

APPENDIX 911

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1. INTRODUCTION

- 1.1 This Appendix sets forth terms and conditions for 911 and E911 Service provided by SBC ILLINOIS to CLEC.

2. DEFINITIONS

- 2.1 Intentionally Omitted.
- 2.2 "Automatic Location Identification" or "ALI" means the automatic display at the Public Safety Answering Point or "PSAP" of the caller's telephone number, the address/location of the telephone and, in some cases, supplementary emergency services information.
- 2.3 "Automatic Number Identification" or "ANI" means the telephone number associated with the access line from which a call to 911 originates.
- 2.4 "Company Identifier" or "Company ID" means a three to five (3 to 5) character identifier chosen by the Local Exchange Carrier that distinguishes the entity providing dial tone to the End User. The Company Identifier is maintained by the National Emergency Number Association or "NENA" in a nationally accessible database.
- 2.5 "Database Management System" or "DBMS" means a system of manual procedures and computer programs used to create, store and update the data required to provide Selective Routing and/or Automatic Location Identification for 911 and E911 systems.
- 2.6 "911 or E911 Customer" means a municipality or other state or local government unit, or an authorized agent of one or more municipalities or other state or local government units to whom authority has been lawfully delegated to respond to public emergency telephone calls, at a minimum, for emergency police and fire services, through the use of one telephone number -- 911.
- 2.7 "911 Gateway" A secure information management system that provides CLEC or its third party representative the ability to send and receive 911 data files through peer-to-peer connectivity. The gateway acts as the interface between a CLEC's Data Management System and SBC's E911 Database Management system.
- 2.8 "911 or E911 Universal Emergency Number Service" (also referred to as "Expanded 911 Service" or "Enhanced 911 Service") or "911 or E911 Service" means a telephone exchange communications service whereby a Public Safety Answering Point (PSAP) answers telephone calls placed by dialing the number 911. 911 and E911 includes the service provided by the lines and equipment associated with the service arrangement for the answering, transferring, and dispatching of public emergency telephone calls dialed to 911. E911 provides completion of a call to 911 via dedicated trunks and includes Automatic Number Identification (ANI), Automatic Location Identification (ALI), and/or Selective Routing (SR).
- 2.9 "Emergency Services" means police, fire, ambulance, rescue, and medical services.
- 2.10 "Emergency Service Number" or "ESN" means a three to five digit number representing a unique combination of emergency service agencies (Law Enforcement, Fire, and Emergency Medical Service) designated to serve a specific range of addresses within a particular geographical area. The ESN facilitates selective routing and selective transfer, if required, to the appropriate PSAP and the dispatching of the proper service agency(ies).

- 2.11 “Master Street Address Guide” or “MSAG” contains street names and house number ranges within their associated communities defining particular geographic areas and their associated ESNs to enable proper routing of 911 and E911 calls.
- 2.12 “National Emergency Number Association” or “NENA” is a not-for-profit corporation established in 1982 to educate, set standards and provide certification programs, legislative representation and technical assistance for implementing and managing 911 and E911 systems.
- 2.13 “Public Safety Answering Point” or “PSAP” means an answering location for 911 and E911 calls originating in a given area. The 911 or E911 Customer may designate a PSAP as primary or secondary, which refers to the order in which calls are directed for answering. Primary PSAPs answer calls; secondary PSAPs receive calls on a transfer basis. PSAPs are public safety agencies such as police, fire, emergency medical, etc., or a common bureau serving a group of such entities.
- 2.14 “Selective Routing” and “Selective Router” or “SR” means the routing and equipment used to route a call to 911 to the proper PSAP based upon the number and location of the caller. Selective routing is controlled by an ESN, which is derived from the location of the access line from which the 911 call was placed.

3. BASIC 911 AND E911 GENERAL REQUIREMENTS

- 3.1 When SBC ILLINOIS is the 911 or E911 Service provider, SBC ILLINOIS shall provide CLEC with access to and service for 911 and E911.
- 3.2 911 and E911 provides a caller who dials a 3-digit universal telephone number (911) access to the appropriate Public Safety Answering Point (PSAP).
- 3.3 E911 provides additional routing flexibility for 911 calls. E911 uses Customer data derived from the ALI/DBMS to determine to which PSAP to route the call. SBC ILLINOIS shall provide ALI interface information and access to the DBMS sufficient, when combined with other Unbundled Network Elements, to allow CLEC to provide services to its own End Users equivalent to the ALI services provided by SBC ILLINOIS for its End Users.
- 3.4 911 and E911 database service provided to CLEC will be at Parity with the 911 and E911 service that SBC ILLINOIS provides to itself and others.
- 3.5 Upon written request, SBC ILLINOIS shall provide to CLEC, within thirty (30) days, a description of the geographic area (or Rate Center) and PSAPs served by a 911 or E911 SR based upon the standards set forth in the May 1997 NENA Recommended Standards for Local Service Provider Interconnection Information Sharing, or any subsequent revision(s) thereto.
- 3.6 SBC ILLINOIS and CLEC shall comply with all Applicable Laws concerning 911 and E911 services.
- 3.7 SBC ILLINOIS shall provide and maintain such equipment at the SR and the DBMS as is necessary to perform the 911 and E911 services set forth herein when SBC ILLINOIS is the 911 or E911 Service provider. SBC ILLINOIS shall provide 911 or E911 Service to CLEC as described this section in a particular Rate Center in which CLEC is authorized to provide local telephone exchange service and SBC ILLINOIS is the 911 or E911 Service provider.
- 3.8 Intentionally Omitted.

- 3.9 Intentionally Omitted.
- 3.10 SBC ILLINOIS will forward the ANI it receives from CLEC and the associated Automatic Location Identification (ALI) to the PSAP for display. If no ANI is forwarded by CLEC, SBC ILLINOIS will forward an Emergency Service Central Office (ESCO) identification code for display at the PSAP. If ANI is forwarded by CLEC, but no ALI record is found in the DBMS, SBC ILLINOIS will report this "No Record Found" condition to CLEC in accordance with NENA standards.
- 3.11 Call Routing
- 3.11.1 Where SBC ILLINOIS is the 911 or E911 Service provider, CLEC will transport 911 and/or E911 calls from each CLEC point of interconnection (POI) to the SBC ILLINOIS 911 Tandem or SR.
- 3.11.2 SBC ILLINOIS will switch 911 and E911 calls through the 911 Tandem or SR to the designated primary PSAP or to the designated alternate locations, according to routing criteria specified by the PSAP.
- 3.11.3 SBC ILLINOIS will forward CLEC customer information for 911 and E911 calls to the PSAP upon a PSAP ALI query.

4. BASIC 911 AND E911 ADDITIONAL REQUIREMENTS

- 4.1 Where SBC ILLINOIS is the 911 or E911 Service provider, SBC ILLINOIS shall cooperate with CLEC to ensure that 911/E911 Service is fully available to all CLEC End User Customers whose telephone numbers have been ported from SBC ILLINOIS. SBC ILLINOIS shall provide the necessary access for CLEC to update the 911/E911 database with customer information for lines that have been ported.
- 4.2 SBC ILLINOIS shall notify CLEC 48 hours in advance of any scheduled testing or maintenance affecting CLEC 911 or E911 Service. SBC ILLINOIS shall provide notification as soon as possible of any unscheduled outage affecting CLEC 911/E911 Service. SBC ILLINOIS shall notify CLEC of major network changes impacting CLEC as soon as SBC ILLINOIS is aware of such changes.
- 4.3 SBC ILLINOIS shall provide CLEC with the point of contact for reporting errors, defects, and malfunctions in the 911/E911 Service and shall also provide escalation contacts.
- 4.4 SBC ILLINOIS shall provide to CLEC sufficient planning information regarding anticipated moves to SS7 signaling at a minimum of ninety (90) days before each such anticipated move to SS7 signaling.
- 4.5 Where SBC ILLINOIS manages the 911/E911 database, SBC ILLINOIS shall provide CLEC with notification of any pending SR moves at least thirty (30) days in advance of the start date of the project or ninety (90) days from the projected cut-over date of the new SR.
- 4.6 SBC ILLINOIS shall establish within ten (10) days of the Effective Date any special operator-assisted calling requirements needed to support 911/E911.
- 4.7 Where SBC ILLINOIS is the 911 or E911 Service provider, SBC ILLINOIS shall populate the ALI database with the appropriate new NPA codes for NPA splits, or other NPA changes.

5. BASIC 911 AND E911 DATABASE REQUIREMENTS

- 5.1 When SBC ILLINOIS is the 911 or E911 Service provider, SBC ILLINOIS manages the DBMS. The interface to the DBMS must meet all applicable standards.
 - 5.1.1 Where SBC ILLINOIS is the 911 or E911 Service provider and manages the DBMS, SBC ILLINOIS shall store CLEC's End User Customer 911 Records [that is, the name, address, and associated telephone number(s) for each of CLEC's End User Customers served by CLEC's exchange(s)] in the electronic data processing database for the DBMS. SBC ILLINOIS shall provide an electronic interface through which CLEC or its representative(s) may provide and update such information.
 - 5.1.2 CLEC shall adopt use of Company ID on all CLEC End User 911/E911 Records in accordance with NENA standards. The Company ID will identify the carrier of record facility configurations.
 - 5.1.3 CLEC or its representatives shall be responsible for providing CLEC's End User 911 Records to SBC ILLINOIS for inclusion in SBC ILLINOIS's DBMS on a timely basis. SBC ILLINOIS and CLEC shall arrange for the automated input and periodic updating of CLEC's End User 911 Records.
- 5.2 SBC ILLINOIS shall coordinate access to the DBMS for the initial loading and updating of CLEC End User Customer 911/E911 Records. Access coordination will include:
 - 5.2.1 SBC ILLINOIS provided format requirements and a delivery address for CLEC to supply an electronic version of Customer telephone numbers, addresses and other information both for the initial load and, where applicable, daily updates. SBC ILLINOIS shall confirm receipt of this data by the next business day by providing CLEC with error and statistical files;
 - 5.2.2 Coordination of error resolution involving entry and update activity;
 - 5.2.3 Provisioning of specific 911 routing information on each access line;
- 5.3 SBC ILLINOIS shall provide an electronic interface to the ALI/DBMS database (or permit CLEC to provide its own data link to the 911 Gateway that interfaces to the ALI/DMS database), through which CLEC or its agent may provide a daily update of CLEC Customer Information. SBC ILLINOIS shall provide CLEC with the record input format, consistent with NENA-02-001 and subsequent NENA formats (NENA Recommended Formats for Data Exchange). SBC ILLINOIS shall provide error and statistical files from the ALI/DBMS database to CLEC within one (1) business day after CLEC or its agent enters information into the ALI/DBMS database.
 - 5.3.1 SBC ILLINOIS 's ALI database shall accept electronically transmitted files that are based upon NENA standards. Manual entry shall be allowed only in the event that DBMS is not functioning properly.
- 5.4 SBC ILLINOIS shall provide CLEC query access to the ALI database, to verify the accuracy of CLEC Customer information, provided that CLEC has access to the 911 Gateway and subscribes to TC View (an on line tool).

- 5.5 SBC ILLINOIS will process CLEC's End User Customer 911/E911 Record updates in the DBMS. SBC ILLINOIS will then provide CLEC an error and statistical file. SBC ILLINOIS and CLEC shall arrange for the automated input and periodic updating of 911/E911 database information related to CLEC's Customers.
- 5.6 SBC ILLINOIS shall update the ALI/DMS database within two (2) business days after receiving the data from CLEC.
- 5.7 If SBC ILLINOIS detects an error in the CLEC-provided data, the data shall be returned to CLEC within two (2) business days after it was provided to SBC ILLINOIS. CLEC shall respond to requests from SBC ILLINOIS to make corrections to database record errors by uploading corrected records within two (2) business days.
- 5.8 Manual entry shall not be allowed.
- 5.9 CLEC's end user customer records will be updated in the DBMS via the DBMS electronic interface. The ALI and SR databases will be subsequently updated via the DBMS once CLEC's end user customer records are updated in the DBMS. SBC ILLINOIS will provide notification when CLEC's records have been entered into the ALI DBMS.
- 5.10 ALI DBMS discrepancy reports shall be jointly researched by SBC ILLINOIS and CLEC. The responsible Party shall take immediate corrective action. SBC ILLINOIS agrees to work expeditiously to correct any internal processing errors between the DBMS, SR and ALI databases.
- 5.11 SBC ILLINOIS agrees to treat all data on CLEC's Customers provided under this Appendix as strictly confidential and to use data on CLEC's Customers only for the purpose of providing 911 or E911 Services, unless expressly requested by the Illinois Commerce Commission (ICC) or Federal Communications Commission (FCC).
- 5.12 Where CLEC is authorized to provide local telephone exchange service, SBC ILLINOIS shall identify which ALI databases cover which counties, or parts thereof, and identify and communicate a point of contact for SBC ILLINOIS.
- 5.13 SBC ILLINOIS will provide to CLEC a complete copy of the Master Street Address Guide ("MSAG") that will specify valid address ranges for Customers within the Exchange Areas served by CLEC. The MSAG will be provided in a media and format usable with personal computers, free of charge once per month. SBC ILLINOIS shall cooperate with CLEC to ensure the accuracy of information about CLEC Customers in the ALI database and shall assist in resolving any errors. SBC ILLINOIS shall notify the E911 Customer of any errors in the MSAG concerning CLEC Customers. The MSAG will be provided by state.
- 5.14 Upon request, SBC ILLINOIS will provide CLEC a data pull of all CLEC's existing end user records residing in the 911 database once per year at no charge to CLEC.

6. CLEC RESPONSIBILITIES

- 6.1 Database
 - 6.1.1 CLEC is responsible for providing SBC ILLINOIS updates to the ALI database; in addition, CLEC is responsible for maintaining the accuracy and content of that data as delivered.
 - 6.1.2 CLEC is responsible for providing test records and conducting call-through testing on all new exchanges. However, if error resolution requires SBC ILLINOIS's participation, CLEC will coordinate with SBC ILLINOIS.

- 6.2 Other
 - 6.2.1 Intentionally Omitted
 - 6.2.2 Intentionally Omitted.
 - 6.2.3 CLEC will be responsible for collecting from its end users and remitting to the appropriate municipality or other governmental entity any applicable 911 surcharges assessed on a local service provider and/or end users by any municipality or other governmental entity

7. METHODS AND PRACTICES

- 7.1 With respect to all matters covered by this Appendix, each Party will comply with all of the following to the extent that they apply to 911 and E911 Service: (i) all applicable FCC and state Commission rules and regulations; (ii) any applicable requirements imposed by any governmental authority other than a commission, and (iii) the principles expressed in the recommended standards published by NENA.
- 7.2 CLEC will establish a minimum of two (2) dedicated trunks from CLEC's Switch to each POI. CLEC may, at its option, provide its own transport facilities, acquire such transport facilities from SBC ILLINOIS through the applicable State Tariff, or obtain them from third parties. 911 Interconnection Trunk Groups must be, at a minimum, DS-0 level trunks configured as a 2-wire analog interface or as part of a digital (1.544 Mbps) interface at rates set forth in Appendix Pricing. Either configuration must use Centralized Automatic Message Accounting "CAMA" type signaling with MF tones that will deliver Automatic Number Identification "ANI" with the voice portion of the call, unless the 911/E911 selective router is SS7 capable, in which case CLEC may require SS7 signaling. All 911 Interconnection trunk groups must be capable of transmitting and receiving Baudot code necessary to support the use of Telecommunications Devices for the Deaf ("TTY/TDD"s).
 - 7.2.1 To ensure proper operation of an E911 system where SS7 signaling is used for 911 trunking, the parties agree to follow technical publication AM-TR-NIS-000152, Interconnection to an Ameritech 9-1-1 Selective Routing Switch via SS7 Trunks as a Substitute for CAMA Signaling, Issue 2, June 1, 2000 or any revisions thereto. SBC ILLINOIS shall provide CLEC a minimum of ninety (90) days notice of any changes to this document.
- 7.3 SBC ILLINOIS shall assure sufficient capacity at the 911 tandem or SR to meet CLEC's requests for interconnection within twenty (20) business days after receipt of the request. When SBC ILLINOIS network force and load conditions require a longer implementation timeframe, SBC ILLINOIS will notify CLEC within five (5) business days after receipt of the request and the timeframe will be agreed upon. Interconnection to the 911 tandem or SR shall be established to provide path and route diversity when technically feasible.
- 7.4 SBC ILLINOIS will adhere to the March 1997 NENA recommended Standards for Local Service Providers relating to provision of dedicated trunks from the end user customer's End Office Switch to SBC ILLINOIS's SR. SBC ILLINOIS will only exceed the NENA recommended Minimum Trunking Requirements for such trunks under extenuating circumstances and with the prior written approval of the 911 or E911 Customer.
- 7.5 SBC ILLINOIS will provide the order number and circuit identification code in advance of the service due date.

- 7.6 In the event of an SBC ILLINOIS or CLEC 911 or E911 trunk group failure, the Party that owns the trunk group will notify, on a priority basis, the other Party of such failure, which notification shall occur within two (2) hours of the occurrence or sooner if required under Applicable Law. The Parties will exchange a list containing the names and telephone numbers of the support center personnel responsible for maintaining 911/E911 Service between the Parties.
- 7.7 CLEC will be responsible for the isolation, coordination and restoration of all 911 network maintenance problems to CLEC's demarcation (e.g. collocation). SBC ILLINOIS will be responsible for the coordination and restoration of all 911 network maintenance problems beyond the demarcation (e.g. collocation). CLEC is responsible for advising SBC ILLINOIS of the circuit identification when notifying SBC ILLINOIS of a failure or outage. The Parties agree to work cooperatively and expeditiously to resolve any 911 outage. SBC ILLINOIS will refer network trouble to CLEC if no defect is found in SBC ILLINOIS's network. The Parties agree that 911-network problem resolution will be managed in an expeditious manner at all times.

8. CONTINGENCY

- 8.1 The terms and conditions of this section represent a negotiated plan for CLECs not currently providing 911 or E911 Service.
- 8.2 The Parties agree that 911 and E911 Service is provided for the use of the 911 or E911 Customer, and recognize the authority of that customer to establish service specifications and grant final approval (or denial) of service configurations offered by SBC ILLINOIS and CLEC. These specifications (if any) shall be documented in the 9-1-1 Trunk Group Request Form (TGRF). CLEC shall complete its portion of the 9-1-1 TGRF and submit it to SBC ILLINOIS. SBC ILLINOIS shall complete its portion of the 9-1-1 TGRF and provide CLEC with approval to issue an Access Service Request (ASR) for the service configuration specified on the TGRF.

9. BASIS OF COMPENSATION

- 9.1 Rates for access to 911 and E911 Services are set forth in Appendix Pricing.
- 9.2 Charges shall begin on the date that 911 or E911 Service is turned on for live traffic.

10. LIABILITY

- 10.1 In addition to the requirements of this Appendix 911, the Parties agree 911 and E911 Services will be provided in accordance with Applicable Law.
- 10.2 The Parties' liability with respect to 911/E911 services shall be governed by the provisions of the General Terms and Conditions of this agreement.

11. 911 TRUNKING ARRANGEMENTS

- 11.1 The Parties shall comply with 911 trunking arrangements including any applicable exceptions/waivers set forth in Appendix Network of this Agreement