

ILLINOIS <sup>UM</sup>  
COMMERCE COMMISSION

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

2009 OCT 30 A 10:39

CHIEF CLERK'S OFFICE

**Utility Management Group, Inc. :**  
:  
**Application for Licensure of :**  
**Agents, Brokers, and Consultants :**  
**under Section 16-115C :**  
**of the Public Utilities Act. :**

Docket No. **09-0480**

**APPLICATION**

Utility Management Group, Inc. ("Applicant"), hereby requests that the Illinois Commerce Commission ("Commission") grant it a license pursuant to Section 16-115C of the Public Utilities Act ("Act"). In support of its application, Applicant states as follows:

**GENERAL [454.40, 454.50, 454.100]**

1. **Utility Management Group, Inc.**  
**110 East Schiller Street, Suite 232**  
**Elmhurst, IL 60126**  
**Phone: 630-279-0117**  
**Fax: 708-401-0393**
  
2. **Related Information:**
  - **Utility Management Group is a Corporation**
  - **Incorporated in Illinois in January, 2003**
  - **There is no other DBA**
  
3. **Contact Persons for the following:**
  - a) **issues related to processing this application –**  
**Thomas Jankowiak**  
**Utility Management Group, Inc.**  
**110 East Schiller Street, Suite 232**  
**Elmhurst, IL 60126**  
**Phone: 630-279-0117**  
**Fax: 708-401-0393**  
**E-Mail – tj@umgconsulting.com**

- b) **Issues related to complaint resolution [454.130] –**  
**Thomas Jankowiak**  
**Utility Management Group, Inc.**  
**110 East Schiller Street, Suite 232**  
**Elmhurst, IL 60126**  
**Phone: 630-279-0117**  
**Fax: 708-401-0393**  
**E-Mail – tj@umgconsulting.com**

**4. Description of Applicant's business. [454.40(c)(1)]**

**Utility Management Group is a broker for natural gas and electric service. Our primary focus is mid size customers in the Illinois market.**

- 5. Utility Management Group meets all requirements of Section 16-115C of this Act. Attachment A details the Managerial and Technical qualifications of Thomas Jankowiak, Utility Management Group's principal. Also included is the Corporation File Detail Report from the State of Illinois.**
- 6. The name, address, telephone number, any facsimile number and any e-mail address of the agent registered with the Illinois Secretary of State. This information shall be kept current and any change regarding the licensee shall be reported within 15 days after the change occurs. The required information shall be filed with the Chief Clerk of the Commission at its Springfield office. [454.40(c)(3)]**

**Thomas Jankowiak**  
**464 East Park Avenue**  
**Elmhurst, IL 60126**  
**Phone: 630-279-0117**  
**Fax: 708-401-0393**  
**E-Mail – tj@umgconsulting.com**

**7. Applicant certifies that it:**

- a) **is licensed to do business in the State of Illinois and is in compliance with all other applicable laws, regulations and Commission rules and orders; [454.40(c)(2)]**
- b) **shall comply with all terms and conditions required by Section 16-115C of the Act; [454.40(d)(2)]**
- c) **shall ensure any person who acts on behalf of the entity will comply with all sections of Part 454 applicable to the function or functions to be performed; [454.40(d)(3)]**

- d) shall remain in compliance with the provisions of the Act and Part 454; [454.50]
- e) shall ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created; and [454.100(a)]
- f) shall preserve the confidentiality of its customers' data. [454.100(b)]

**MANAGERIAL LICENSING QUALIFICATIONS [Section 454.60]**

- 8. Applicant meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment A. Attachment A includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment A includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a). [Attachment should include narrative and/or resume of key personnel showing clearly how the managerial experience requirement is being met.]

**TECHNICAL LICENSING QUALIFICATIONS [Section 454.70]**

- 9. Applicant meets the technical qualifications set forth in Part 454.70, as demonstrated in Attachment A. Attachment A includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a). [The attachment shall identify the persons who are being used to meet each of the requirements of Part 454.70. Attachment should include narrative and/or resumes of key personnel showing clearly how the technical experience requirement is being met.]

**FINANCIAL LICENSING REQUIREMENTS [SECTION 454.80]**

- 10. Applicant is required to execute and maintain a license or permit bond in the name of the People of the State of Illinois issued by a qualifying surety or insurance company authorized to transact business in the State of Illinois. The amount of the bond shall equal \$5,000. [Attach the license or permit bond required by Part 454.80(a) and proof that the surety meets the definition of "qualifying surety" as set forth in Part 454.10.]

[Department of the Treasury's Listing of Approved Sureties (Department Circular 570) (<http://www.fms.treas.gov/c570/c570.html>)]

**CODE OF CONDUCT [SECTION 454.90]**

**11. Applicant certifies that it shall:**

- a) **Disclose in plain language in writing the nature of the services offered by the ABC;**
- b) **Disclose in plain language in writing to all persons it solicits the total anticipated remuneration to be paid to it by any third party over the period of the proposed underlying customer contract. Any such disclosure must be made prior to entering into the contract and signed by the customer;**
- c) **Not hold itself out as independent or unaffiliated with any RES, or both, or use words calculated to give that impression, unless the person or entity offering service under this Section 16-115C of the Act has no contractual relationship with any RES or its affiliates regarding retail electric service in Illinois;**
- d) **Not utilize false, misleading, materially inaccurate, defamatory, or otherwise deceptive language or materials in the soliciting or providing of its services;**
- e) **Maintain copies of all marketing materials disseminated to third parties for a period of not less than three years;**
- f) **Maintain copies of all disclosure statements required in subsections (a) and (b) for a period of not less than three years;**
- g) **Not present electricity pricing information in a manner that favors one supplier over another, unless a valid pricing comparison is made utilizing all relevant costs and terms; and**
- h) **Comply with the requirements of Sections 2EE, 2FF, 2GG, and 2HH of the Consumer Fraud and Deceptive Business Practices Act [815 ILCS 505/2EE, 2FF, 2GG, and 2HH].**

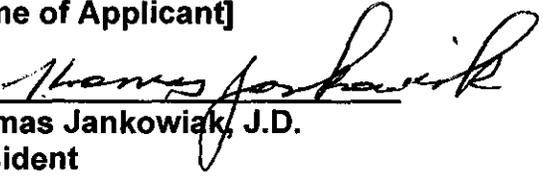
**REPORTING REQUIREMENTS [SECTION 454.110]**

- 12. Applicant agrees to submit reports in accordance with annual reporting requirements. [454.110]**

**WHEREFORE, Applicant requests that the Commission grant its application for licensure as an agent, broker, or consultant to engage in the procurement or sale of retail electricity supply for third parties.**

**Respectfully submitted,**

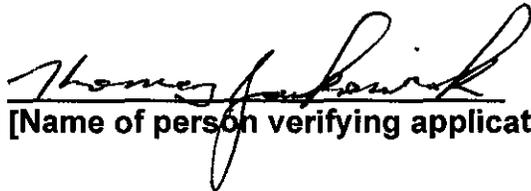
**[Name of Applicant]**

By:   
**Thomas Jankowiak, J.D.**  
**President**  
**Utility Management Group, Inc.**

VERIFICATION

STATE OF Illinois )  
COUNTY OF DuPage

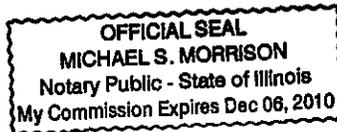
Thomas Jankowiak, being first duly sworn, deposes and says that she/he is [the] [a(n)] President [of][for] [Applicant]; that he/she has read the foregoing Application of [Applicant], and all of the attachments accompanying and referred to within the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of [her][his] knowledge, information and belief.

  
[Name of person verifying application]

Subscribed and sworn to before me  
this 29 day of October, 2009.



Notary Public



[Stamp of Notary]