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ILLINOIS COMMERCE COMMISSION

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Optimal Facility Management  
Solutions, LLC

Application for Licensure of  
Agents, Brokers, and Consultants  
under Section 16-115C  
of the Public Utilities Act.

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Docket No. **09-0578**

APPLICATION

Optimal Facility Management Solutions, LLC ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a license pursuant to Section 16-115C of the Public Utilities Act ("Act"). In support of its application, Applicant states as follows:

GENERAL [454.40, 454.50, 454.100]

1. Applicant's name: Optimal Facility Management Solutions, LLC  
Street Address: 5031 N. Oriole Ave, Harwood Heights, IL, 60706-3364
2. Related Information:
  - Type of business entity: LLC
  - Jurisdiction in which and under whose laws business entity was created: Illinois
  - Other names under which Applicant does business (D/B/A): N/A
3. Contact Persons for the following:
  - a) issues related to processing this application and
  - b) issues related to complaint resolution [454.130]

Provided below is the contact person's name, title, mailing address, telephone number, and e-mail address for a) and b).

Paul Rudewick  
President & CEO  
400 N. LaSalle Dr., Suite 1010  
Chicago, IL, 60654  
Ph: 312-755-0059

C: 312-590-8970  
e-mail: paul.rudewick@optimalfms.com

4. Description of Applicant's business. [454.40(c)(1)]

Energy Management Consultant.

5. A statement in support of application, supporting documents, and schedules containing information showing that the applicant meets the requirements of Section 16-115C of the Act. [454.40(d)(1)]

Optimal Facility Management Solutions, LLC meets the requirements of Section 16-115C of the Act.

6. Below is the name, address, telephone number, and e-mail address of the agent registered with the Illinois Secretary of State. This information shall be kept current and any change regarding the licensee shall be reported within 15 days after the change occurs. The required information shall be filed with the Chief Clerk of the Commission at its Springfield office. [454.40(c)(3)]

Maria Geroulis  
5031 N. Oriole Ave.  
Harwood Heights, IL 60706-3364  
Ph: 708-466-8423  
e-mail: mgeroulis@hotmail.com

7. Applicant certifies that it:

- a) is licensed to do business in the State of Illinois and is in compliance with all other applicable laws, regulations and Commission rules and orders; [454.40(c)(2)]
- b) shall comply with all terms and conditions required by Section 16-115C of the Act; [454.40(d)(2)]
- c) shall ensure any person who acts on behalf of the entity will comply with all sections of Part 454 applicable to the function or functions to be performed; [454.40(d)(3)]
- d) shall remain in compliance with the provisions of the Act and Part 454; [454.50]
- e) shall ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created; and [454.100(a)]

- f) shall preserve the confidentiality of its customers' data. [454.100(b)]

Optimal Facility Management Solutions, LLC certifies section 7(a) through 7(f) above

MANAGERIAL LICENSING QUALIFICATIONS [Section 454.60]

8. Applicant meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment A. Attachment A identifies the person who is being used to meet the requirements of Part 454.60(a). Attachment A includes background information on the person who is being used to meet the requirements of Part 454.60(a). [Attachment A includes a resume showing clearly how the managerial experience requirement is being met.]

TECHNICAL LICENSING QUALIFICATIONS [Section 454.70]

9. Applicant meets the technical qualifications set forth in Part 454.70, as demonstrated in Attachment A. Attachment A includes an exhibit containing occupational background information on the person who is being used to meet the requirements of Part 454.70(a). [Attachment A identifies the person who is being used to meet each of the requirements of Part 454.70. Attachment A includes a resume showing clearly how the technical experience requirement is being met.]

FINANCIAL LICENSING REQUIREMENTS [SECTION 454.80]

10. Applicant has executed and maintains a license or permit bond in the name of the People of the State of Illinois issued by Western Surety Company, a qualifying surety or insurance company, authorized to transact business in the State of Illinois. The amount of the bond is equal \$5,000. [Attached is the license and permit bond required by Part 454.80(a) and proof that the surety meets the definition of "qualifying surety" as set forth in Part 454.10.]

[Department of the Treasury's Listing of Approved Sureties (Department Circular 570) (<http://www.fms.treas.gov/c570/c570.html>)]

CODE OF CONDUCT [SECTION 454.90]

11. Applicant certifies that it shall:
- a) Disclose in plain language in writing the nature of the services offered by Optimal Facility Management Solutions, LLC;
  - b) Disclose in plain language in writing to all persons it solicits the total anticipated remuneration to be paid to it by any third party over the period of the proposed underlying customer contract. Any such disclosure must be made prior to entering into the contract and signed by the customer;

- c) Not hold itself out as independent or unaffiliated with any RES, or both, or use words calculated to give that impression, unless the person or entity offering service under this Section 16-115C of the Act has no contractual relationship with any RES or its affiliates regarding retail electric service in Illinois;
- d) Not utilize false, misleading, materially inaccurate, defamatory, or otherwise deceptive language or materials in the soliciting or providing of its services;
- e) Maintain copies of all marketing materials disseminated to third parties for a period of not less than three years;
- f) Maintain copies of all disclosure statements required in subsections (a) and (b) for a period of not less than three years;
- g) Not present electricity pricing information in a manner that favors one supplier over another, unless a valid pricing comparison is made utilizing all relevant costs and terms; and
- h) Comply with the requirements of Sections 2EE, 2FF, 2GG, and 2HH of the Consumer Fraud and Deceptive Business Practices Act [815 ILCS 505/2EE, 2FF, 2GG, and 2HH].

Optimal Facility Management Solutions, LLC certifies 11 (a) through (h) above.

REPORTING REQUIREMENTS [SECTION 454.110]

12. Optimal Facility Management Solutions, LLC agrees to submit reports in accordance with annual reporting requirements. [454.110]

WHEREFORE, Applicant requests that the Commission grant its application for licensure as an agent, broker, or consultant to engage in the procurement or sale of retail electricity supply for third parties.

Respectfully submitted,

Optimal Facility Management Solutions,  
LLC

By:   
\_\_\_\_\_  
Paul Rudewick  
President & CEO

Paul Rudewick  
President & CEO  
Optimal Facility Management Solutions, LLC  
Ph: 312-590-8970  
paul.rudewick@optimalfms.com

VERIFICATION

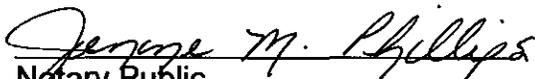
STATE OF ILLINOIS  
COUNTY OF COOK

Paul Rudewick, being first duly sworn, deposes and says that he is the President and CEO of Optimal Facility Management Solutions, LLC; that he has read the foregoing Application of Optimal Facility Management Solutions, LLC, and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of his knowledge, information and belief.



Paul Rudewick  
President and CEO  
Optimal Facility Management Solutions, LLC

Subscribed and sworn to before me  
this 20th day of September, 2009.



Jenine M. Phillips  
Notary Public

[Stamp of Notary]

