

Illinois Commerce Commission



Annual Report

Transportation Regulatory Fund Fiscal Year 2015

STATE OF ILLINOIS



ILLINOIS COMMERCE COMMISSION

September 30, 2015

The Honorable Bruce Rauner
Governor
207 State House
Springfield, IL 62706

Dear Governor Rauner:

In compliance with Section 18c-1604 of the Illinois Commercial Transportation Law (625 ILCS 5/18c-1604), the Illinois Commerce Commission is submitting an annual report of expenditures from the Transportation Regulatory Fund.

This report contains information pertaining to all monies deposited in the Transportation Regulatory Fund, all expenditures from the Transportation Regulatory Fund, a listing and description by function of all staff positions and the methods used to allocate expenses between the Transportation Regulatory Fund and other funds.

Sincerely,

A handwritten signature in black ink, appearing to read "Brien J. Sheahan".

Brien J. Sheahan
Chairman

Illinois Commerce Commission
Transportation Regulatory Fund

Annual Report for Expenditures

For Fiscal Year 2015

Section 18c-1604 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1604, requires the Illinois Commerce Commission to submit to the Governor and the General Assembly an annual report of expenditures from the Transportation Regulatory Fund. Section 18c-1604 states as follows:

“Annual Report of Expenditures. The Commission shall, within 60 calendar days after the end of the lapse period for each fiscal year, submit to the Governor and the General Assembly a report of the following for such fiscal year:

- (1) All monies deposited in the Transportation Regulatory Fund, showing the total and subtotals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (2) All expenditures from the Transportation Regulatory Fund, showing the total and the subtotals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (3) A listing and description by function of all staff positions actually funded, in whole or in part, at any time during the fiscal year, from the Transportation Regulatory Fund; and
- (4) The methods used to allocate expenses between the Transportation Regulatory Fund and other funds, and between classes within the Transportation Regulatory Fund.”

Subsection (2) of Section 18c-1601 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1601, requires that the Commission “account separately for monies from the following classes:

- (a) motor carriers of property (other than carriers engaged in non-relocation towing);
- (b) rail carriers; and
- (c) other monies.”

This report is provided in accordance with the above stated requirement.

625 ILCS 5/18c
Section 1604
Requirement (1)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY 2015 Income

INCOME ACCOUNT CODE	<u>TOTAL</u>	<u>MOTOR CARRIER</u>	<u>RAILROAD</u>
Intrastate Authority Franchise Fees	158,820	158,820	
Intrastate Authority Applications	157,800	157,800	
Interstate Stamps/Receipts	3,516,993	3,516,993	
Safety Relocator Application/Registrations	585,450	585,450	
Petition to Reinstate License	8,100	8,100	
Transfers	1,350	1,350	
Lease Agreement	91,785	91,785	
Exemption Certificates	5,250	5,250	
Copying	360	360	
Gross Revenue Tax-Railroads	576,950		576,950
Miscellaneous Fees	23,344	23,344	
Relocator's License Fees	13,500	13,500	
Relocator Tow Record/Invoice	734,250	734,250	
Operator's License Fees	26,130	26,130	
Dispatcher License Fees	4,530	4,530	
Administrative Citations	699,453	699,453	
Civil Penalties	95,938	95,938	
Broker's License	450	450	
Railroad Route Miles	316,759		316,759
Railroad Crossing	277,312		277,312
Grade Crossing Protection Fund	3,000,000		3,000,000
IEMA Homeland Security Grant Reimbursement	59,928	59,928	-
Warehouse License	45,000	45,000	
Warehouse Facility License	15,800	15,800	
Collateral Recovery Agency Fees	64,550	64,550	
Collateral Recovery Manager Fees	31,550	31,550	
Collateral Recovery Employee Fees	43,950	43,950	
Collateral Recovery Intern Fees	2,600	2,600	
Collateral Recovery Tickets	1,038,000	1,038,000	
Collateral Recovery - Miscellaneous Fees	19,388	19,388	
TOTAL	11,615,290	7,444,269	4,171,021

NOTE:
The above table includes funds received by the Commission during FY 2015 which may not match the deposit amounts shown by the Comptroller's Office for FY 2015. Any differences are due to deposits in-transit between the Commission and the Comptroller's Office. The above figures do not include revenues collected on behalf of other states in the Unified Carrier Registration Program.

625 ILCS 5/18c
Section 1604
Requirement (2)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY 2015 Expenditures

LINE ITEM	<u>TOTAL EXPENDITURES</u>	<u>MOTOR CARRIER</u>	<u>RAILROAD</u>
Personal Services	5,564,726	2,453,279	3,111,447
Retirement	2,360,021	1,039,975	1,320,046
Social Security	354,331	127,183	227,148
Group Insurance	1,216,305	621,319	594,986
Contractual Services	583,803	338,665	245,138
Travel	32,068	4,281	27,787
Commodities	13,087	6,919	6,168
Printing	27,975	25,375	2,600
Equipment	58,032	7,472	50,560
Electronic Data Processing	121,902	90,218	31,684
Telecommunications	123,811	96,749	27,062
Operation of Auto	67,029	42,429	24,600
Lump Sum	-	-	-
Refunds	4,637	4,637	-
	TOTAL	4,858,501	5,669,226

NOTE:

The FY 2015 expenditures from the Transportation Regulatory Fund by the Illinois Commerce Commission were allocated by transportation mode as shown above. These expenditures do not include the Unified Carrier Registration.

625 ILCS 5/18c
Section 1604
Requirement (3)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund

The listing below represents all Commerce Commission employees by job titles that were funded from the Transportation Regulatory Fund in FY 2015. This listing also provides a brief description of the major responsibilities of each job title and is sorted in Bureau order.

Office of Chairman and Commissioners

Chief Internal Auditor Directs the agency's internal audit program

Office of the Executive Director

Executive Director Manages the agency's affairs by planning, coordinating and implementing the policies of the agency in an effective and efficient manner

Manager - Human Resources Plans, directs and coordinates the Human Resources function of the Commission

Bureau of External Affairs

Legislative Liaison II Assists with the administration of the governmental and legislative program; maintains cooperative working relationships with representatives of governmental agency management, officials, community and civic organizations and representatives of various branches of legislation

Bureau of Planning and Operations

Director - Administrative Services Directs the activities of the Administrative Services Division

Director - Information Technology Services Directs the activities of the Information Technology Services Division

Manager - Fiscal Assists with budget preparation, performs financial analysis and supervises procurement and contract function in Financial Information Section

Reproduction Service Supervisor I Serves as lead worker to print shop/mailroom staff and oversees the acquisition and distribution of supplies

Account Technician II Performs purchasing function for the Commission

Information Services Analyst III Performs general and routine professional support for computer equipment and associated software

Information Systems Analyst II (3 positions) Provides analysis of complex business operations and develops requirements and specifications for the creation of client/server and/or internet based computer systems

625 ILCS 5/18c
Section 1604
Requirement (3)

Information Services Specialist II	Performs general and routine professional support for computer equipment and associated software
Administrative Assistant I	Provides administrative support for Information Technology Services
<u>Transportation Division</u>	
Bureau Chief	Manages the Transportation Division
Technical Advisor II (2 positions)	Staff attorney, represents Division in court and before the Commission
Police Chief	Oversees the Commission's police activities including on-the-road enforcement and administrative staff functions
General Services Administrator I	Provides administrative support for statewide Commission enforcement program
Administrative Assistant I (2 positions)	Provides administrative support for Commission enforcement program
Transportation Investigator II (2 positions)	Conducts investigations of relocation towing companies, non-sworn
Transportation Investigator I	Conducts investigations of relocation towing companies, non-sworn
Police Officer II (4 positions)	Conducts on-the-road enforcement and investigations of intrastate motor carriers
Police Officer I (6 positions)	Conducts on-the-road enforcement and investigations of intrastate motor carriers
Transportation Industry Analyst III	Performs staff functions related to the household goods carrier enforcement program including tariffs, audit and dispute resolution
Manager - Review and Examination	Directs transportation hearings program
Administrative Assistant I	Provides administrative support to the hearings program
Administrative Law Judge IV	Hears cases for household goods carrier authority, relocation towing licenses and railroad crossing improvement petitions
Manager - Processing and Information	Provides management of the motor carrier registration program

625 ILCS 5/18c
Section 1604
Requirement (3)

Transportation Industry Customer Service Representative II	Provides services to the public, transportation and insurance industries consistent with the clerical and technical tasks related to various laws, rules and regulations involved in the regulation of motor carriers
Office Associate (2 positions)	Provides customer service to the motor carrier industry regarding the issuance of licenses, registrations and credentials, filing of leases and the maintenance of liability insurance
Rail Safety Program Administrator Executive Secretary II	Directs the Railroad Safety Program Provides secretarial and administrative support to the Railroad Safety Program
Office Specialist	Provides secretarial and administrative support to Railroad Safety Program
Railroad Safety Specialist IV (5 positions)	Provide staff work related to the design, installation and maintenance of railroad warning and traffic warning signal systems, investigation of crossing collisions and incidents
Railroad Safety Specialist III (8 positions)	Provide staff work on cases involving the improvement of railroad grade crossings and crossing separations, conduct crossing signal and surface inspections and conduct Operation Lifesaver Public Education Program
Railroad Safety Specialist III	Conduct inspections to determine railroad company compliance with operating practice standards
Railroad Safety Specialist III	Conduct inspections of railroad equipment and facilities carrying or handling hazardous materials
Railroad Safety Specialist III	Conduct inspections of mainline track operated by registered rail carriers

Allocation of Expenditures
Between the Transportation Regulatory Fund
and Other Funds

Section 18c-1603(2)(b) of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1603(2)(b), details the requirements for expenses allocated partially or entirely to the Transportation Regulatory Fund.

(2) Allocation of Expenses to the Fund.

(b) Expenses Partially Allocated Entirely to the Transportation Regulatory Fund.

A portion of expenses for the following persons and activities may be allocated to the Transportation Regulatory Fund:

- (i) The Executive Director, his deputies and personal assistants, and their clerical support;
- (ii) The legislative liaison activities of the Office of Legislative Affairs, its constituent elements and successors;
- (iii) The activities of the Bureau of Planning and Operations on the effective date of this amendatory Act of the 94th General Assembly, exclusive of the Chief Clerk's office;
- (iv) The payroll expenses of Commissioners' assistants;
- (v) The internal auditor;
- (vi) The in-state travel expenses of the Commissioners to and from the offices of the Commission; and
- (vii) The Public Affairs Group, its constituent elements, and its successors.

(c) Allocation Methodology for Expenses Other Than Commissioners' Assistants. The portion of the total expenses (other than commissioners' assistants' expenses) allocated to the Transportation Regulatory Fund under paragraph (b) of this subsection shall be the portion of staff time spent exclusively on administration and enforcement of this Chapter and Chapter 18a, as shown by a time study updated at least once each 6 months. (For FY 2015 the percentage allocated to the Transportation Regulatory Fund was 30%.)

(e) Allocation methodology for Commissioners' Assistants Expenses. Five percent of the payroll expenses of commissioners' assistants may be allocated to the Transportation Regulatory Fund.

Allocation of Expenditures Between Classes
Within the Transportation Regulatory Fund

The Motor Carriers of Property, Rail Carriers, and Other Modes

Actual expenditures were charged to the various modes within the transportation fund when identifiable. Where expenditures were not attributable to a specific mode, allocations were determined and applied to these expenditures.