EE, 2FF, 2GG, and 2HH of the Consumer Fraud and Deceptive Business Practices Act [815 ILCS 505/2EE, 2FF, 2GG, and 2HH].

REPORTING REQUIREMENTS [SECTION 454.110]

12. Applicant agrees to submit reports in accordance with annual reporting requirements. [454.110]

WHEREFORE, Applicant requests that the Commission grant its application for licensure as an agent, broker, or consultant to engage in the procurement or sale of retail electricity supply for third parties.

Respectfully submitted,

Mark D. Beci, Midwest Energy Inc.

By: Mark D. Beci  
Officer of Midwest Energy Inc.

Eric Wilen  
Goldstine, Skrodzki, Russian, Nemecek and Hoff, Ltd.  
The Prairie Building, 835 McClintock Drive, Second Floor  
Burr Ridge, IL 60527  
**Tel (630) 655-6000**  
**Fax (630) 655-9808**  
**ewilen@gsrnh.com**

VERIFICATION

STATE OF IL )  
COUNTY OF DuPage )

Mark D. Beci, being first duly sworn, deposes and says that he is an  
Officer of Midwest Energy Inc.; that he has read the foregoing Application of  
Midwest Energy Inc., and all of the attachments accompanying and referred to within  
the Application; and that the statements contained in the Application and the  
attachments are true, correct and complete to the best of his knowledge, information  
and belief.

Mark D. Beci *Mark D. Beci*  
[Name of person verifying application]

Subscribed and sworn to before me  
this 21<sup>st</sup> day of December, 2009

*Mary Lynne Cunningham*  
Notary Public

[Stamp of Notary]

